

# NEUTRINO OSCILLATIONS AND THE NON-UNITARITY OF THE PMNS

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INSTITUTE FOR THEORETICAL  
PHYSICS



ELTE EÖTVÖS LORÁND  
UNIVERSITY

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PART I

NEUTRINO OSCILLATION

EXPERIMENTS & THEORY

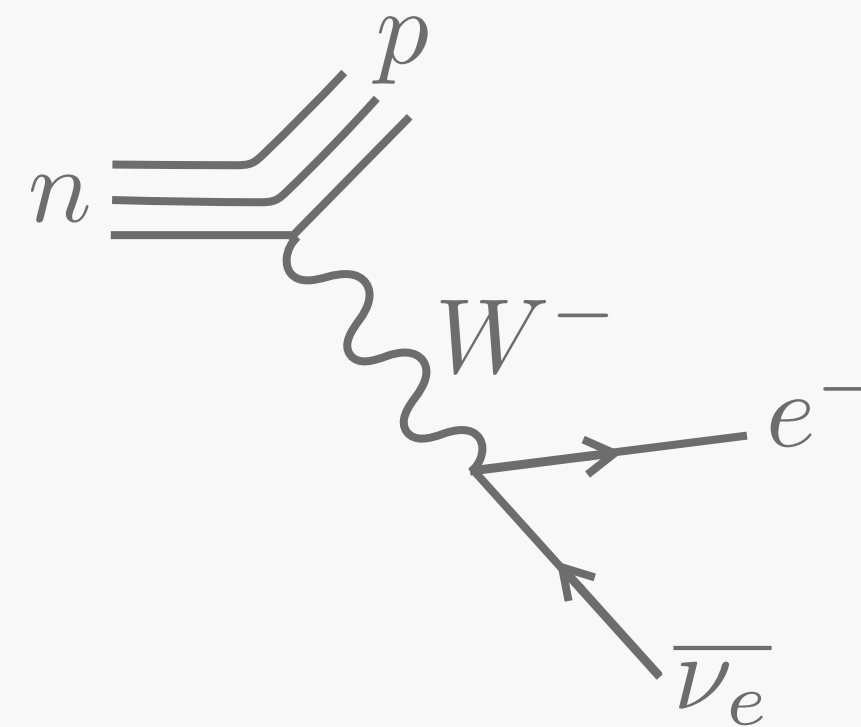
# MOTIVATION

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- Neutrinos are **neutral** leptons: no charge & no color  
⇒ they **only** interact via Weak Interactions
- They also have very **light** masses

their interactions  
are **suppressed**

Introduced by Pauli in 1930 to **save**  $E$  and  $\vec{p}$  **conservation** in  $\beta$ -decays



# MOTIVATION

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Detection of neutrinos:

- 1956:  $\bar{\nu}_e$  discovery from reactors
- 1962:  $\bar{\nu}_\mu$  discovery from  $\pi$  decays
- 2002:  $\bar{\nu}_\tau$  discovery by DONUT collaboration

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– 2002:  $\bar{\nu}_\tau$  discovery by DONUT collaboration

 NP 2002: Davis & Koshiba for detecting solar and supernova  $\nu$

 NP 2015: Kajita & McDonald for the discovery of  $\nu$  oscillations

# MOTIVATION

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The discovery of neutrino oscillations imply:

- neutrinos have mass
  - non-zero leptonic mixing
- necessary extension of the SM

This extension could be **much richer** than simply mirroring the quark pattern



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- neutrino mass hierarchy
- CPV in the leptonic sector?
- Majorana character of neutrinos?

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They could hold the key to understanding some other SM **open problems**

- Dark matter candidate → lecture by **Károly Sella**

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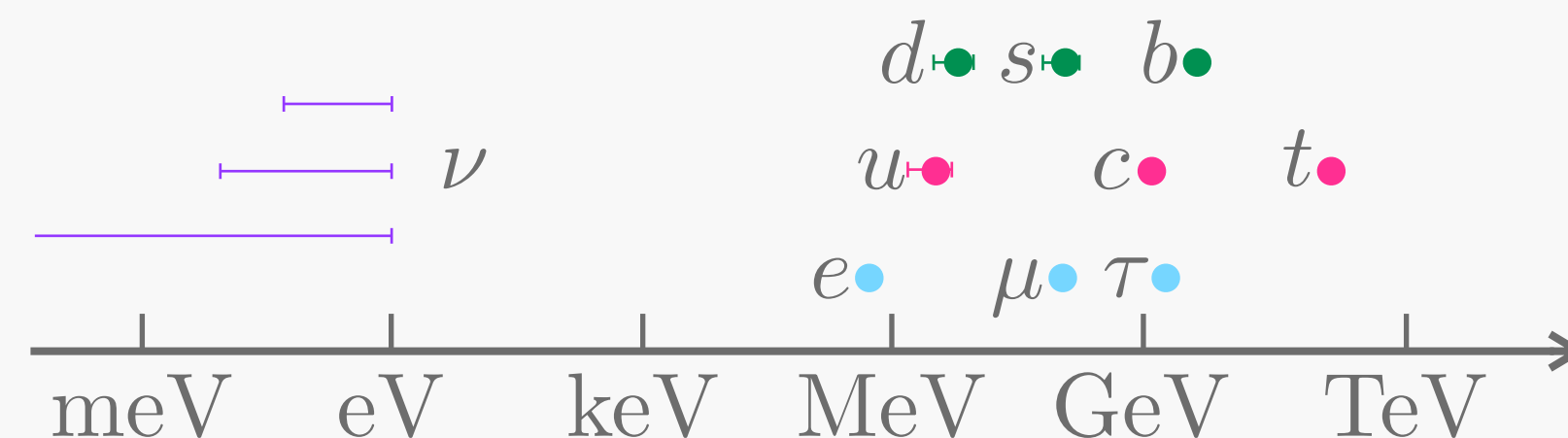
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- Flavor puzzle



no SM explanation  
for Yukawa **ordering**

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$$V_{\text{CKM}} = \begin{pmatrix} d & s & b \\ \text{large} & \text{medium} & \text{small} \\ \text{medium} & \text{large} & \text{small} \\ \text{small} & \text{small} & \text{large} \end{pmatrix} \begin{matrix} u \\ c \\ t \end{matrix} \quad U_{\text{PMNS}} = \begin{pmatrix} 1 & 2 & 3 \\ \text{large} & \text{medium} & \text{small} \\ \text{medium} & \text{large} & \text{small} \\ \text{small} & \text{small} & \text{large} \end{pmatrix} \begin{matrix} e \\ \mu \\ \tau \end{matrix}$$

**dissimilar** pattern of quark  
and lepton mixings

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- Dark matter candidate → lecture by Károly Sella
- Flavor puzzle
- Matter-antimatter asymmetry of the Universe (Baryogenesis through Leptogenesis)  
→ lecture by Zsolt Szép

# NEUTRINO OSCILLATIONS: INTRODUCTION

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If neutrinos are massive, it will be a **misalignment** between the mass and flavor eigenstates

$$\nu_\alpha = U_{\alpha i} \nu_i$$

flavor neutrino  
(production/detection)  
 $\alpha = e, \mu, \tau$

mass neutrino  
(propagation)  
 $i = 1, 2, 3$

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$U_{\alpha i}$  **unitary** leptonic mixing matrix that diagonalizes the  $\nu$  mass matrix.

Equivalent to the CKM quark mixing matrix.

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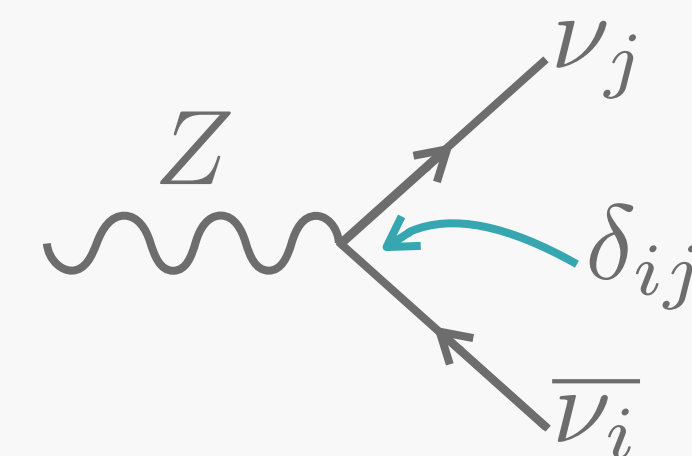
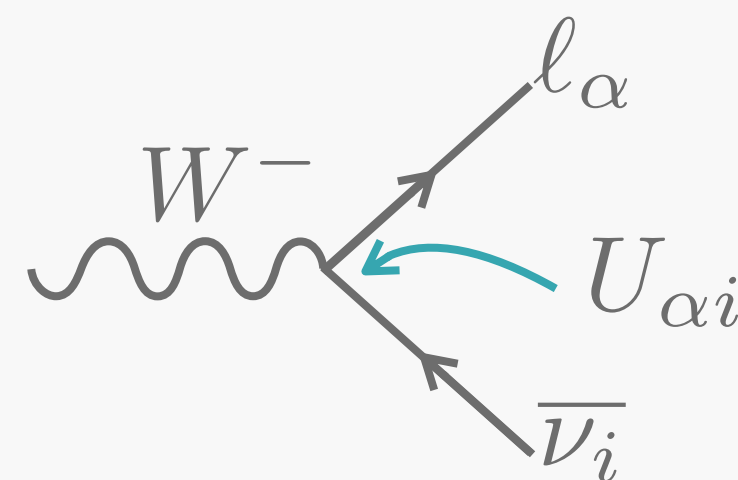
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Equivalent to the CKM quark mixing matrix.

It appears in the leptonic charged current (CC) interactions

$$\mathcal{L}_{\text{CC}} = \frac{g}{\sqrt{2}} \bar{\ell}_\alpha \gamma^\mu P_L U_{\alpha i} \nu_i W_\mu^- + \text{h.c.}$$

$$\mathcal{L}_{\text{NC}} = \frac{g}{2c_W} \left( \bar{\nu}_i \gamma^\mu P_L \nu_i - \bar{\ell}_\alpha \gamma^\mu P_L (1 - 2s_W^2) \ell_\alpha \right) Z_\mu$$





# NEUTRINO OSCILLATIONS: INTRODUCTION

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A  $n \times n$  **unitary** matrix is parametrized by

$$\frac{n}{2} (n - 1) \text{ angles}$$

$$\frac{n}{2} (n + 1) \text{ phases}$$

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But phases can be **reabsorbed** in field redefinitions that leave the Lagrangian invariant

$$\begin{aligned} \ell_\alpha &\rightarrow \ell'_\alpha e^{i\theta_\alpha} \\ \nu_i &\rightarrow \nu'_i e^{i\theta_i} \end{aligned} \Rightarrow$$

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$n$  phases absorbed in the charged leptons

$n - 1$  phases absorbed in the neutrinos

$$\frac{n}{2} (n + 1) - 2n + 1 \text{ physical phases}$$

# NEUTRINO OSCILLATIONS: INTRODUCTION

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However, if neutrinos are **Majorana** particles → lecture by Timo Kärkkäinen

- Dirac  $\nu$  mass

$$m_D (\bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L)$$

- Majorana  $\nu$  mass

$$m_M \bar{\nu}_L^c \nu_L \quad \text{with} \quad \nu^c = i\gamma_0 \gamma_2 \bar{\nu}_L^t \equiv C \bar{\nu}_L^t$$

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↓ ↓  
 $e^{i\theta} e^{i\theta}$

**not invariant** under  
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$(n - 1)$  **additional** phases

become physical (**Majorana phases**)

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$$\begin{array}{c} \downarrow \quad \downarrow \\ e^{i\theta} \quad e^{i\theta} \end{array}$$

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Summary

	$\frac{n}{2}(n-1)$ angles	$\frac{n}{2}(n+1) - 2n + 1$ Dirac phases	$(n-1)$ Majorana phases
$n = 2$	1	0	1
$n = 3$	3	1	2

# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX.

VACUUM  
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Let's assume **two families**:  $\alpha = e, \mu$  and  $i = 1, 2$

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \Rightarrow \begin{aligned} |\nu_e\rangle &= \cos \theta |\nu_1\rangle + \sin \theta |\nu_2\rangle \\ |\nu_\mu\rangle &= -\sin \theta |\nu_1\rangle + \cos \theta |\nu_2\rangle \end{aligned}$$

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Imagine that I produce a  $\nu_\mu$  from  $\pi$  decay

$$\pi^+ \rightarrow \mu^+ + \nu_\mu$$

If I try to **detect** it as  $\nu_e$

$$\langle \nu_e | \nu_\mu \rangle = -sc \langle \nu_1 | \nu_1 \rangle + sc \langle \nu_2 | \nu_2 \rangle = 0$$

$$s \equiv \sin \theta \quad c \equiv \cos \theta$$



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The flavor neutrinos ( $\nu_e$  and  $\nu_\mu$ ) are produced and detected in CC interactions;

but the mass neutrinos ( $\nu_1$  and  $\nu_2$ ) are **eigenstates** of the free Hamiltonian

$$H |\nu_i\rangle = E_i |\nu_i\rangle = \sqrt{p_i^2 + m_i^2} |\nu_i\rangle$$

# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX.

VACUUM  
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Therefore, if I let  $\nu_\mu$  propagate a distance (baseline)  $L$

$$|\nu_\mu(t)\rangle = -s e^{-iE_1 t} |\nu_1\rangle + c e^{-iE_2 t} |\nu_2\rangle$$

$$\simeq -s e^{-i\sqrt{p^2+m_1^2}t} |\nu_1\rangle + c e^{-i\sqrt{p^2+m_2^2}t} |\nu_2\rangle$$

same momentum  
approx (coherence)

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The probability of detecting  $\nu_\mu$  as  $\nu_e$

$$P_{\nu_\mu \rightarrow \nu_e}(t) = |\langle \nu_e | \nu_\mu(t) \rangle|^2 = \left| -sc \left( e^{-i\sqrt{p^2+m_1^2}t} - e^{-i\sqrt{p^2+m_2^2}t} \right) \right|^2$$

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Using that

$$E, p \gg m_\nu \quad \Rightarrow \quad \sqrt{p^2 + m_i^2} \simeq p + \frac{m_i^2}{2p} \simeq p + \frac{m_i^2}{2E}$$

$$\text{Relativistic } \nu \quad \Rightarrow \quad t \simeq L$$

# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX.

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$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) \equiv P_{\alpha\beta}(L) = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

$$\Delta m^2 \equiv m_2^2 - m_1^2$$

Neutrino oscillation happens **only** if  $\theta \neq 0$  and  $m_1 \neq m_2$ .

$2\nu \Rightarrow$  no phases  $\Rightarrow P_{\alpha\beta} = \overline{P_{\alpha\beta}}$ .  $3\nu$  **needed** for  $\mathcal{CP}$ .

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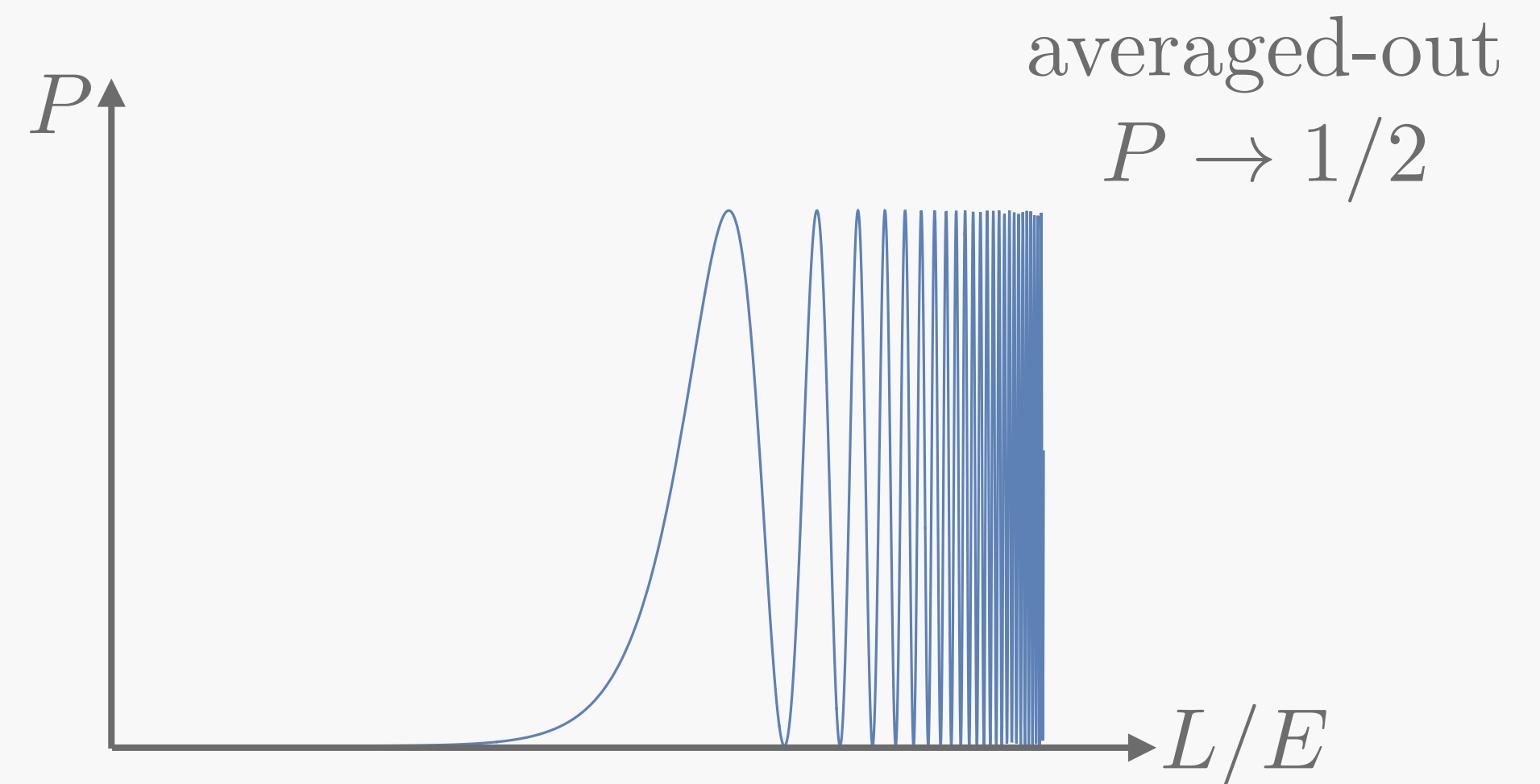
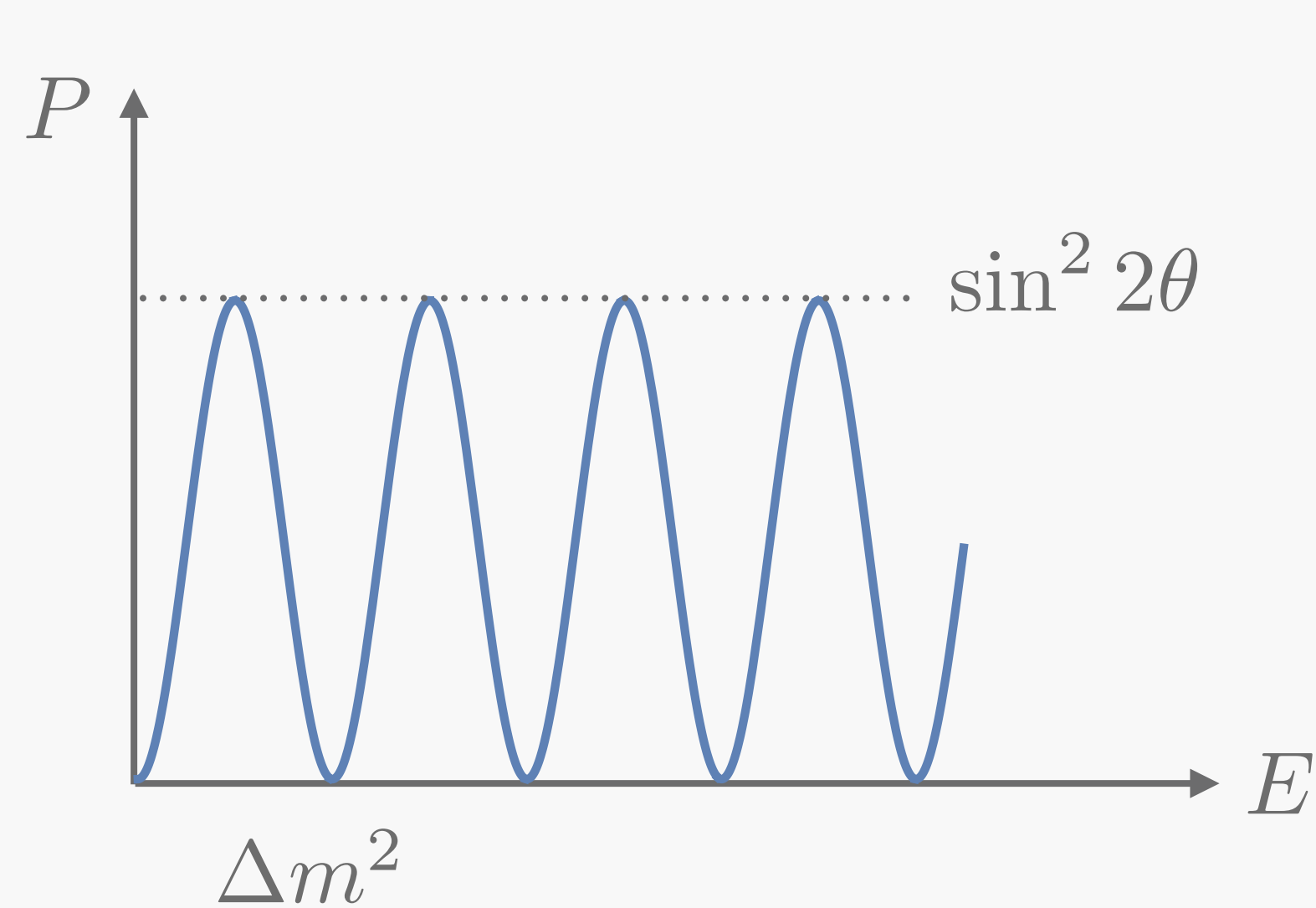
appearance channel

disappearance or survival channel

# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX.

VACUUM  
zoom.us video

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) \equiv P_{\alpha\beta}(L) = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$



first oscillation maximum

$$\frac{\Delta m^2 L}{4E} = 1.27 \frac{\Delta m^2}{\text{eV}^2} \frac{L}{\text{km}} \frac{\text{GeV}}{E}$$

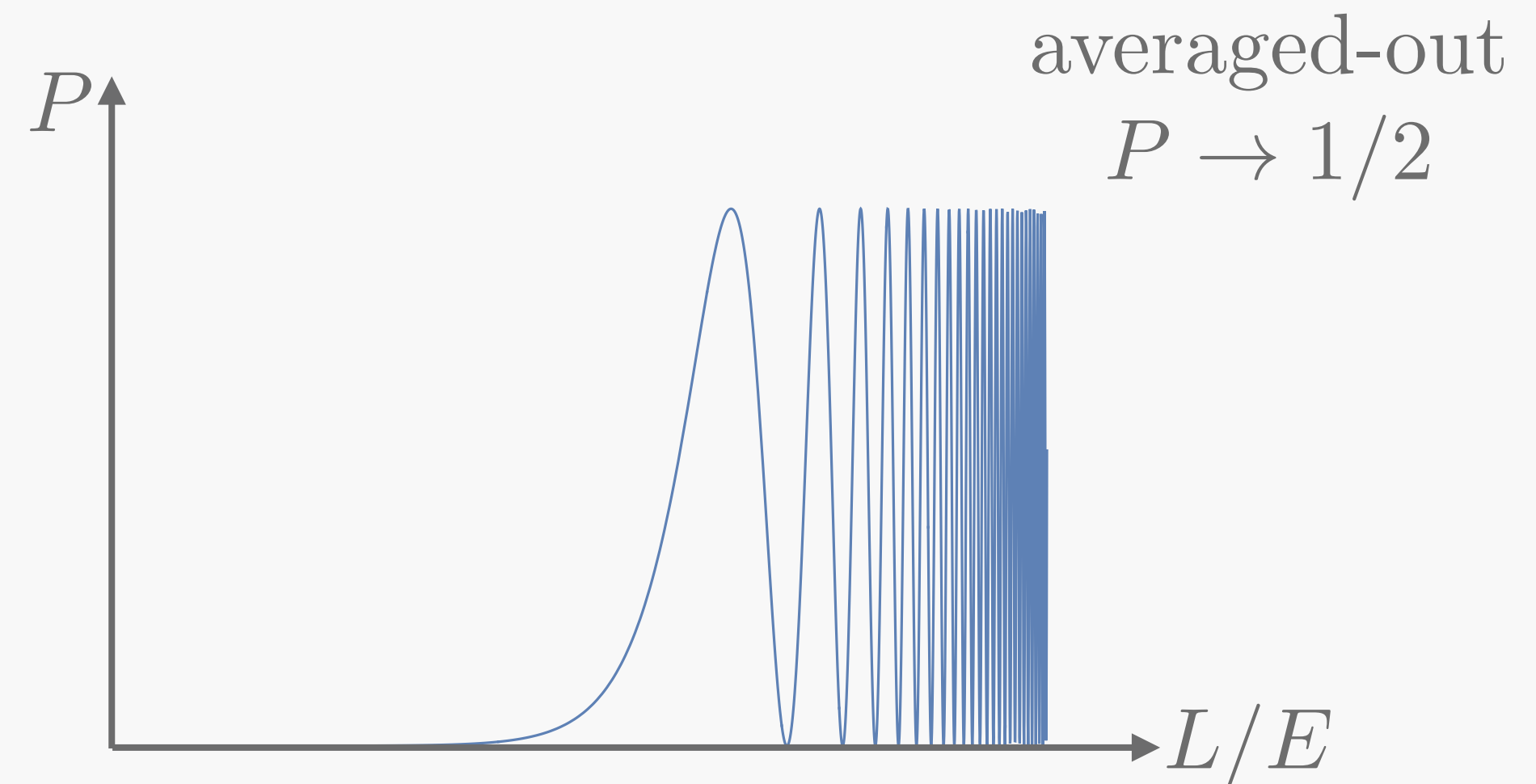
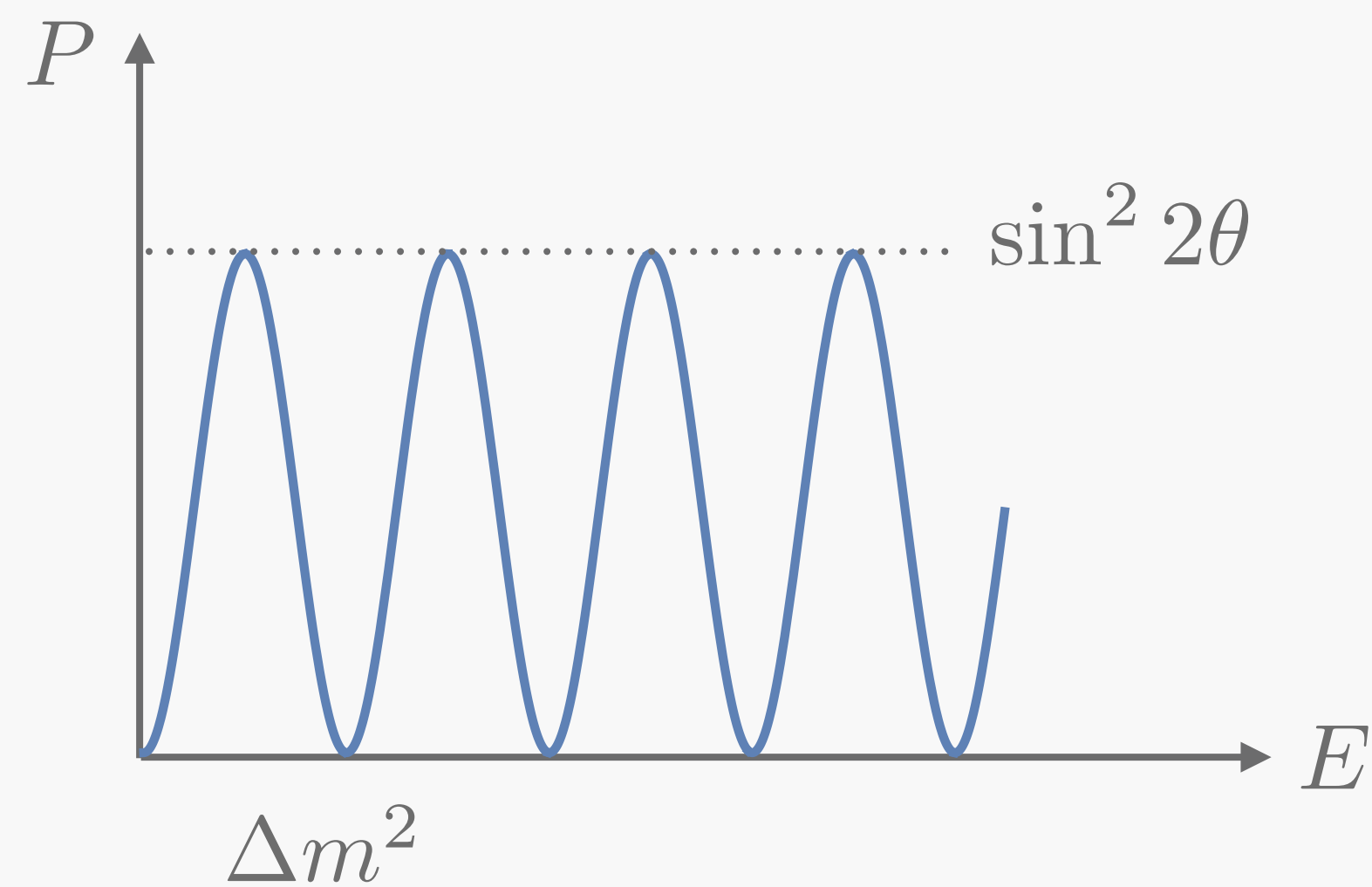


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zoom.us video

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) \equiv P_{\alpha\beta}(L) = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

no sensitivity to  
sign of  $\Delta m^2$



first oscillation maximum

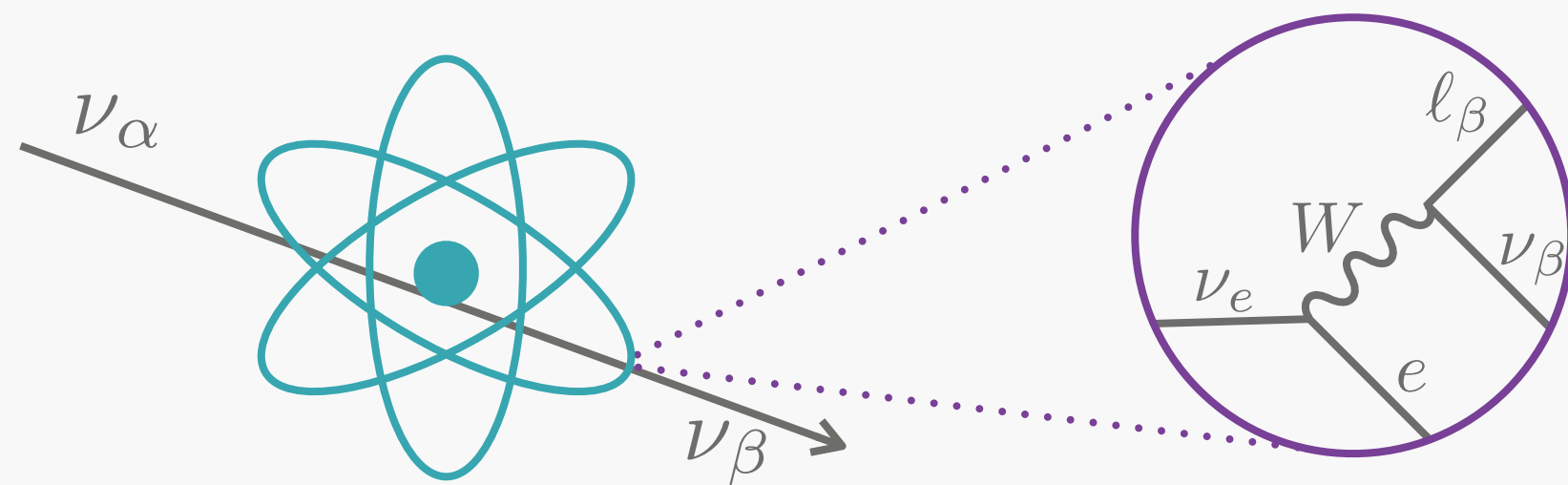
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# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX. MATTER

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The **sensitivity** to the sign of  $\Delta m^2$  comes from **matter effects**.

- Coherent scattering of  $\nu_e$  with  $e$  via  $W$  exchange

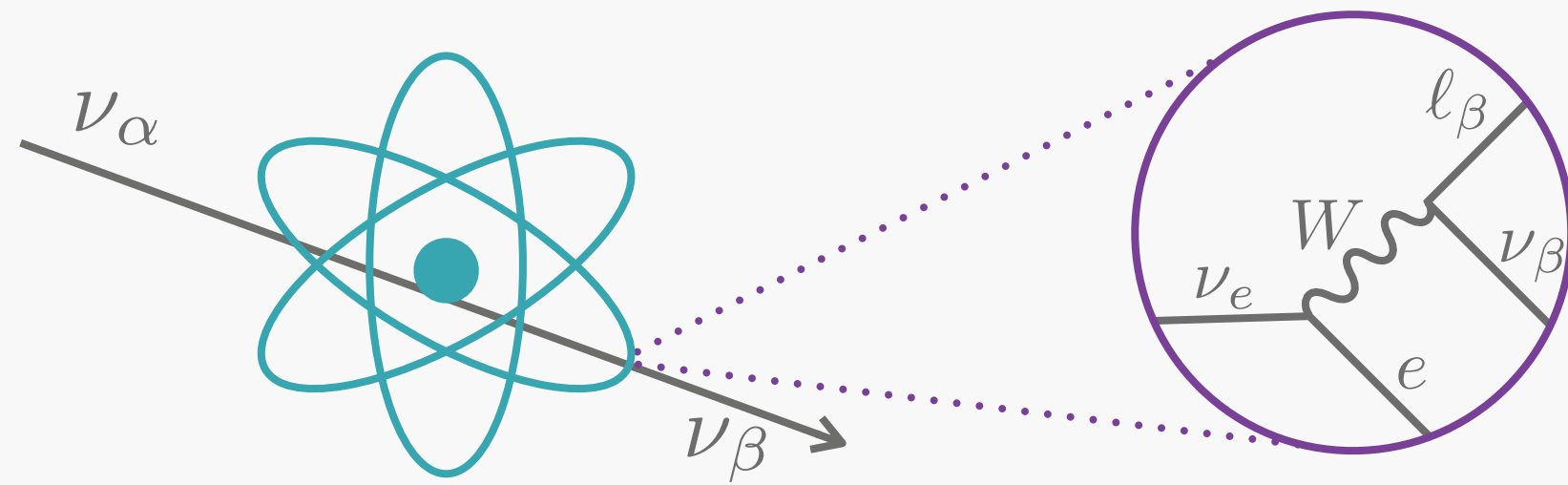


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$$V_{CC} = \pm \sqrt{2} G_F n_e$$

$G_F$  : Fermi constant  
 $n_e$  : electron density

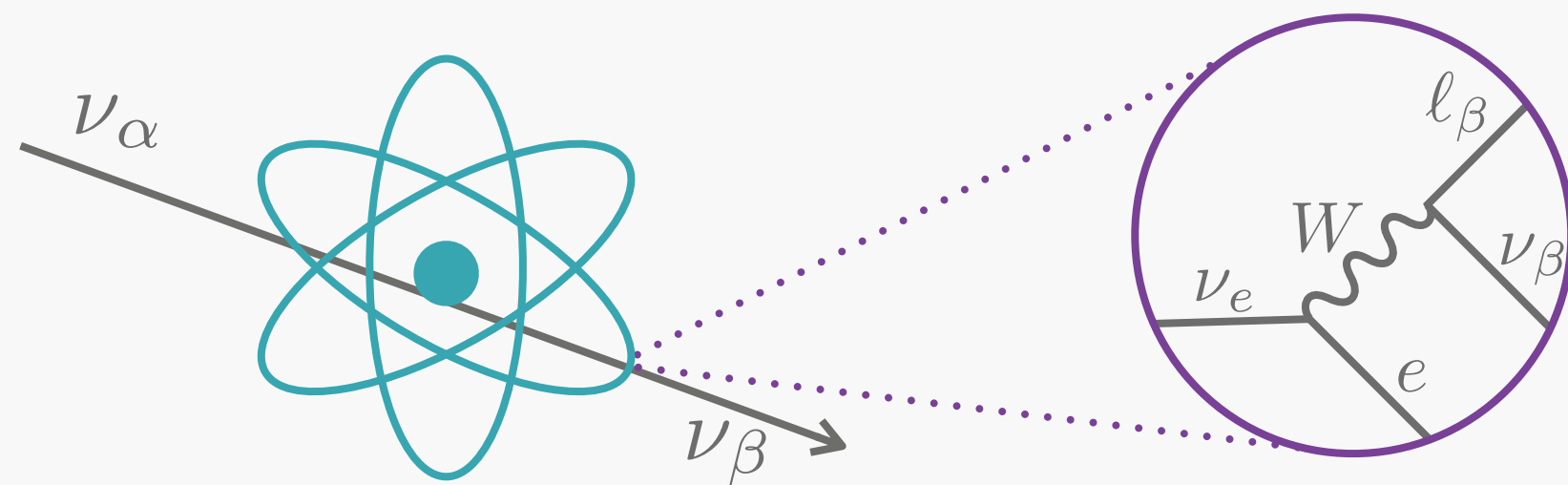
$$V_{\text{eff}} = \begin{pmatrix} V_{CC} & 0 \\ 0 & 0 \end{pmatrix}$$

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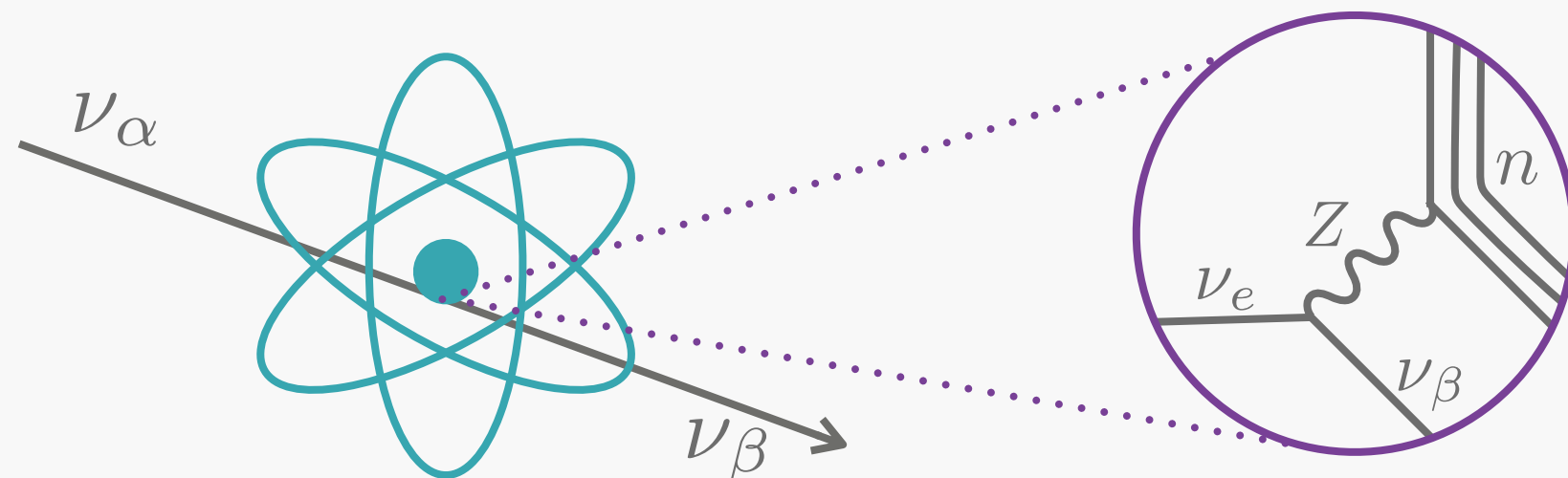
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- NC interaction of  $\nu_\alpha$  with  $n$  via  $Z$  exchange



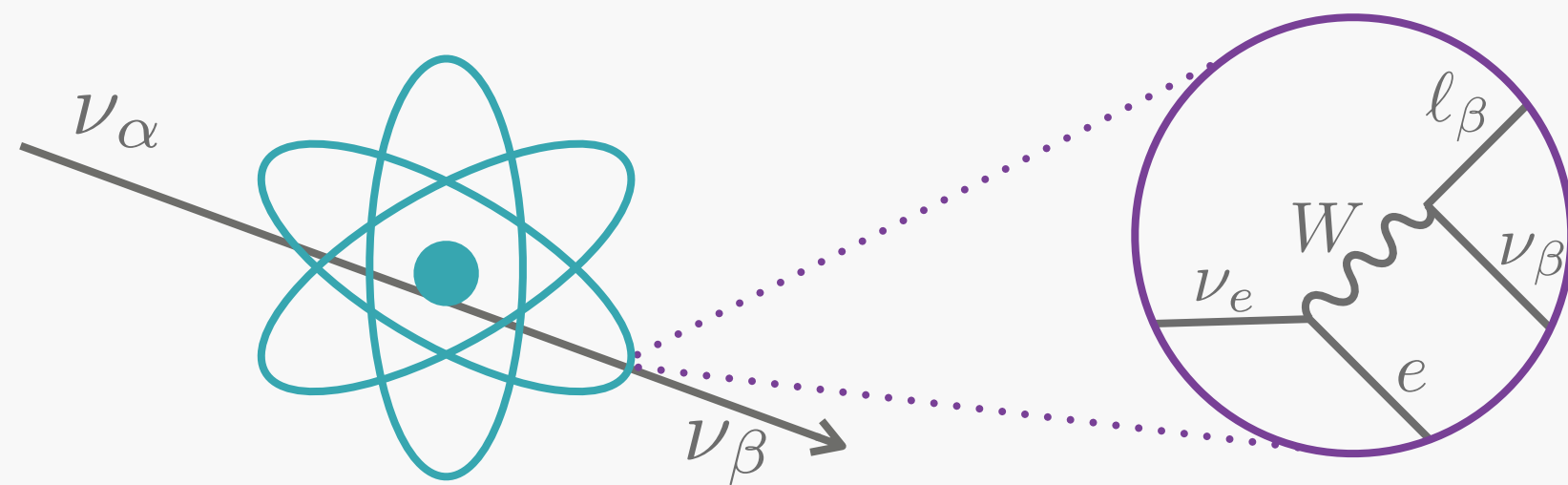
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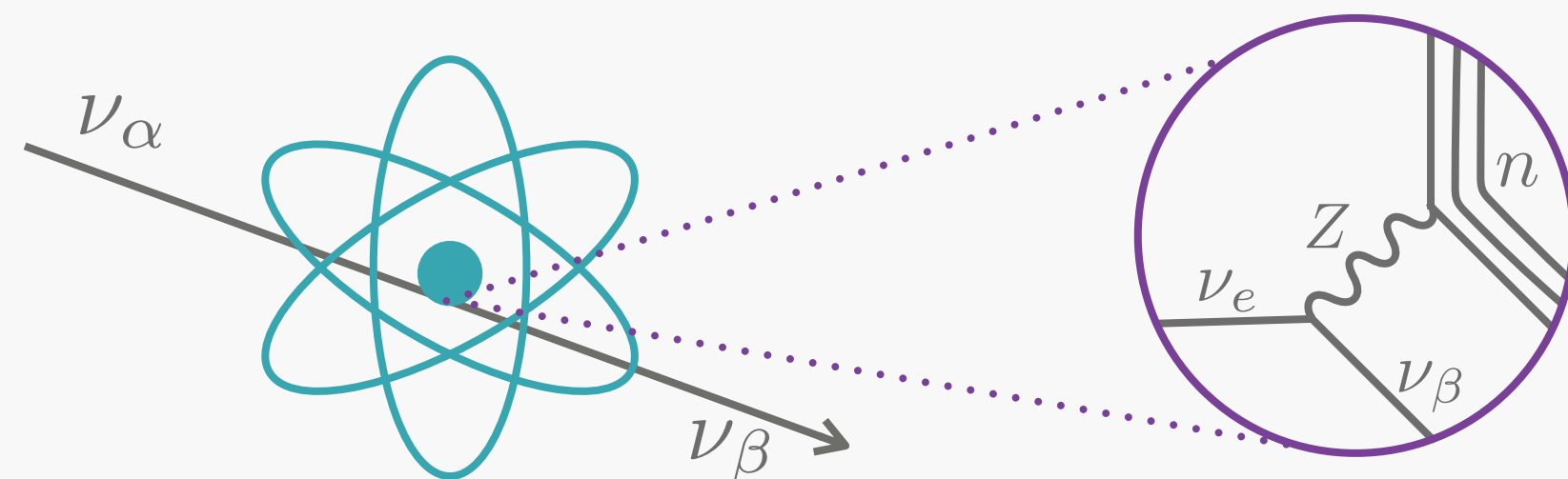
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- NC interaction of  $\nu_\alpha$  with  $n$  via  $Z$  exchange



$$V_{NC} = \mp \frac{1}{\sqrt{2}} G_F n_n$$

$n_n$  : neutron density

for **neutral** matter

$$V_{\text{eff}} = \begin{pmatrix} V_{CC} + V_{NC} & 0 \\ 0 & V_{NC} \end{pmatrix}$$

# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX. MATTER

zoom.us video

The Schrödinger equation will be modified

$$i \frac{d}{dt} \begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \end{pmatrix} = H^m \begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \end{pmatrix}$$

$$H^m = \begin{pmatrix} c & s \\ -s & c \end{pmatrix} \begin{pmatrix} p + \frac{m_1^2}{2E} & 0 \\ 0 & p + \frac{m_2^2}{2E} \end{pmatrix} \begin{pmatrix} c & -s \\ s & c \end{pmatrix} + \begin{pmatrix} V_{CC} + V_{NC} & 0 \\ 0 & V_{NC} \end{pmatrix}$$

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$$= \begin{pmatrix} c & s \\ -s & c \end{pmatrix} \begin{pmatrix} \frac{m_1^2 - m_2^2}{4E} & 0 \\ 0 & \frac{m_2^2 - m_1^2}{4E} \end{pmatrix} \begin{pmatrix} c & -s \\ s & c \end{pmatrix} + \begin{pmatrix} V_{CC} & 0 \\ 0 & 0 \end{pmatrix}$$
$$+ \begin{pmatrix} p + \frac{m_1^2 + m_2^2}{4E} + V_{NC} & 0 \\ 0 & p + \frac{m_1^2 + m_2^2}{4E} + V_{NC} \end{pmatrix}$$

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$$H^m = \begin{pmatrix} c & s \\ -s & c \end{pmatrix} \begin{pmatrix} p + \frac{m_1^2}{2E} & 0 \\ 0 & p + \frac{m_2^2}{2E} \end{pmatrix} \begin{pmatrix} c & -s \\ s & c \end{pmatrix} + \begin{pmatrix} V_{CC} + V_{NC} & 0 \\ 0 & V_{NC} \end{pmatrix}$$
$$= \begin{pmatrix} c & s \\ -s & c \end{pmatrix} \begin{pmatrix} \frac{m_1^2 - m_2^2}{4E} & 0 \\ 0 & \frac{m_2^2 - m_1^2}{4E} \end{pmatrix} \begin{pmatrix} c & -s \\ s & c \end{pmatrix} + \begin{pmatrix} V_{CC} & 0 \\ 0 & 0 \end{pmatrix}$$
$$+ \begin{pmatrix} p + \frac{m_1^2 + m_2^2}{4E} + V_{NC} & 0 \\ 0 & p + \frac{m_1^2 + m_2^2}{4E} + V_{NC} \end{pmatrix} \longrightarrow \begin{array}{l} \text{global phase} \Rightarrow \text{cancels} \\ \text{when computing } P \end{array}$$



# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX. MATTER

zoom.us video

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$\begin{matrix} \bullet \\ | \\ -\Delta \end{matrix}$        $\begin{matrix} \bullet \\ | \\ \Delta \end{matrix}$

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zoom.us video

Thus, we have

$$i \frac{d}{dt} \begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \end{pmatrix} = \left[ \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix} \Delta \pm \begin{pmatrix} V_{CC} & 0 \\ 0 & 0 \end{pmatrix} \right] \begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \end{pmatrix}$$

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$V_{CC} = \sqrt{2}G_F n_e(t)$

Depending on the matter potential, it can be very difficult to solve.

We can focus on two simple and useful cases:

- $n_e(t) = \text{constant}$
- $n_e(t)$  changes very slowly (adiabatically)

# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX. MATTER

zoom.us video

- $n_e(t) = \text{constant}$

Can be a reasonable approximation inside the Earth.

If  $n_e = \text{constant}$ ,  $H^m$  can be diagonalized with  $U = \begin{pmatrix} \cos \theta_m & \sin \theta_m \\ -\sin \theta_m & \cos \theta_m \end{pmatrix}$  and

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta \mp V_{CC}/2\Delta)^2}$$

$$\Delta m_m^2 = \Delta m^2 \sqrt{\sin^2 2\theta + (\cos 2\theta \mp V_{CC}/2\Delta)^2}$$

$$P_{\alpha\beta}^m = \delta_{\alpha\beta} - \sin^2 2\theta_m \sin^2 \left( \frac{\Delta m_m^2 L}{4E} \right)$$

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– Vacuum limit:

If  $V_{CC} \ll 2\Delta \cos 2\theta \Rightarrow \theta_m \simeq \theta$ . Vacuum solution recovered.

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– Vacuum limit:

If  $V_{CC} \ll 2\Delta \cos 2\theta \Rightarrow \theta_m \simeq \theta$ . Vacuum solution recovered.

– Matter domination limit:

If  $V_{CC} \gg 2\Delta \cos 2\theta \Rightarrow$  matter effects dominate and the transition probability is suppressed.  
The system evolves to the initial flavor.

# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX. MATTER

zoom.us video

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– Resonance:

If  $V_{CC} = 2\Delta \cos 2\theta \Rightarrow \sin^2 2\theta_m = 1 \Rightarrow$  **maximal** oscillation  $\theta_m = \pi/4$  inside matter even if  $\theta \ll 1$ . Mikheyev-Smirnov-Wolfenstein (MSW) resonance.



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Resonance if  $\begin{matrix} \Delta m^2 > 0 \text{ and } V_{CC} > 0 (\nu) \\ \Delta m^2 < 0 \text{ and } V_{CC} < 0 (\bar{\nu}) \end{matrix} \Rightarrow \begin{matrix} \text{if } P_{\alpha\beta} \text{ enhanced over } \overline{P_{\alpha\beta}} \Rightarrow \Delta m^2 > 0 \\ \text{if } \overline{P_{\alpha\beta}} \text{ enhanced over } P_{\alpha\beta} \Rightarrow \Delta m^2 < 0 \end{matrix}$

# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX. MATTER

zoom.us video

- $n_e(t)$  changes very slowly (adiabatically)

Good approximation for neutrinos produced in the Sun.

If the matter potential changes very slowly

$$\left| \frac{dV_{CC}}{dt} \right| \ll |E_2 - E_1| \quad (\text{adiabatic condition})$$

the  $\nu$  has time to **adapt** to the change of the potential, and the solution at time  $t$

$$|\nu(t)\rangle = \alpha |\nu_1(t)\rangle + \beta |\nu_2(t)\rangle \quad \text{with} \quad H(t) |\nu_i(t)\rangle = E_i(t) |\nu_i(t)\rangle$$

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In the center of the Sun,  $\nu_e$  are produced via nuclear fusion and  $n_e \gg \Rightarrow V_{CC} \gg \Delta \Rightarrow$

$$H(t=0) \simeq \begin{pmatrix} V_{CC} & 0 \\ 0 & 0 \end{pmatrix} \Rightarrow |\nu_e\rangle \text{ is an eigenstate of } H!$$

$\Rightarrow |\nu_e(t \gg)\rangle$  **out of the Sun** must also be an eigenstate.

# NEUTRINO OSCILLATIONS: 2 FAMILY APPROX. MATTER

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- $n_e(t)$  changes very slowly (adiabatically)

Since  $|\nu_e(t=0)\rangle$  is the  $\nu$  with the **largest** eigenvalue  $\Rightarrow$

$|\nu_e\rangle$  emerges as the  $\nu$  with the **largest** eigenvalue in vacuum:  $|\nu_2\rangle$

$$|\nu_e\rangle \xrightarrow[\text{conversion}]{\text{adiabatic}} |\nu_2\rangle$$

The Sun produces  $|\nu_2\rangle$ . It is not really an oscillation.

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

We generalize for 3 families

$$|\nu_\alpha\rangle = U_{\alpha i}^* |\nu_i\rangle \quad \Rightarrow \quad |\nu_\alpha(L)\rangle = e^{-ipL} e^{-i\frac{m_i^2 L}{2E}} U_{\alpha i}^* |\nu_i\rangle \quad \Rightarrow \quad \langle\nu_\beta|\nu_\alpha(L)\rangle = e^{-ipL} e^{-i\frac{m_i^2 L}{2E}} U_{\beta i} U_{\alpha i}^*$$

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Thus, we have

$$\begin{aligned} P_{\alpha\beta} (\overline{P_{\alpha\beta}}) &= \sum_{i,j} U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* e^{i\frac{\Delta m_{ij}^2 L}{2E}} \\ &= \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re} [U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2 (\Delta_{ij}) \\ &\quad + (-) 2 \sum_{i>j} \text{Im} [U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin (2\Delta_{ij}) \end{aligned}$$

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$\mathcal{CP}$

Jarlskog invariant  
(measure of CPV in  $\nu$ )

“GIM” cancellation



# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

For 3 families, the unitary rotation that diagonalizes the mass matrix is given by the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) mixing matrix.

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3 families  $\Rightarrow$  parametrized by 3 angles  $\theta_{ij}$ , 1 Dirac phase  $\delta$ , and 2 Majorana phases  $\alpha_i$

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{-i\frac{\alpha_2}{2}} & 0 \\ 0 & 0 & e^{-i\frac{\alpha_3}{2}} \end{pmatrix}$$

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“atmospheric” angle

$$\theta_{23} \simeq 45^\circ$$

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“reactor” angle

$$\theta_{13} \simeq 8.5^\circ$$

$\delta$  Dirac phase

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“atmospheric” angle

$$\theta_{23} \simeq 45^\circ$$

“reactor” angle

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$\delta$  Dirac phase

“solar” angle

$$\theta_{12} \simeq 33^\circ$$

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Majorana phases do

not participate in

$\nu$  oscillations

(only in  $L$  processes)

# NEUTRINO OSCILLATIONS: 3 FAMILIES

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With these values of the mixing angles, the amount of  $\mathcal{CP}$  in the leptonic sector

$$J \equiv \text{Im} \left[ U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right] = \frac{1}{8} \sin(2\theta_{12}) \sin(2\theta_{13}) \sin(2\theta_{23}) \cos\theta_{13} \sin\delta$$

$$\simeq 0.03 \sin\delta$$

$\rightarrow$  lecture by Zsolt Szép



# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

Moreover, 3 families  $\Rightarrow$  2 mass differences

$$\Delta m_{21}^2 \simeq 7.5 \cdot 10^{-5} \text{ eV}^2 \quad \text{“solar” mass splitting } (\Delta m_{\text{sol}}^2) \quad (> 0 \text{ known sign!})$$

$$|\Delta m_{31}^2| \simeq 2.4 \cdot 10^{-3} \text{ eV}^2 \quad \text{“atmospheric” mass splitting } (\Delta m_{\text{atm}}^2)$$

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Since

$$\theta_{13} \ll \theta_{12}, \theta_{23}$$

$$\Delta m_{21}^2 \ll |\Delta m_{31}^2|$$

the simple 2-family approximation  
recovered in almost all the regimens

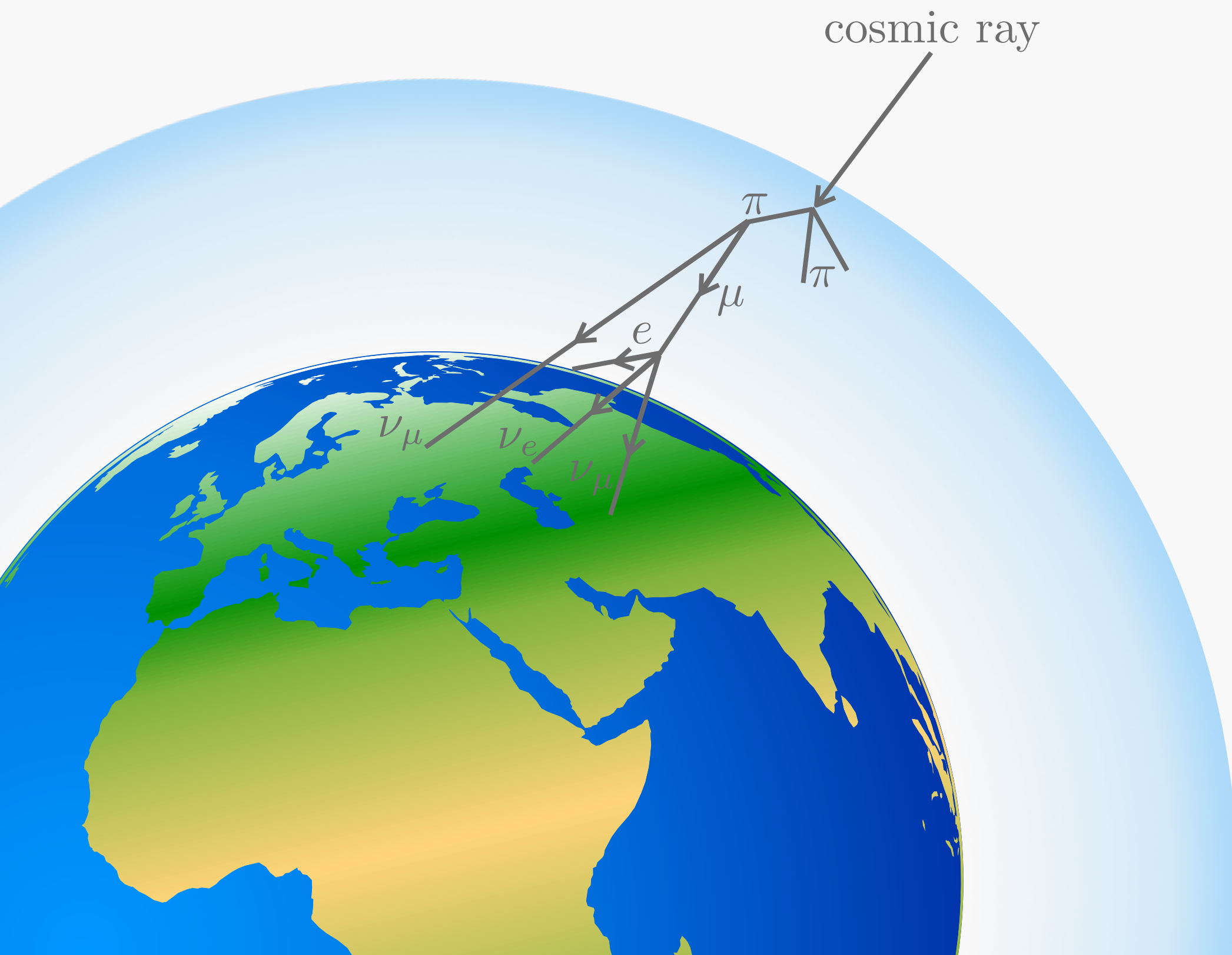
$$P_{\alpha\beta} = \delta_{\alpha\beta} - \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

- Atmospheric regime

Atmospheric  $\nu$  produced in the atmosphere by collision of high-energy cosmic rays



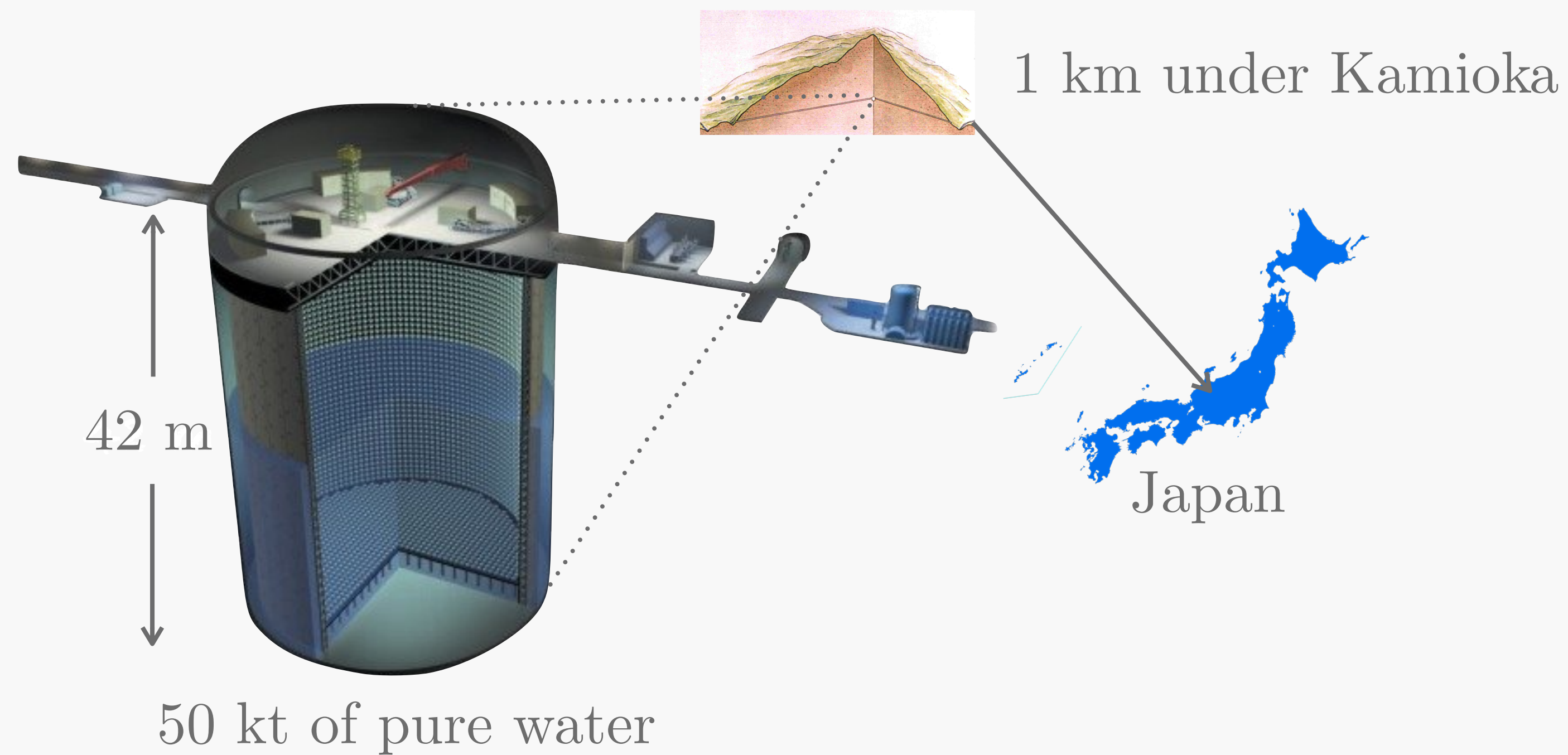
These  $\nu_{\mu}$  and  $\nu_e$  are **detected** by SuperKamiokande (SK) in Japan.

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

- Atmospheric regime

SuperKamiokande is a water-Cherenkov detector.



# NEUTRINO OSCILLATIONS: 3 FAMILIES

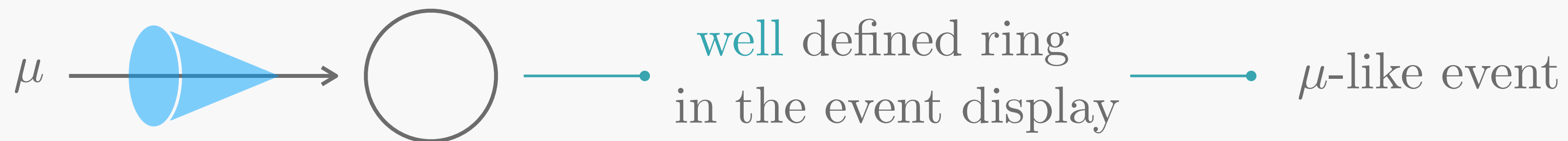
zoom.us video

- Atmospheric regime

SuperKamiokande is a water-Cherenkov detector.

The incoming  $\nu_\alpha$  produces a  $\ell_\alpha$  via CC interaction in SK

$$v_\ell \simeq c > c_{\text{water}} \Rightarrow \text{Cherenkov radiation}$$



# NEUTRINO OSCILLATIONS: 3 FAMILIES

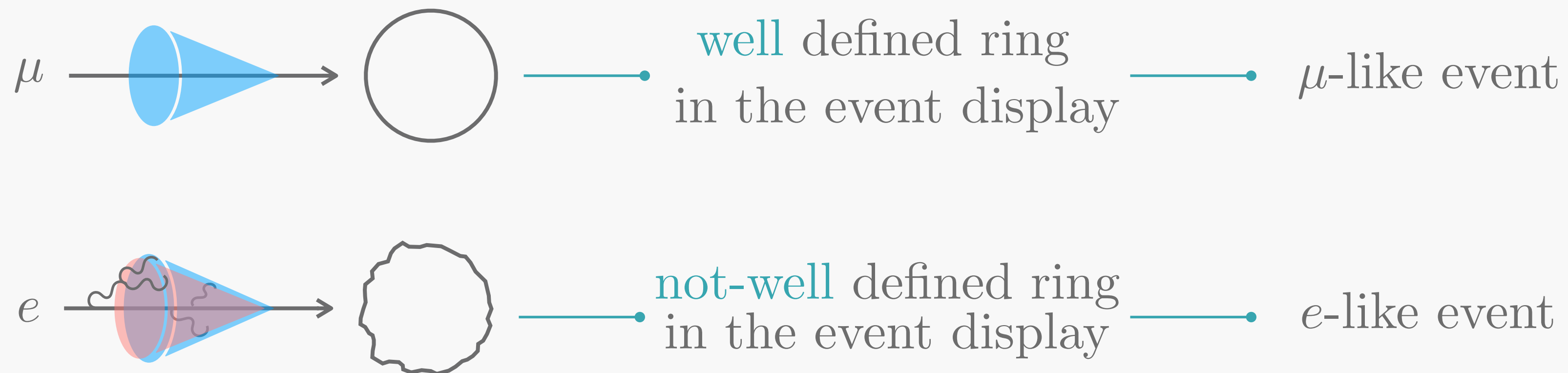
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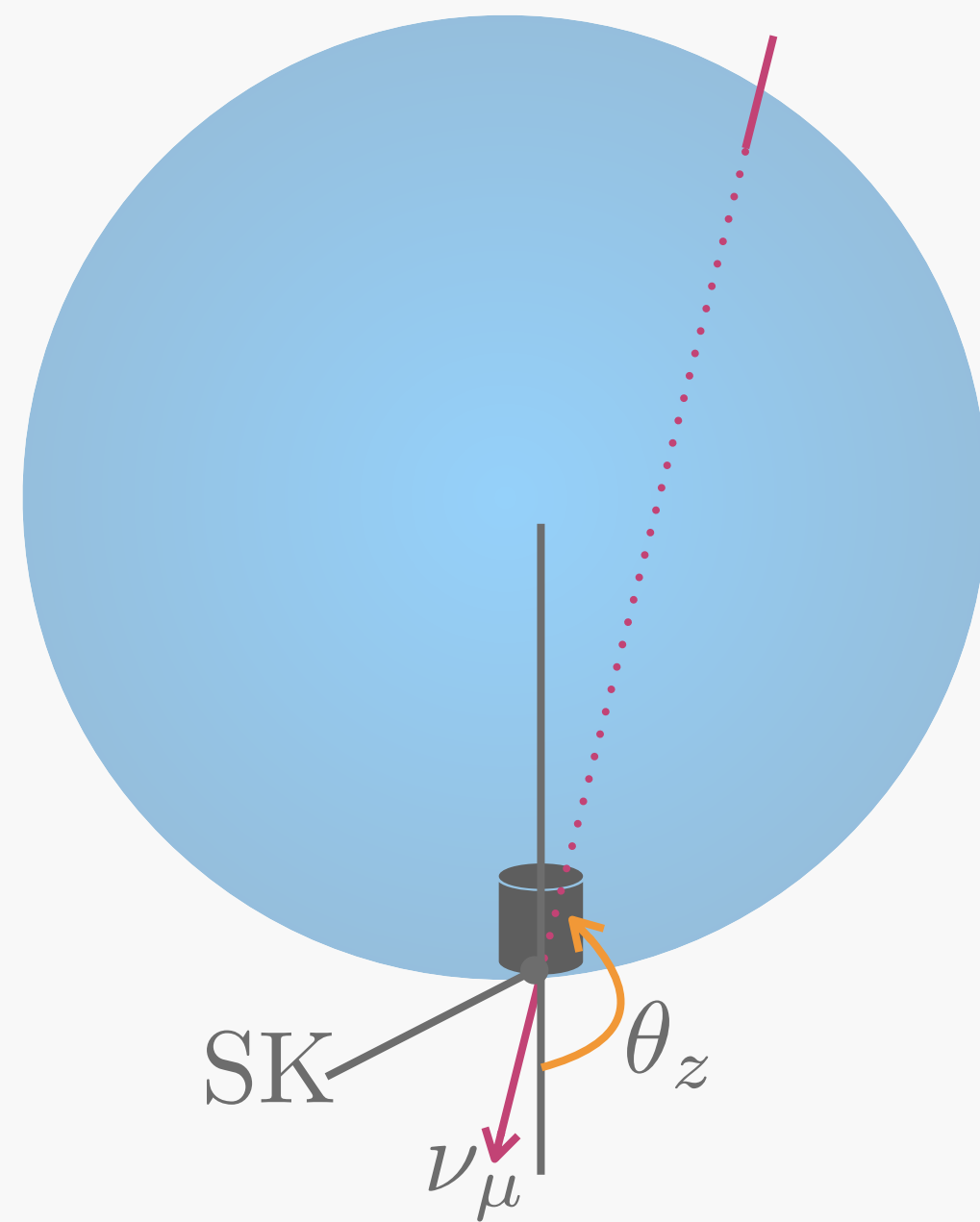


# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

- Atmospheric regime

They count number of *e-like* and  *$\mu$ -like* events as a function of *zenit* angle  $\theta_z$

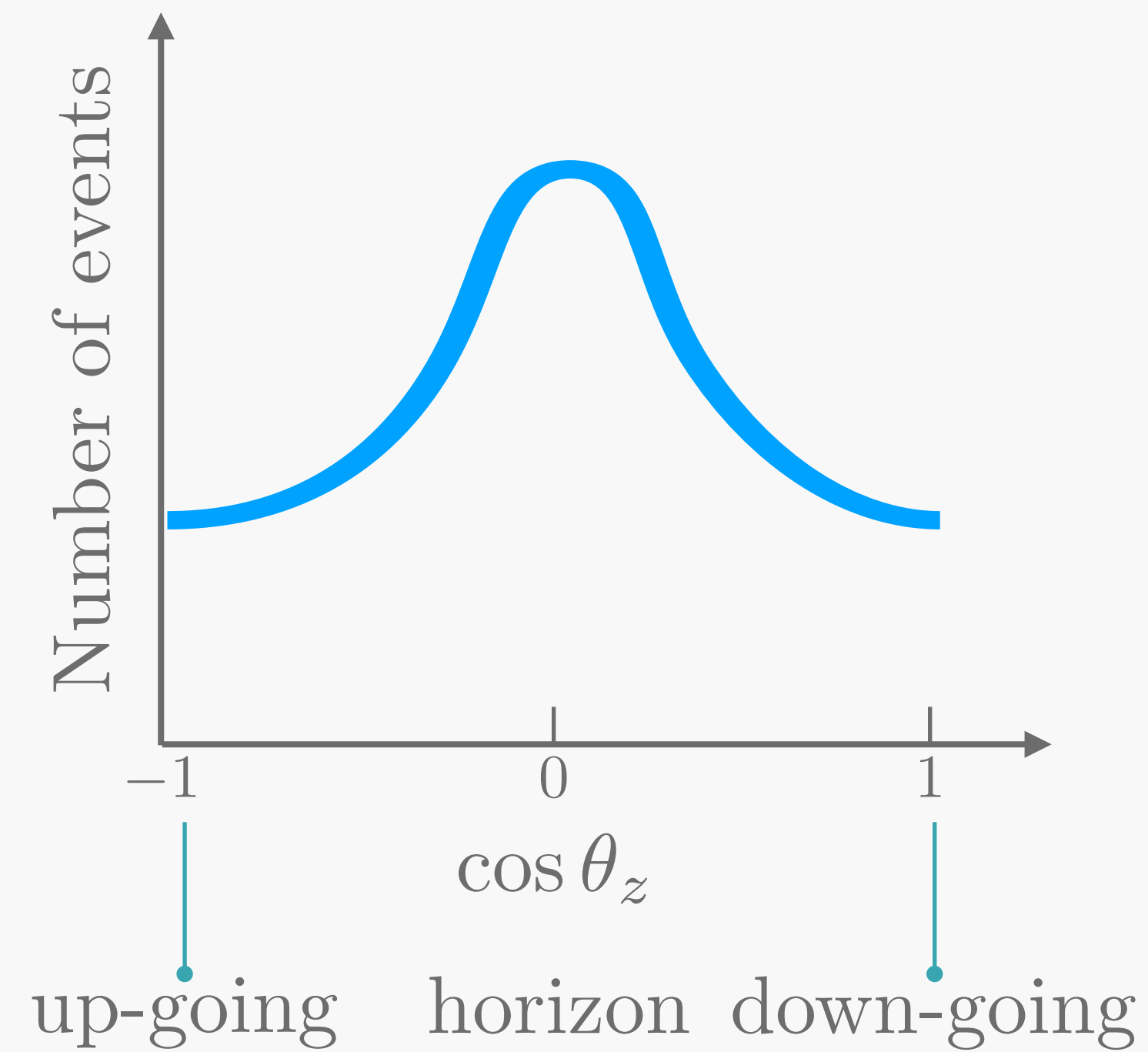
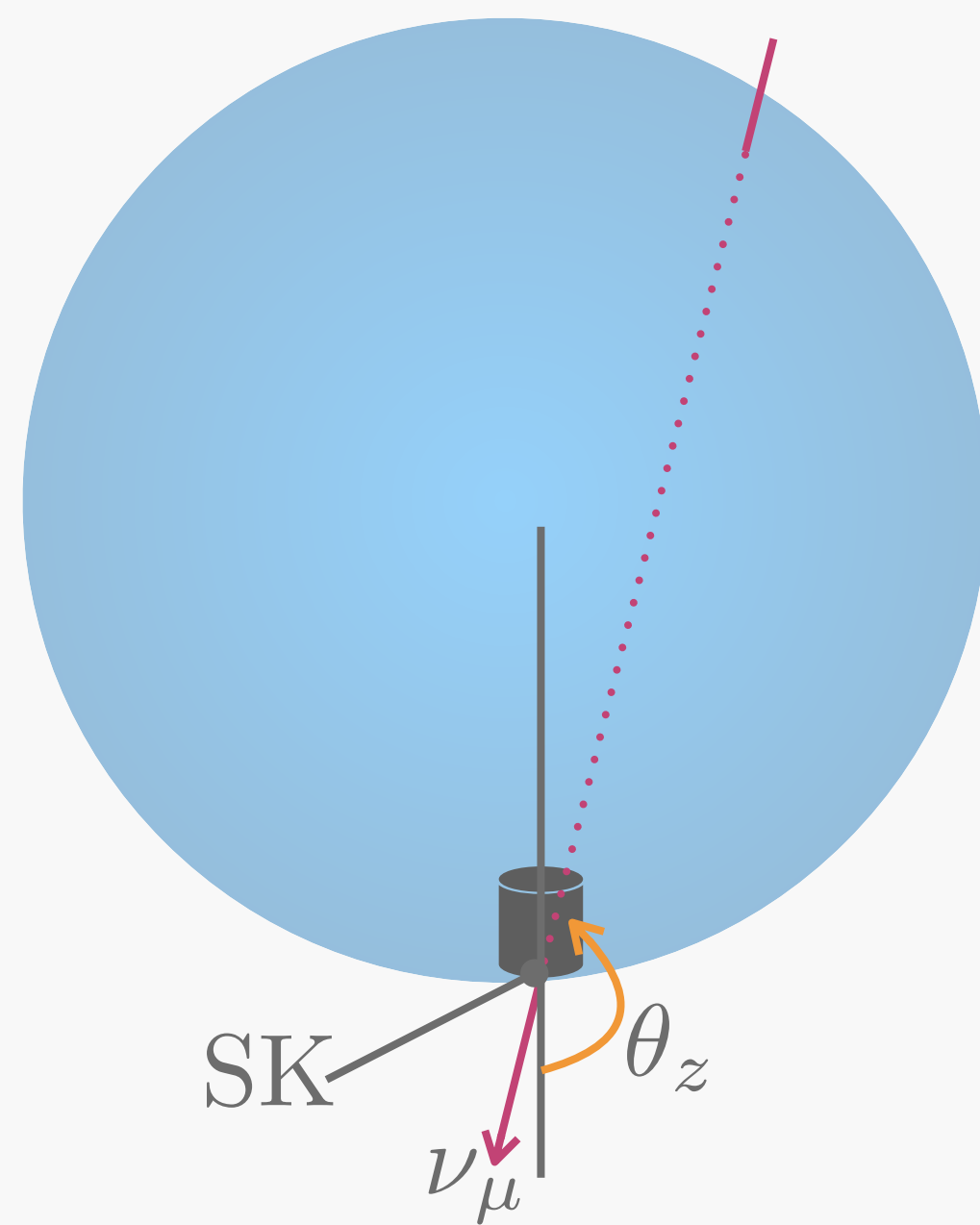


# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

- Atmospheric regime

They count number of *e-like* and  *$\mu$ -like* events as a function of *zenit* angle  $\theta_z$



assuming *no* oscillations

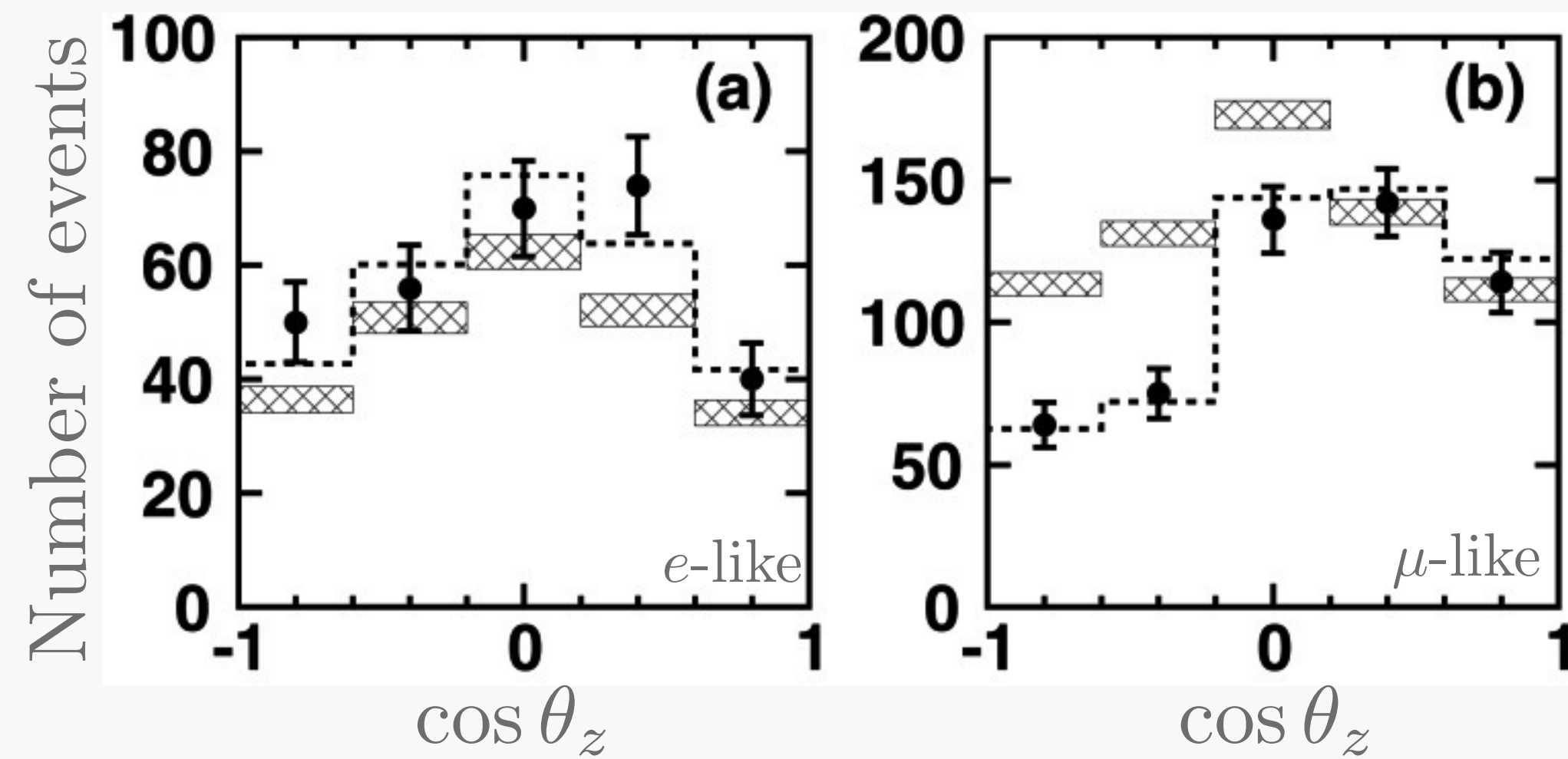


# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

- Atmospheric regime

How it started



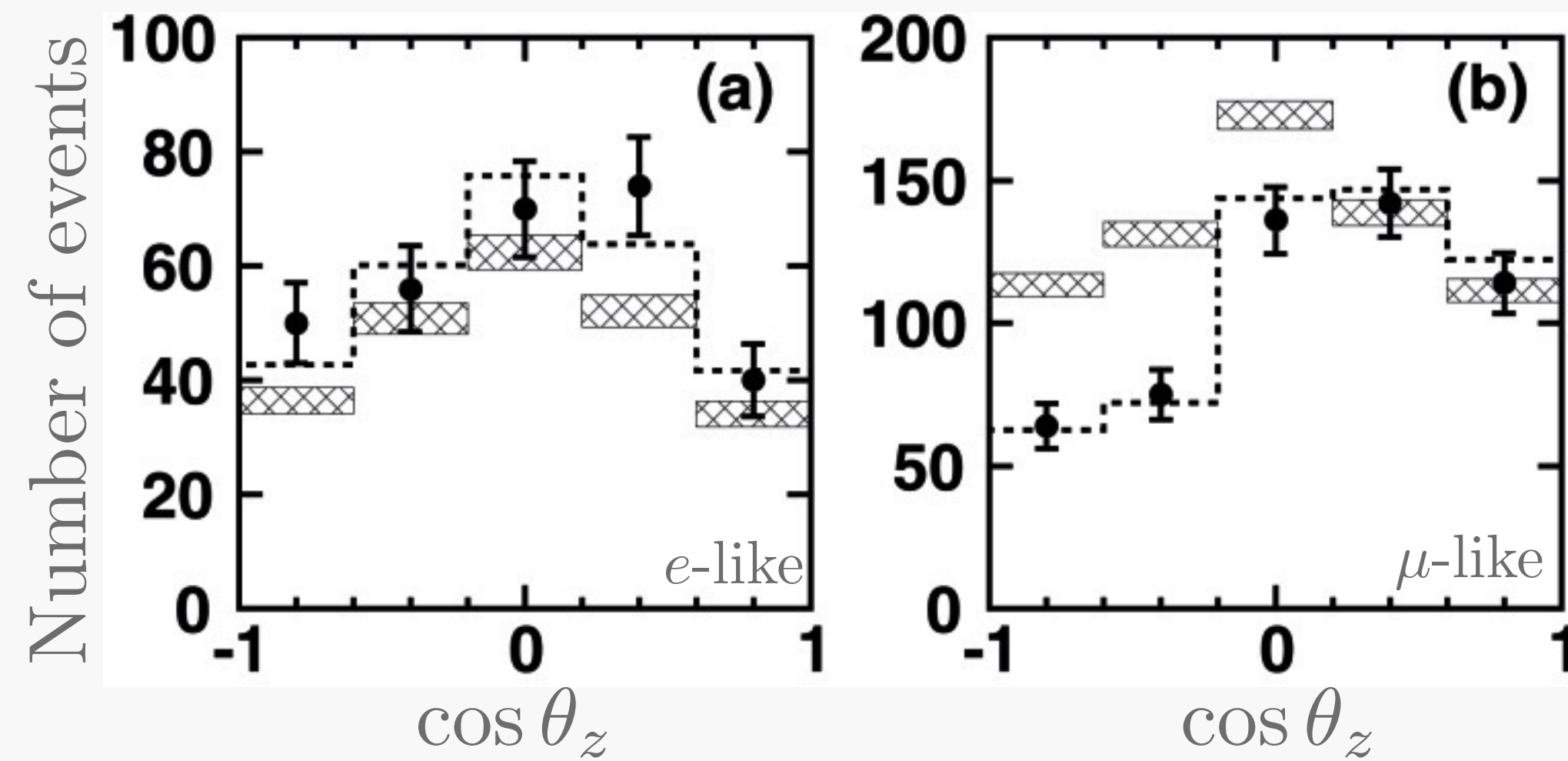
SK (535 days)

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

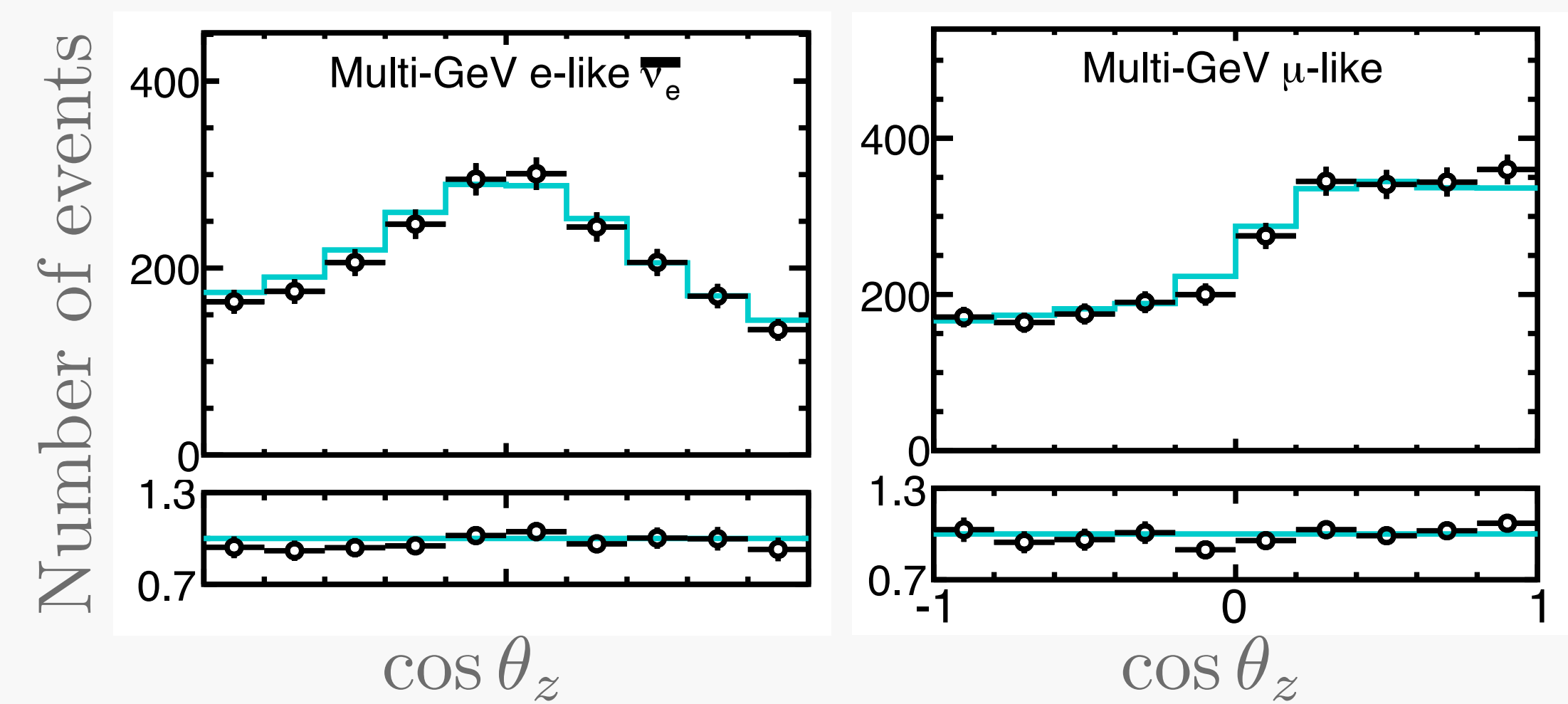
- Atmospheric regime

How it started



SK (535 days)

How it's going



SK I-IV (5326 days)

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

- Atmospheric regime

Characterized by  $E \sim$  few GeV, and  $L \sim$  1000 km.

$$\frac{\Delta m_{21}^2 L}{4E} \simeq 0 \ll \frac{\Delta m_{32}^2 L}{4E} \simeq \frac{\Delta m_{31}^2 L}{4E}$$

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zoom.us video

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Measured by SuperKamiokande. Confirmed by accelerator LBL experiments

– K2K  $\sim 250$  km    – MINOS  $\sim 735$  km    – T2K  $\sim 295$  km

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

- Solar regime ( $E \sim$  few MeV)

Inside the Sun, hydrogen is converted into helium during the nuclear fusion



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zoom.us video

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Adiabatic approximation: the  $\nu_e$  at the surface of the Sun goes to the eigenstate in vacuum with the largest eigenvalue,  $\nu_2$ , since  $\nu_3$  goes with  $|U_{e3}| \simeq \sin \theta_{13} \ll 1$ .



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zoom.us video

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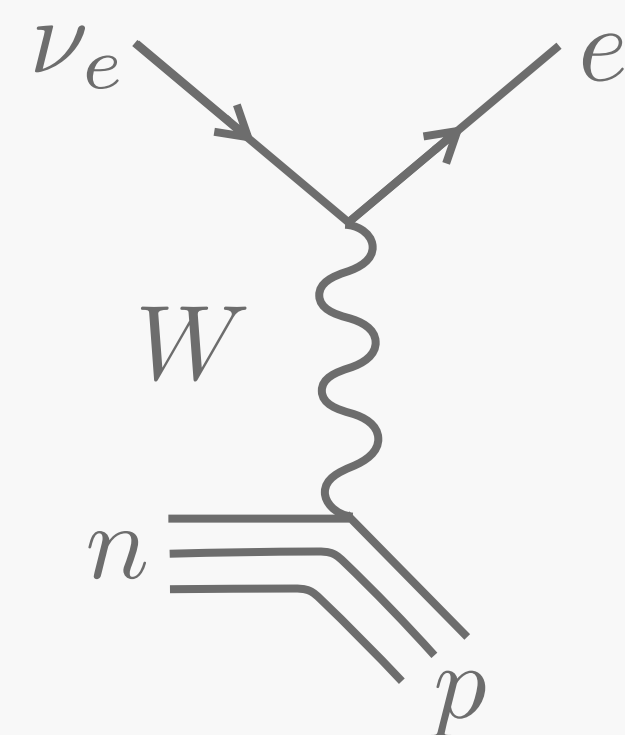
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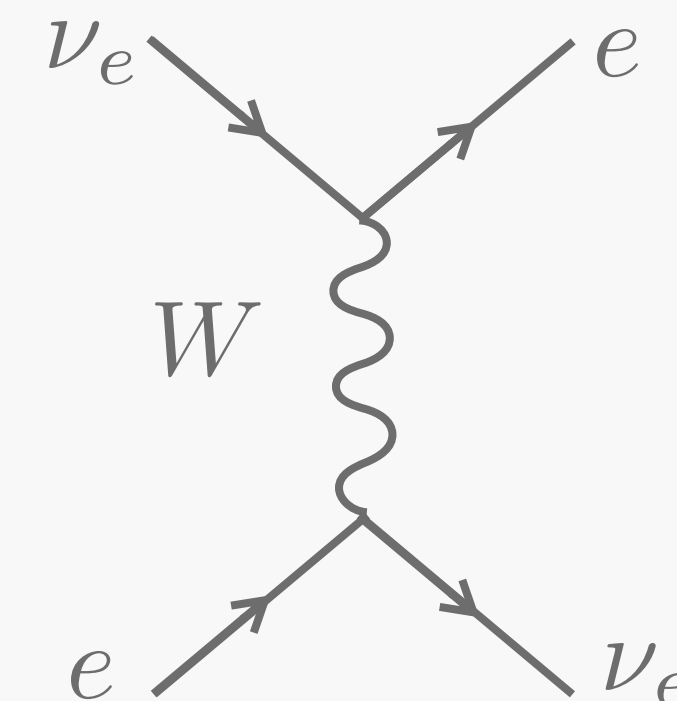
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Finally,  $\nu_2$  will be detected in SuperKamiokande as  $\nu_e$  via

CC



ES



# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

- Solar regime ( $E \sim$  few MeV)

Therefore, the probability of measuring the  $\nu_e$  produced in the Sun goes like

$$P_{\nu_e \rightarrow \nu_e}^{\text{Sun}} \simeq |\langle \nu_e | \nu_2 \rangle|^2 = |(U_{\text{PMNS}})_{e2}|^2 \simeq \cos^2 \theta_{12}$$

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zoom.us video

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zoom.us video

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Measured by SuperKamiokande, SNO and Borexino.

Confirmed by KamLAND, a reactor experiment measuring  $\bar{\nu}_e$  disappearance

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e}^{\text{K-LAND}} \simeq 1 - \sin^2 (2\theta_{12}) \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right)$$

$$E \sim \text{few MeV}$$
$$L \sim 100 \text{ km}$$

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

- Reactor regime ( $E \sim$  few MeV and  $L \sim 1$  km)

At **least two** detectors; one close to the nuclear reactor and the other about 1 km away are used to measure the  $\bar{\nu}_e$  flux.

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

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In this regime, the  $\bar{\nu}_e$  survival probability goes like

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e}^{\text{reactor}} \simeq 1 - \sin^2(2\theta_{13}) \sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right)$$

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

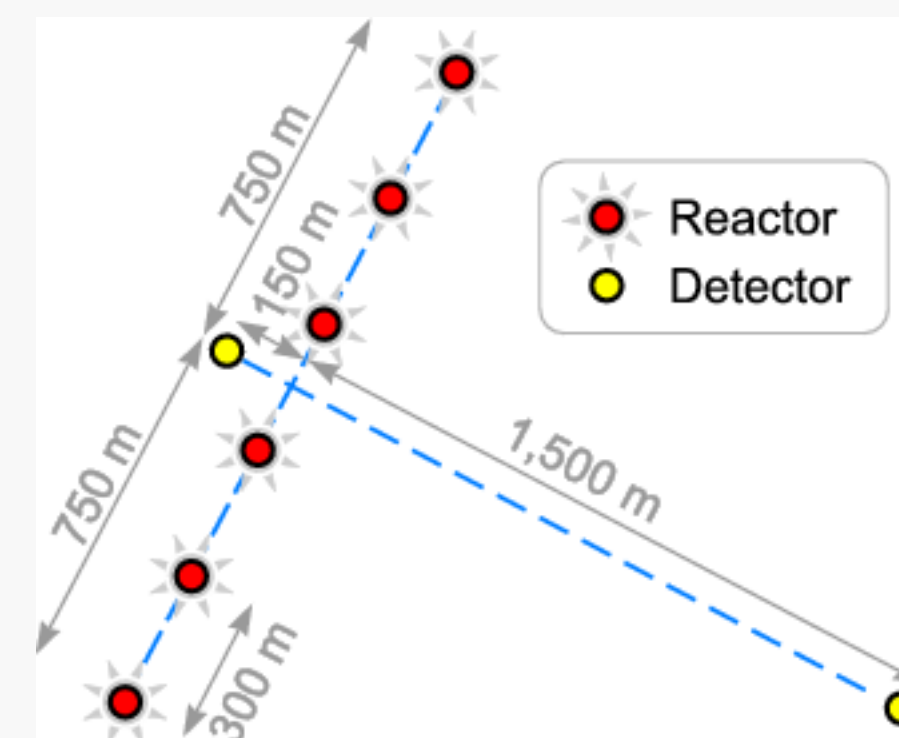
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Measured by Daya Bay, RENO and Double CHOOZ. Discovery of  $\theta_{13} \neq 0$  in 2012!



Scheme of the RENO experiment (South Korea)

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

Summary of the [dependence](#) on the neutrino oscillation parameters

Neutrino oscillation experiment	Leading dependence	Subleading dependence
Solar experiments [1]	$\theta_{12}$	$\Delta m_{\text{sol}}^2$ & $\theta_{13}$
Reactor LBL [2]	$\Delta m_{\text{sol}}^2$	$\theta_{12}$ & $\theta_{13}$
Reactor MBL [3]	$\theta_{13}$	$ \Delta m_{\text{atm}}^2 $
Atmospheric experiments [4]	$\theta_{23}$	$\Delta m_{\text{atm}}^2$ , $\theta_{13}$ & $\delta$
Acc. LBL $\nu_{\mu}$ & $\bar{\nu}_{\mu}$ disappearance [5]	$ \Delta m_{\text{atm}}^2 $	$\theta_{23}$ & $\theta_{13}$
Acc. LBL $\nu_e$ appearance [6]	$\theta_{13}$	$\Delta m_{\text{atm}}^2$ , $\delta$ & $\theta_{23}$

[1] SNO, Borexino, Gallex, SK

[2] KamLAND

[3] Daya Bay, Reno, Double-Chooz

[4] SK, MINOS, IceCUBE

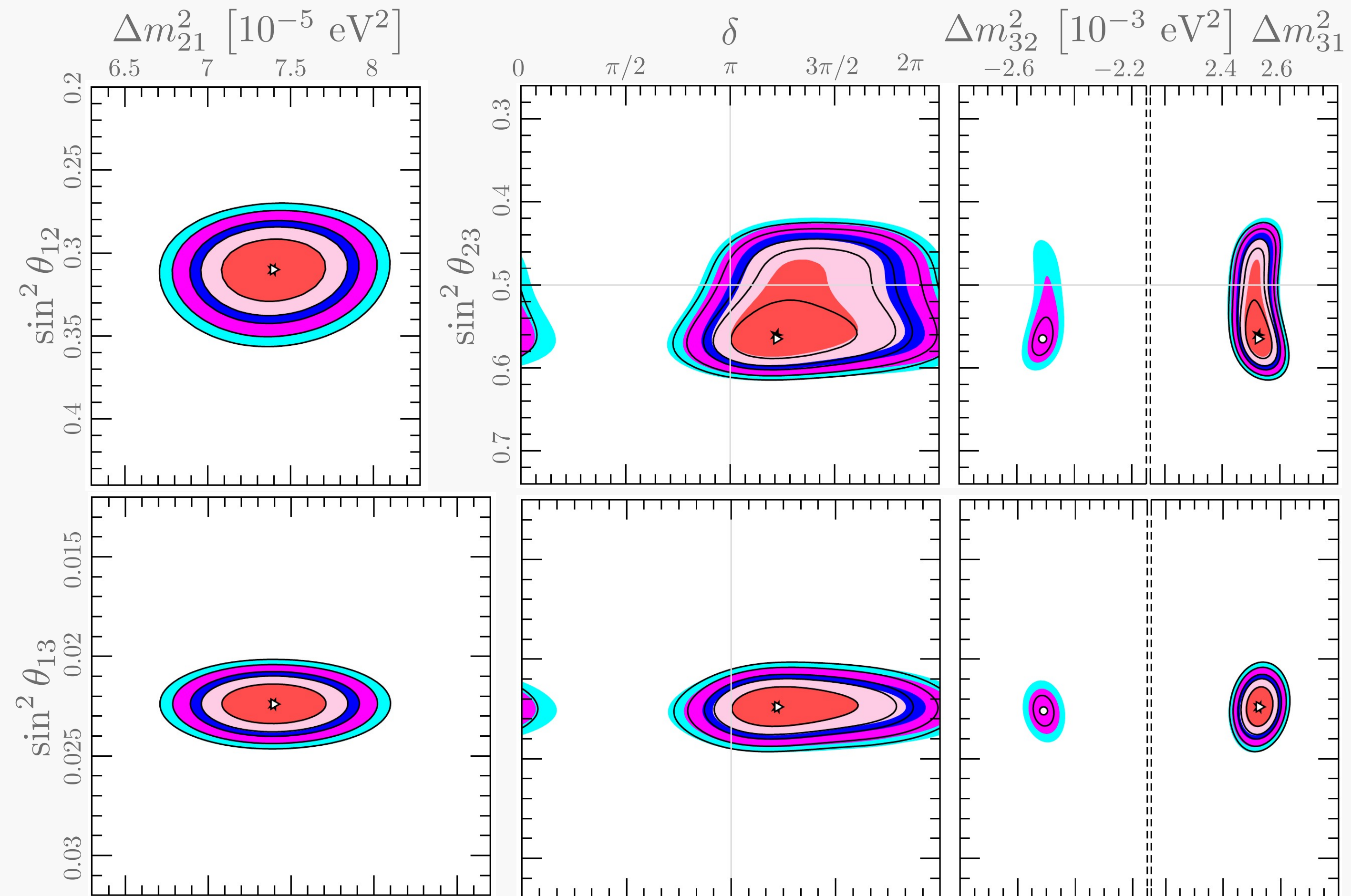
[5,6] T2K, MINOS, NO $\nu$ A



# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

Present values obtained through a [global-fit](#) to a complete set of  $\nu$  oscillation experiments



# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

The present **best-fit** values of the neutrino oscillation parameters are

$\sin^2 \theta_{12}$	$0.310^{+0.013}_{-0.012}$
$\sin^2 \theta_{23}$	$0.558^{+0.020}_{-0.033}$
$\sin^2 \theta_{13}$	$0.02141^{+0.00066}_{-0.00065}$
$\Delta m_{\text{sol}}^2$	$7.39^{+0.21}_{-0.20} \cdot 10^{-5} \text{eV}^2$
$ \Delta m_{\text{atm}}^2 $	$2.523^{+0.032}_{-0.030} \cdot 10^{-3} \text{eV}^2$

NuFIT 4.1  
www.nu-fit.org

In the global-fit, the normalization of reactor fluxes is left free while data from short-baseline reactor experiments are included. The values of  $\sin^2 \theta_{23}$  and  $\sin^2 \theta_{13}$  are obtained assuming normal ordering.

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

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However, there are still some **unknown** values

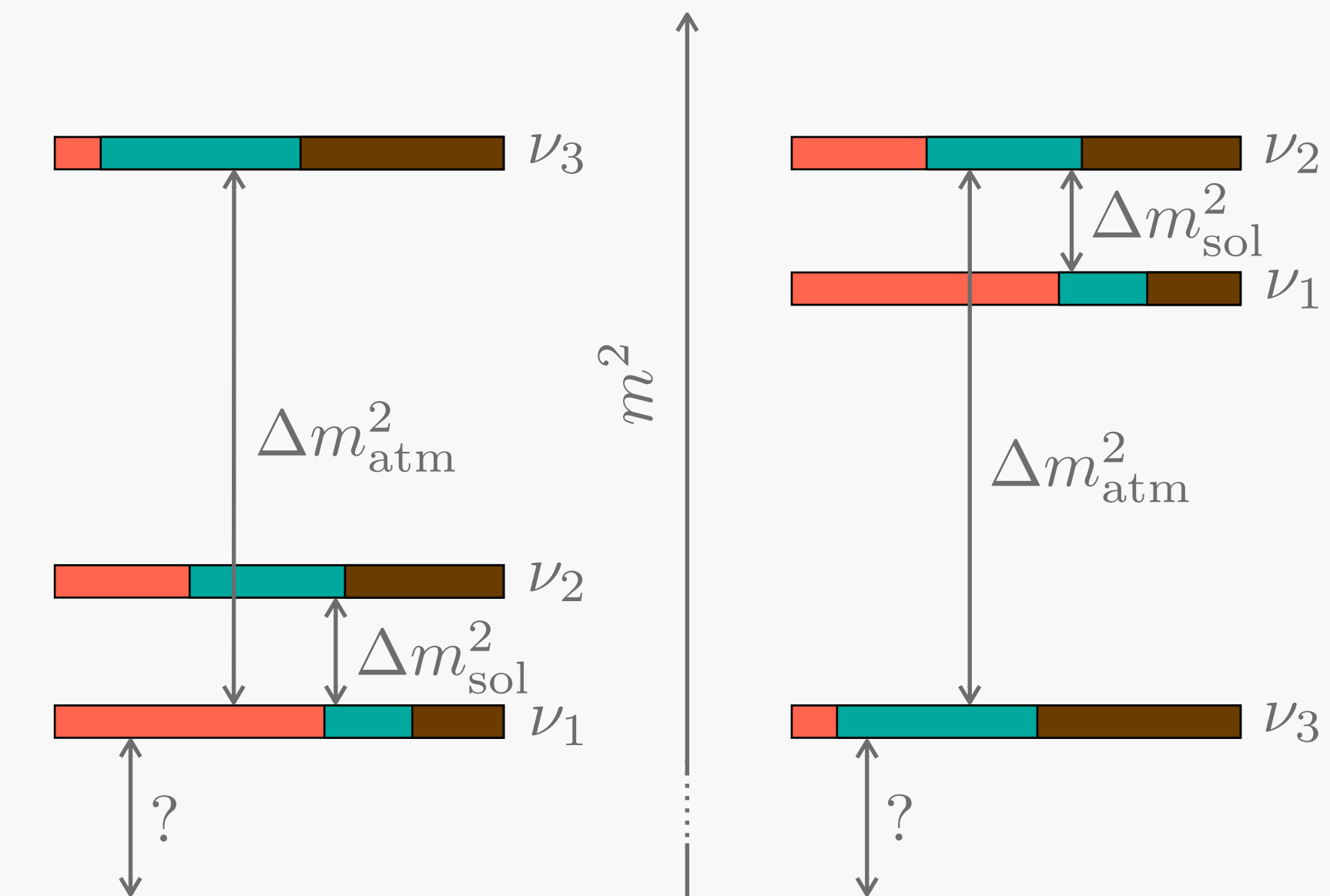
- $\delta$  (**maximal?**)  $\Rightarrow$   $\mathcal{CP}$  ?
- $\theta_{23}$  octant (**maximal mixing?**)
- $\Delta m_{\text{atm}}^2$  sign

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

The **sign** of  $\Delta m_{\text{atm}}^2$  gives rise to

Normal Hierarchy  
(NH)



Inverted Hierarchy  
(IH)

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

The **best window** to measure mass ordering and  $\mathcal{CP}$  in the leptonic sector is through  $\nu_\mu \rightarrow \nu_e$  disappearance channel at **accelerator LBL**  $\nu$  experiments.

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zoom.us video

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The probability of the **golden channel** goes like

$$\begin{aligned} P_{\nu_\mu \rightarrow \nu_e} &\simeq c_{23}^2 \sin^2(2\theta_{12}) \sin^2(\Delta_{21}) \\ &\quad + s_{23}^2 \sin^2(2\theta_{13}) \sin^2(\Delta_{31}) \\ &\quad + c_{13} \sin(2\theta_{12}) \sin(2\theta_{13}) \sin(2\theta_{23}) \cos(\pm\delta - \Delta_{31}) \sin(\Delta_{31}) \sin(\Delta_{21}) \end{aligned}$$

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

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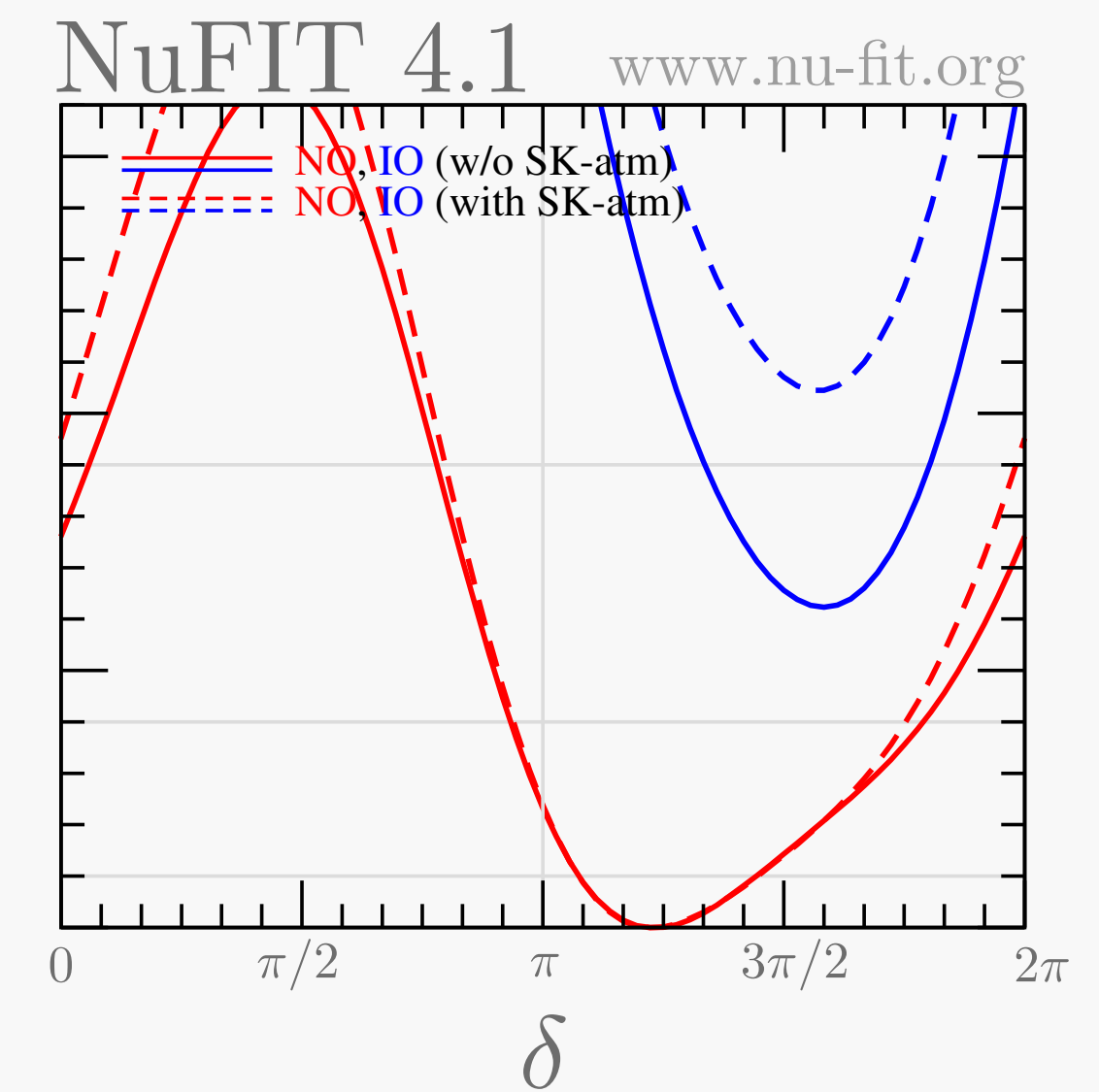
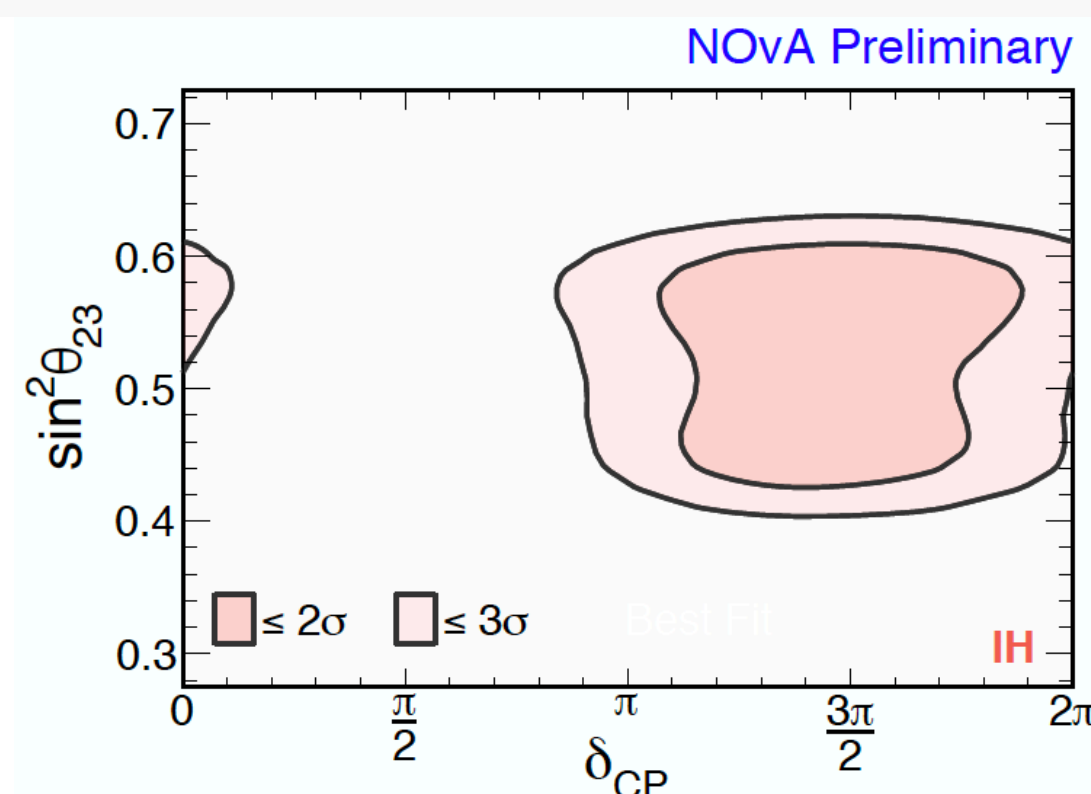
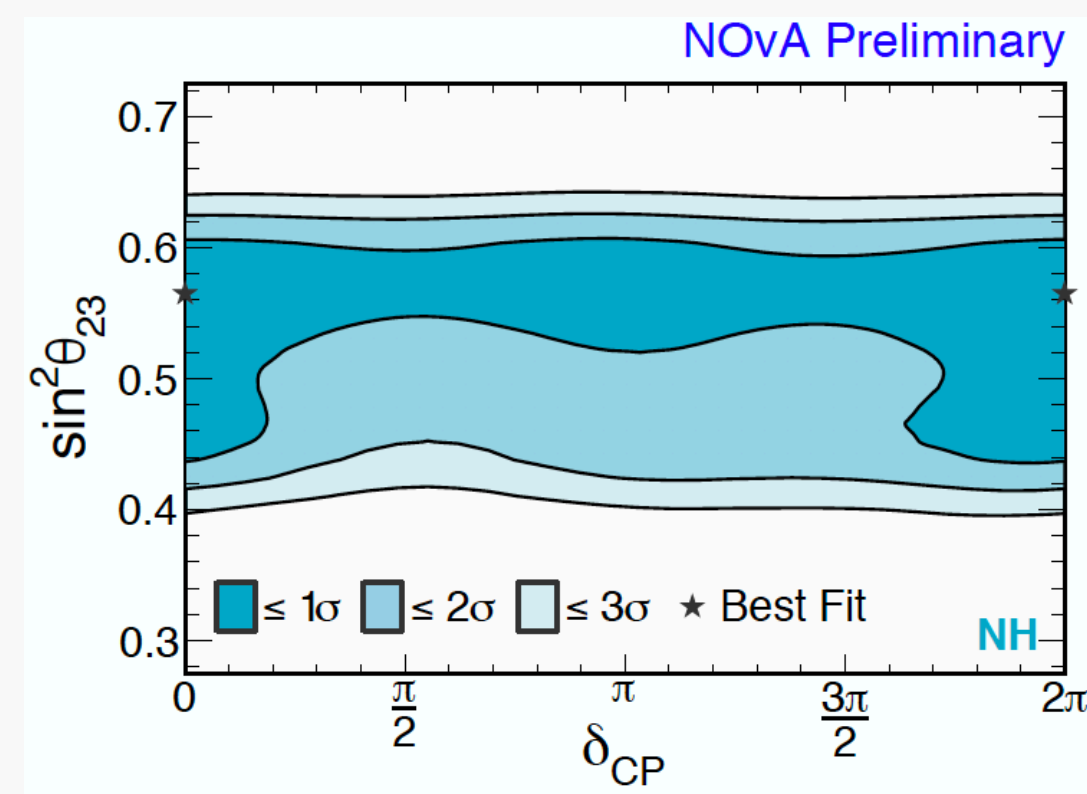
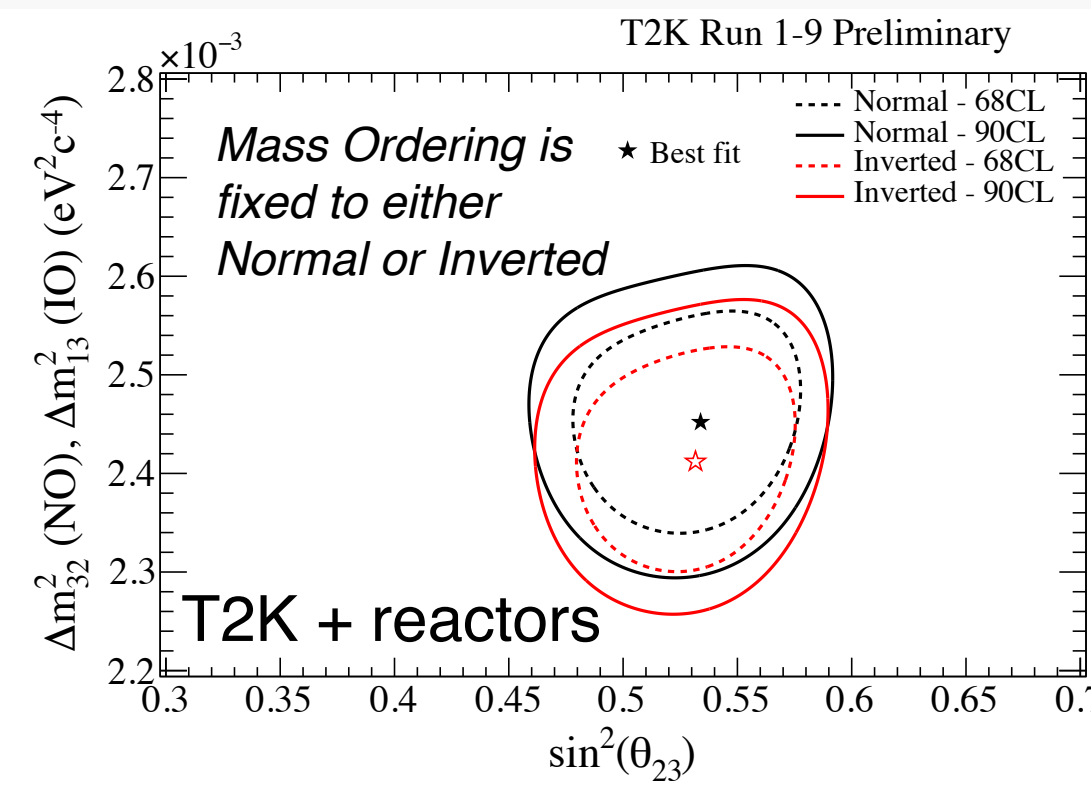
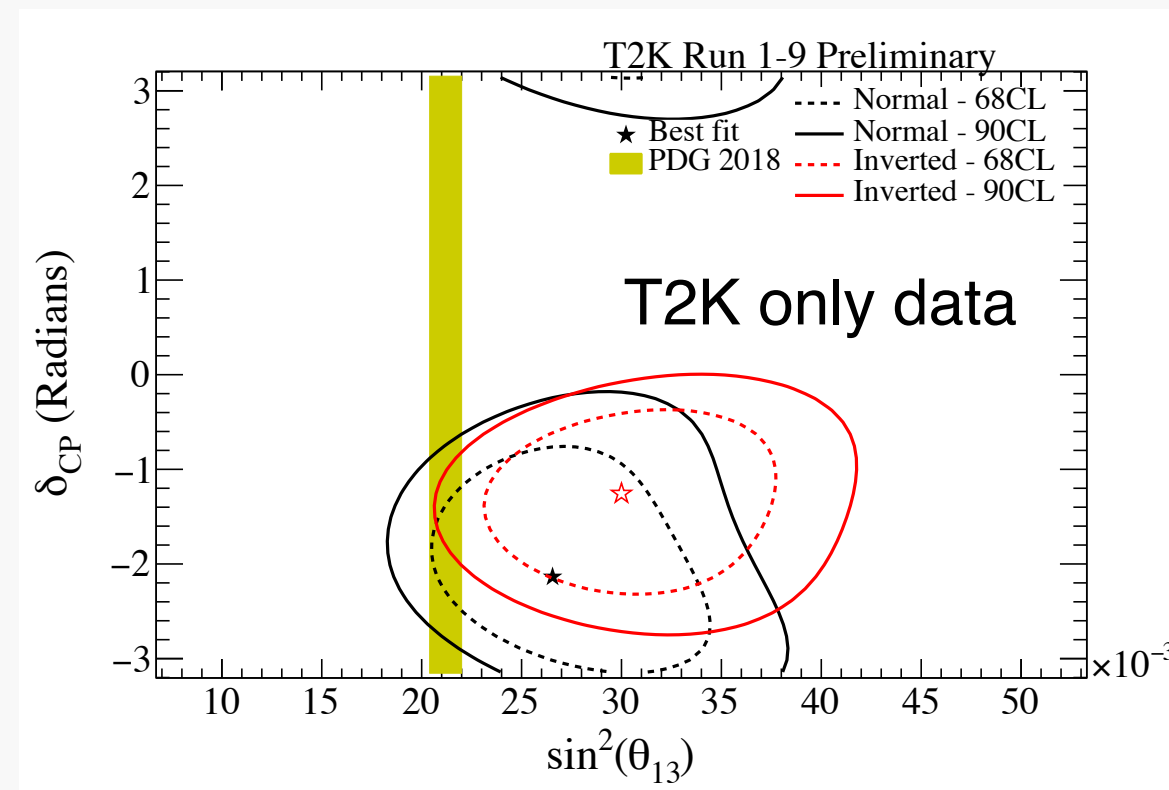
$$P_{\nu_\mu \rightarrow \nu_e} \simeq c_{23}^2 \sin^2(2\theta_{12}) \sin^2(\Delta_{21}) \quad \text{—————} \bullet \text{ solar regime}$$
$$+ s_{23}^2 \sin^2(2\theta_{13}) \sin^2(\Delta_{31}) \quad \text{—} \bullet \text{ atmospheric regime}$$
$$+ c_{13} \sin(2\theta_{12}) \sin(2\theta_{13}) \sin(2\theta_{23}) \cos(\pm\delta - \Delta_{31}) \sin(\Delta_{31}) \sin(\Delta_{21}) \quad \text{—————} \bullet \mathcal{CP} \text{ interference}$$

By comparing  $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance channels, they could measure  $\delta$ .

# NEUTRINO OSCILLATIONS: 3 FAMILIES

zoom.us video

T2K ( $L \simeq 295$  km,  $E \simeq 1$  GeV) and NO $\nu$ A ( $L \simeq 810$  km,  $E \simeq 3$  GeV) preliminary results



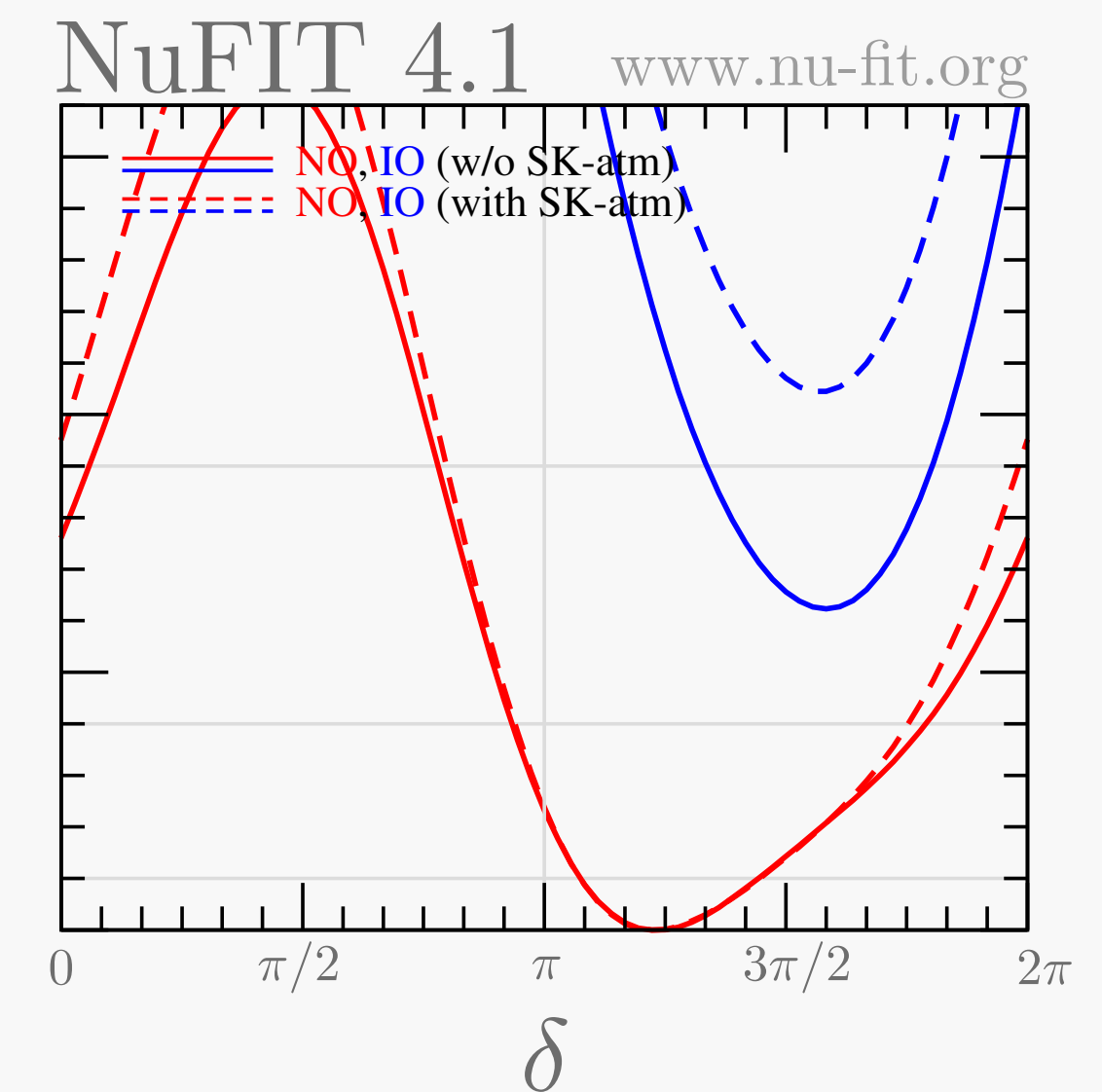
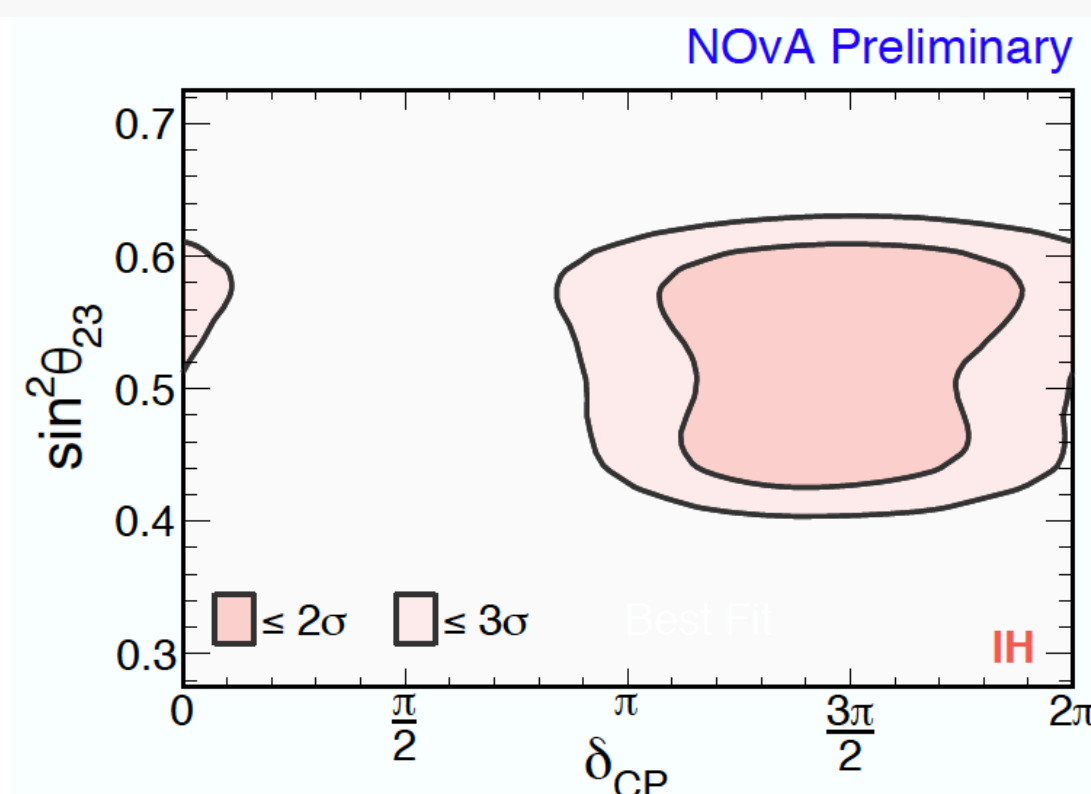
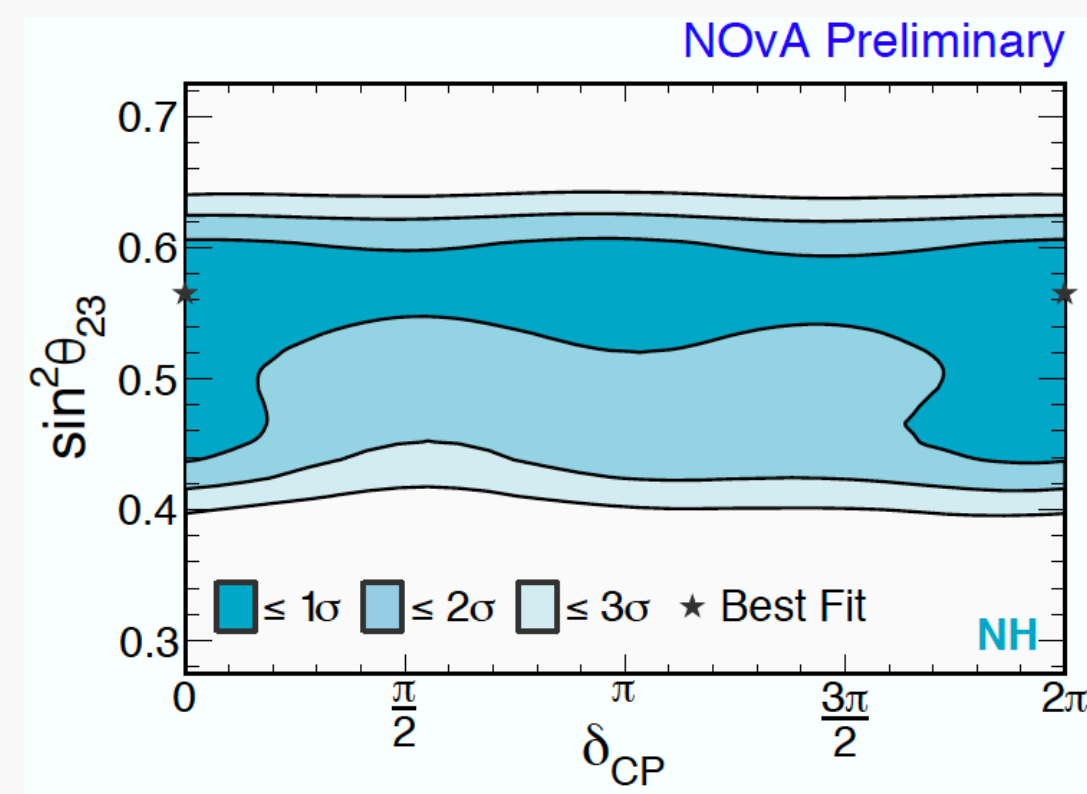
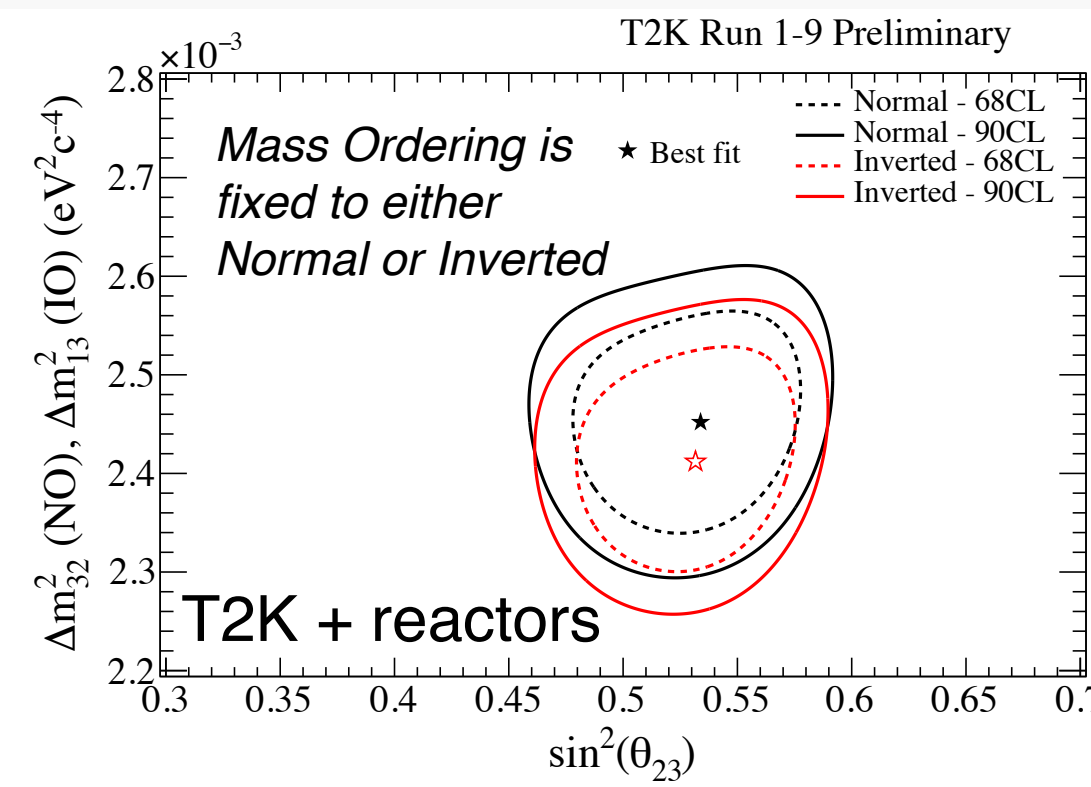
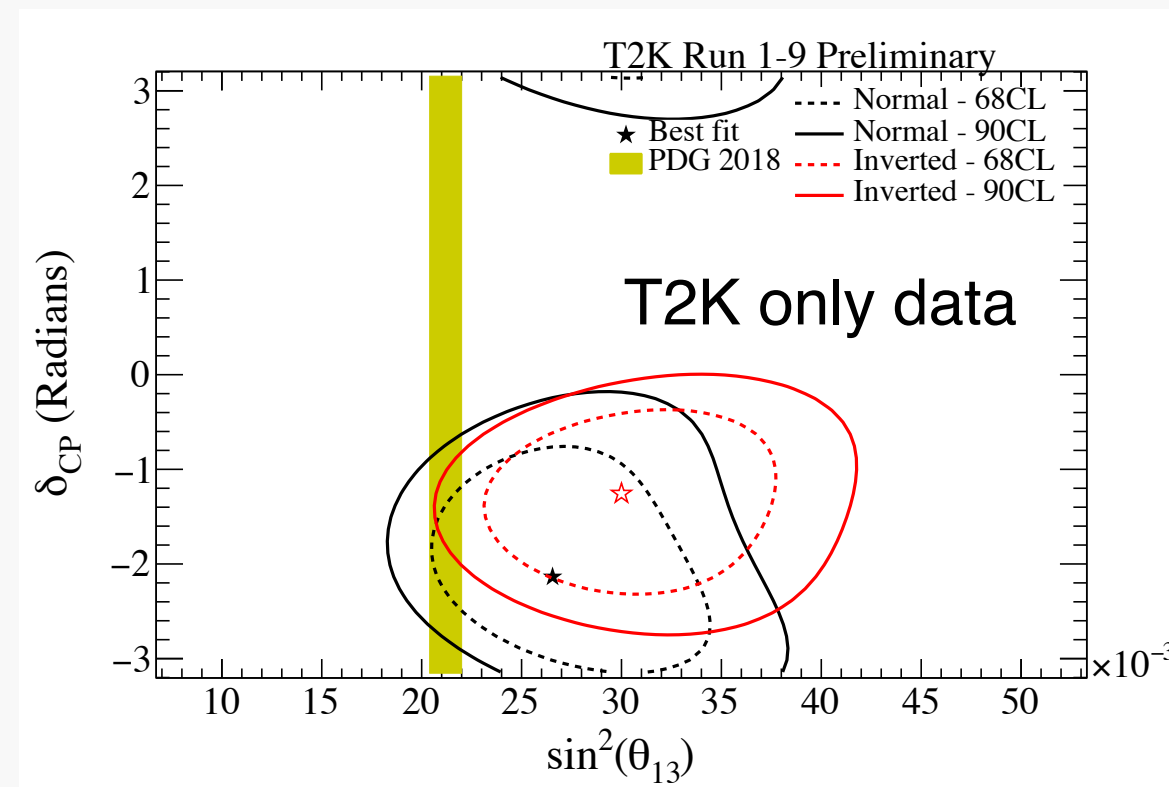
Mild preference for  
NH +  $\delta \simeq 3\pi/2$



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zoom.us video

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Mild preference for  
 $NH + \delta \simeq 3\pi/2$

Will be confirmed by future  $\nu$  oscillation experiments: DUNE & T2HK

zoom.us video

## PART II

EFFECTIVE APPROACH TO NEUTRINO INTERACTIONS,

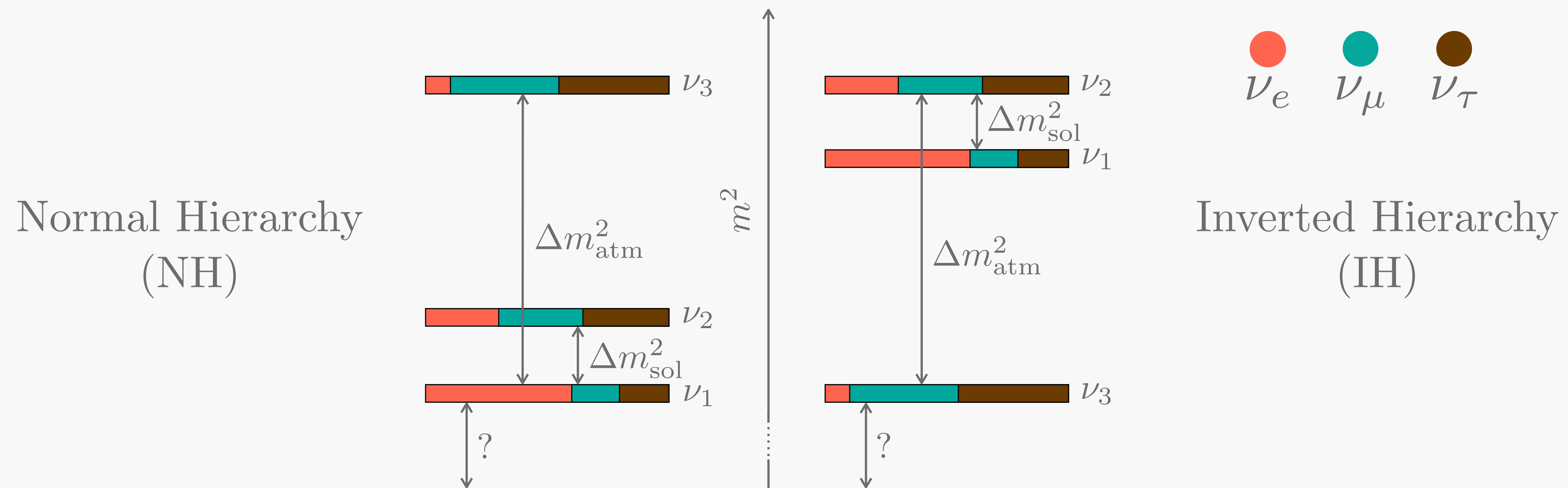
ABSOLUTE MASS SCALE OF NEUTRINOS

AND LEPTOGENESIS

# NEUTRINO MASS SCALE FROM $\beta$ -DECAY

zoom.us video

Remember that...



The [neutrino mass scale](#) is not known.

# NEUTRINO MASS SCALE FROM $\beta$ -DECAY

zoom.us video

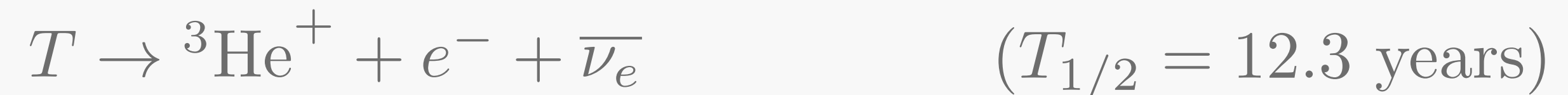
The best way to measure the absolute neutrino mass scale in a [model independent](#) way is through single  $\beta$ -decay experiments.

# NEUTRINO MASS SCALE FROM $\beta$ -DECAY

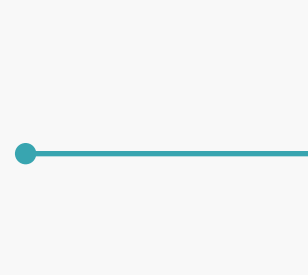
zoom.us video

The best way to measure the absolute neutrino mass scale in a **model independent** way is through single  $\beta$ -decay experiments.

Tritium  $\beta$ -decay



Superallowed  $\beta$  transition  $\Rightarrow$

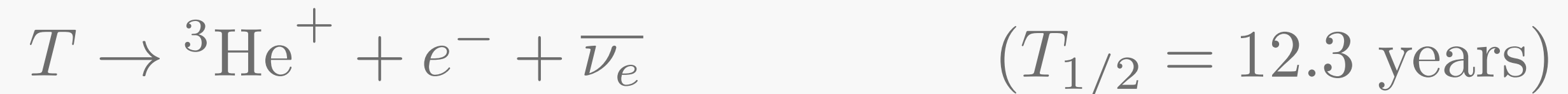
 **constant** nuclear matrix element  
spectrum **determined** by phase space


# NEUTRINO MASS SCALE FROM $\beta$ -DECAY

zoom.us video

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Tritium  $\beta$ -decay



Superallowed  $\beta$  transition  $\Rightarrow$   **constant** nuclear matrix element  
spectrum **determined** by phase space

The differential decay rate goes like

$$\frac{d\Gamma^\beta}{dE_e} \propto |p_e| E_e |p_\nu| E_\nu$$
$$\simeq \sqrt{2m_e T_e} (m_e + T_e) \sqrt{(E_0 - T_e)^2 - m_\nu^2} (E_0 - \boxed{T_e})$$

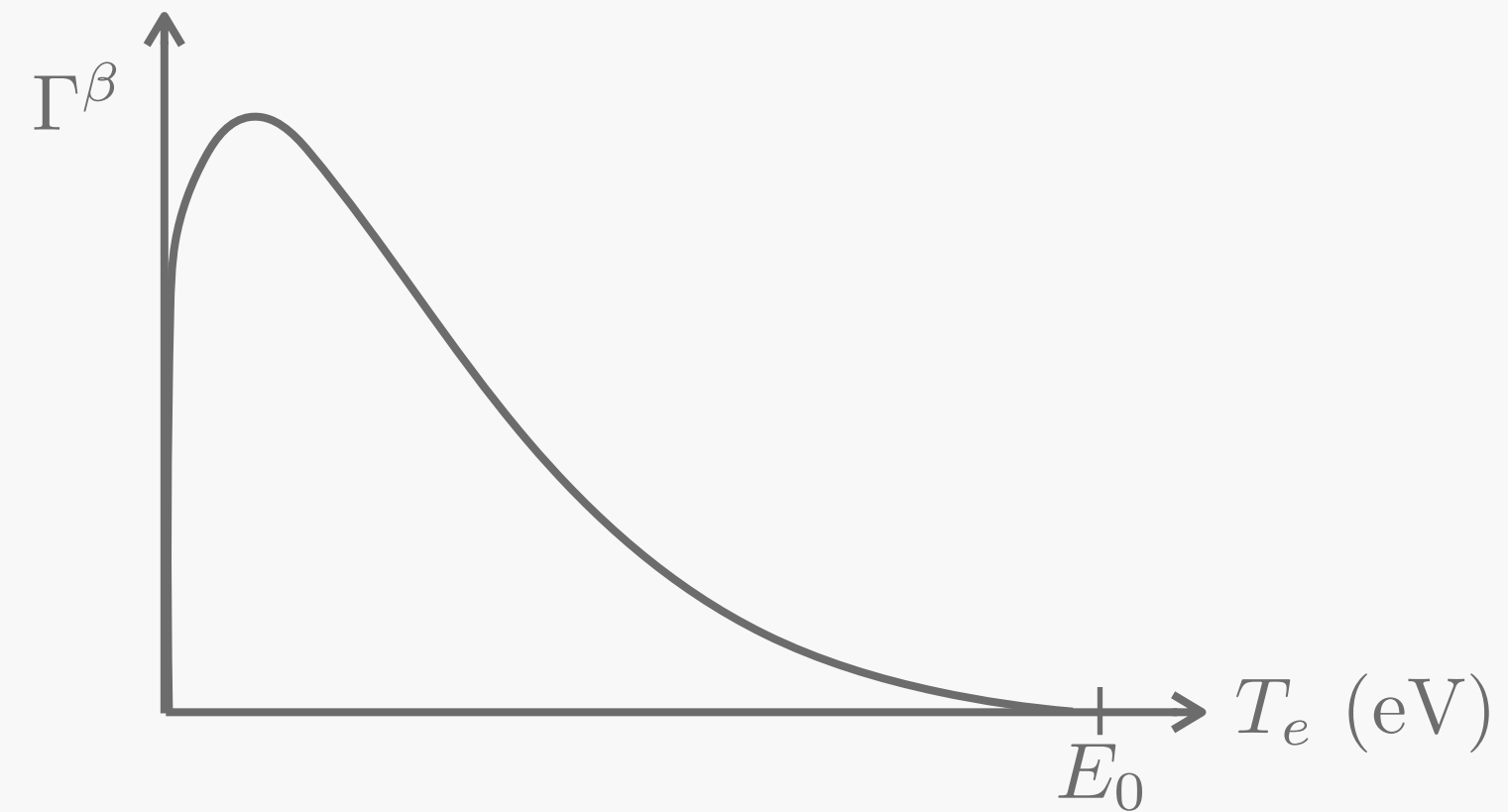
e kinetic energy  


with  $E_0 = 18.575$  keV the energy released in the decay.

# NEUTRINO MASS SCALE FROM $\beta$ -DECAY

zoom.us video

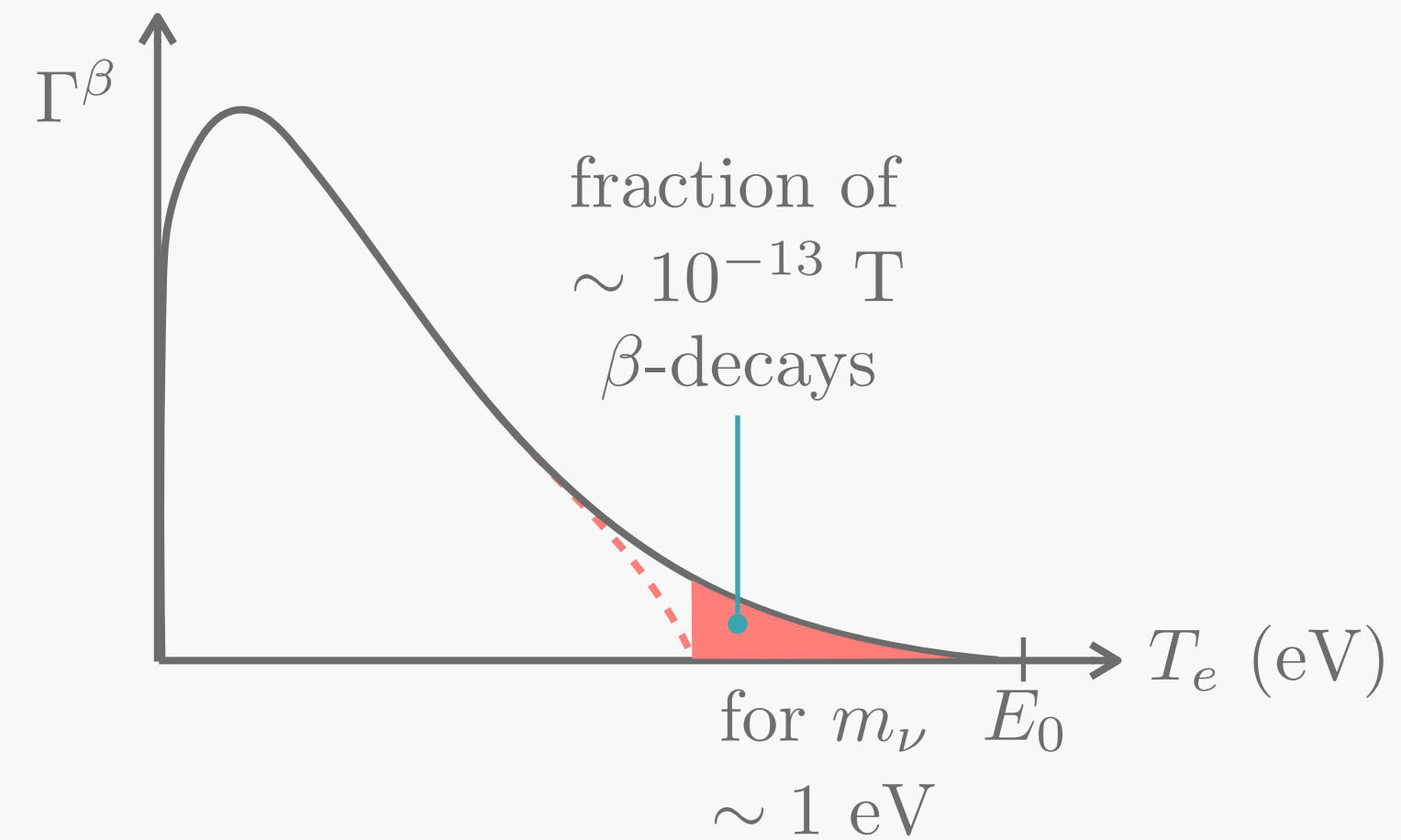
They study the **shape** of the  $\beta$  spectrum close to the **end point**



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zoom.us video

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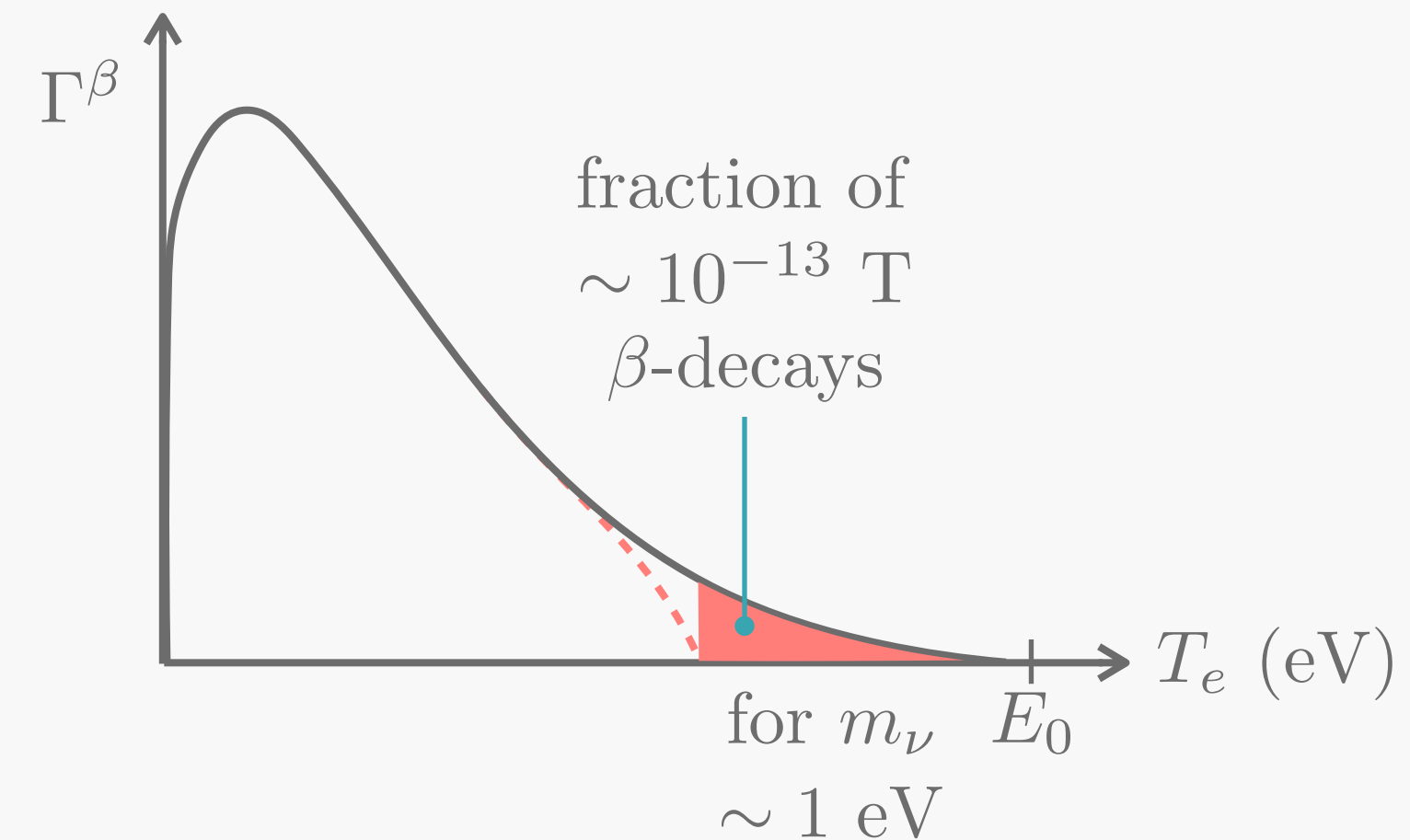




# NEUTRINO MASS SCALE FROM $\beta$ -DECAY

zoom.us video

They study the **shape** of the  $\beta$  spectrum close to the **end point**



to measure the **effective** electron neutrino mass defined by

$$(m_{\nu_e}^{\text{eff}})^2 \equiv \frac{\sum_i |(U_{\text{PMNS}})_{ei}|^2 m_i^2}{\sum_i |(U_{\text{PMNS}})_{ei}|^2} = \sum_i |(U_{\text{PMNS}})_{ei}|^2 m_i^2$$

# NEUTRINO MASS SCALE FROM $\beta$ -DECAY

zoom.us video

Present **upper bounds** from

- Mainz:  $m_{\nu_e}^{\text{eff}} < 2.3$  eV at 95%CL
- Troitsk:  $m_{\nu_e}^{\text{eff}} < 2.1$  eV at 95%CL
- KATRIN:  $m_{\nu_e}^{\text{eff}} < 1.1$  eV at 90%CL

4 weeks of data!

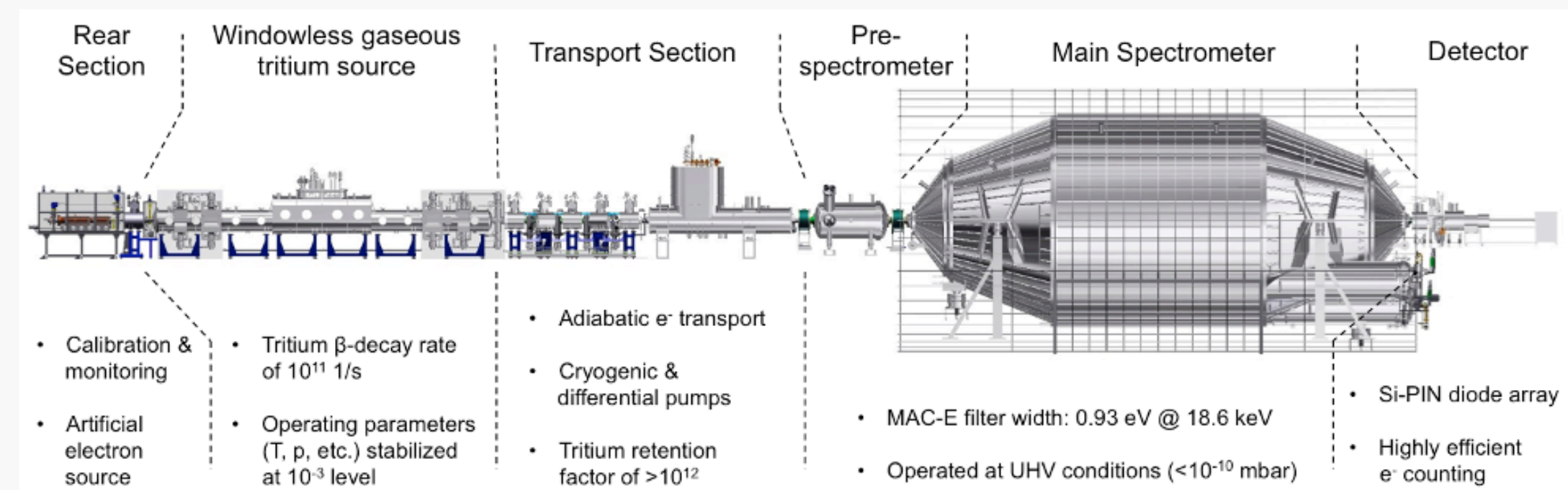
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KATRIN aims to explore the mass region up to 0.2 eV



← 70 m →

Karlsruhe  
Tritium  
Neutrino  
experiment

# NEUTRINO MASSES IN THE SM

zoom.us video

- Dirac neutrino masses

All fermions get masses through the **Yukawa interaction**

$$\overline{\psi}_L y_\psi \phi \psi_R \xrightarrow[\text{EWSB}]{\text{after}} \boxed{y_\psi \frac{v_{\text{EW}}}{\sqrt{2}}} \overline{\psi}_L \psi_R$$
$$m_\psi \equiv y_\psi \frac{v_{\text{EW}}}{\sqrt{2}}$$

Remember that... → lecture by Timo Kärkkäinen

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For neutrinos

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zoom.us video

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**Not present** in the SM

Remember that... → lecture by Timo Kärkkäinen

# NEUTRINO MASSES IN THE SM

zoom.us video

- Majorana neutrino masses

Since neutrinos are the only **neutral** fermions

$$\hat{m} \overline{\nu_L^c} \nu_L$$

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# NEUTRINO MASSES IN THE SM

zoom.us video

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zoom.us video

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zoom.us video

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$d = 5 \Rightarrow$  Is SM low energy remnant of higher energy theory?

Remember that...  $\rightarrow$  lecture by Timo Kärkkäinen

# NEUTRINO MASSES IN THE SM

zoom.us video

And therefore, neutrinos are **strictly massless** in the SM.

The SM must be **extended** to account for neutrino oscillations.

Remember that... → lecture by Timo Kärkkäinen

# NEUTRINO MASSES IN THE SM

zoom.us video

The Weinberg operator **effectively** generated by new particles.

At **tree level** the **3 possible** realizations of the Weinberg operator are

- Type-I Seesaw: heavy fermionic singlets  $N_R$



# NEUTRINO MASSES IN THE SM

zoom.us video

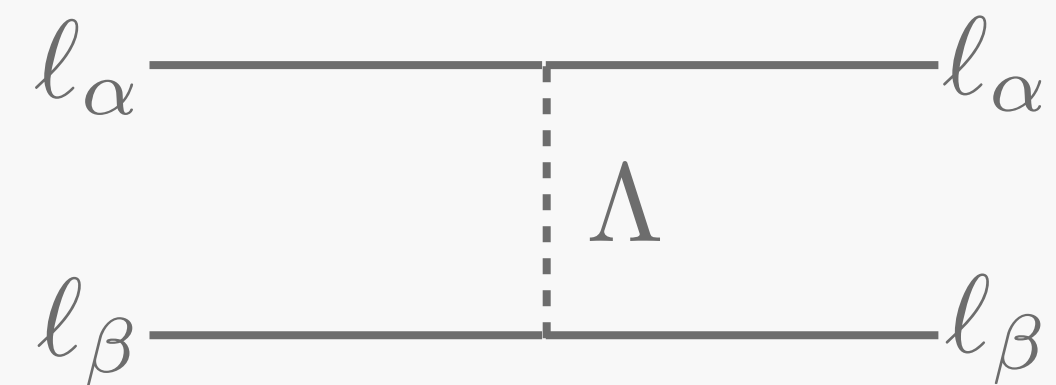
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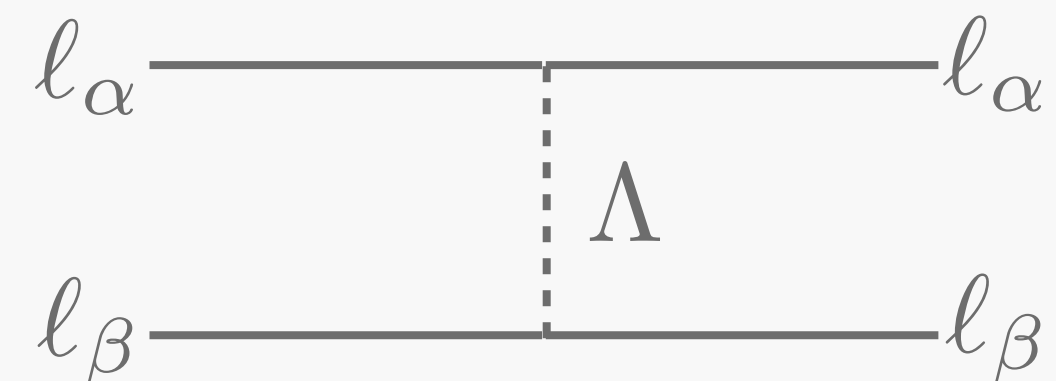
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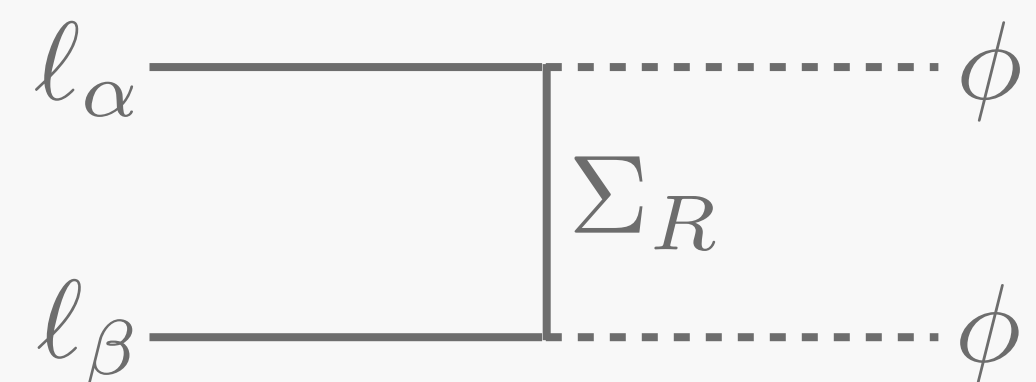
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zoom.us video

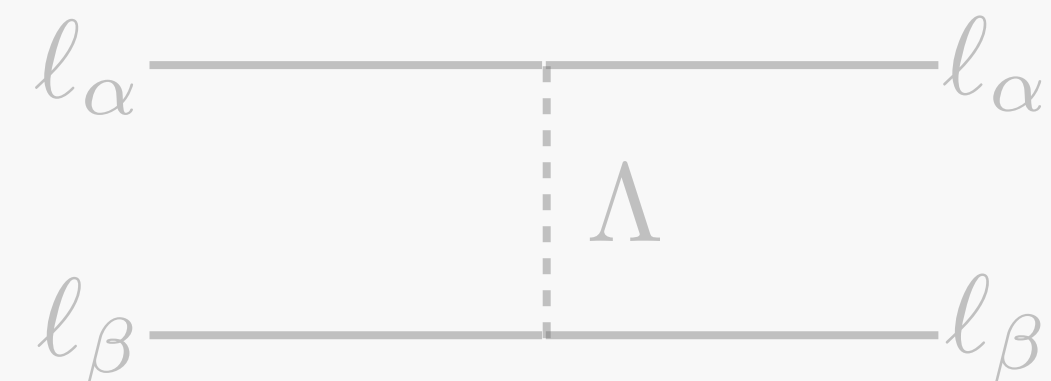
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# SM + TYPE-I SEESAW

zoom.us video

The SM is **enlarged** by an arbitrary number of  $N_R$

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + i\overline{N_R}\not{\partial}N_R - \left( \frac{1}{2}\overline{N_{Ri}}(M_N)_{ij}N_{Rj}^c + (y_N)_{i\alpha}\overline{N_{Ri}}\phi^\dagger\ell_{L\alpha} \right) + \text{h.c.}$$

since  $N_R$  are singlets and  $Y = 0$ ,  $D_\mu = \partial_\mu$  in the kinetic term.



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zoom.us video

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Yukawa interaction

$$y_N\overline{\nu_L}\phi N_R \xrightarrow[\text{EWSB}]{\text{after}} y_N \frac{v_{\text{EW}}}{\sqrt{2}}\overline{\nu_L}N_R \quad \Rightarrow \quad m_D = y_N \frac{v_{\text{EW}}}{\sqrt{2}}$$

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zoom.us video

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$M_N$  not related to the EWSB. **New Physics** scale where  $L$  is broken.



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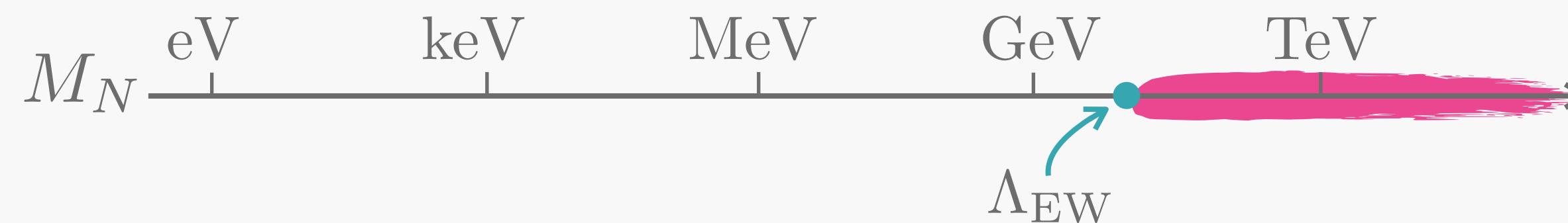
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If  $M_N \gg \Lambda_{\text{EW}} \Rightarrow$  the new fields can be **integrated out**.

# SM + TYPE-I SEESAW

zoom.us video

The resulting effective field theory, built from a set of **effective operators**, can be used to study the **low energy phenomenology**.

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \mathcal{L}^{d=5} + \frac{1}{\Lambda^2} \mathcal{L}^{d=6} + \dots \simeq \mathcal{L}_{\text{SM}} + \delta\mathcal{L}^{d=5} + \delta\mathcal{L}^{d=6} \quad \Lambda \sim M_N$$

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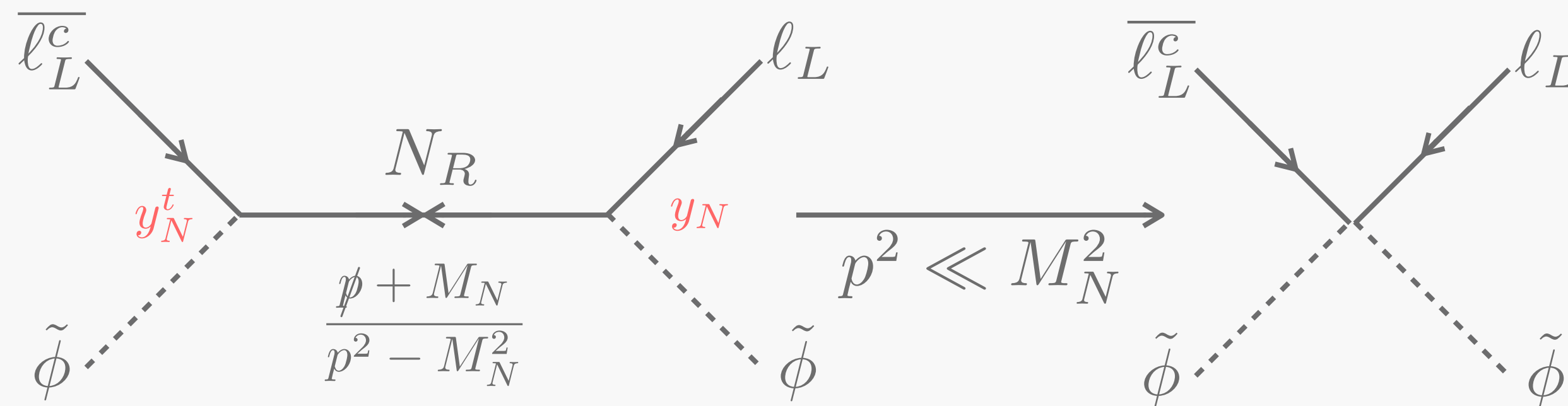
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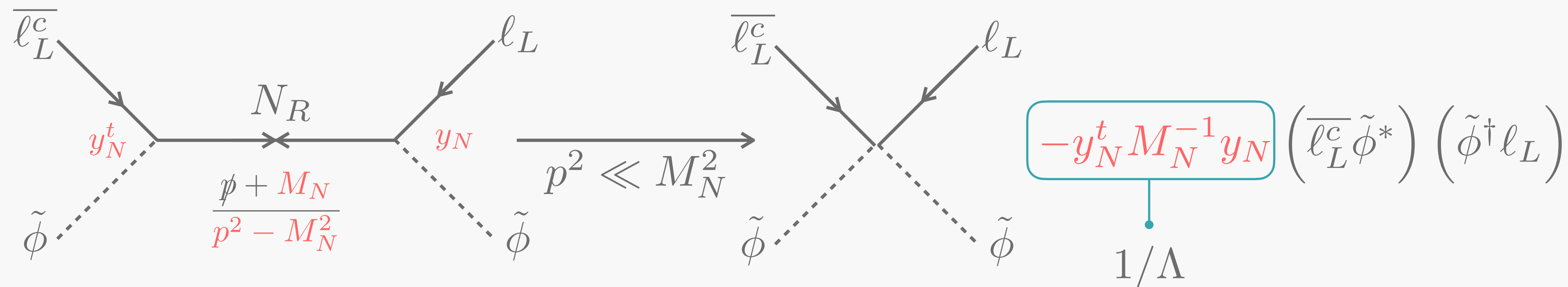
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zoom.us video

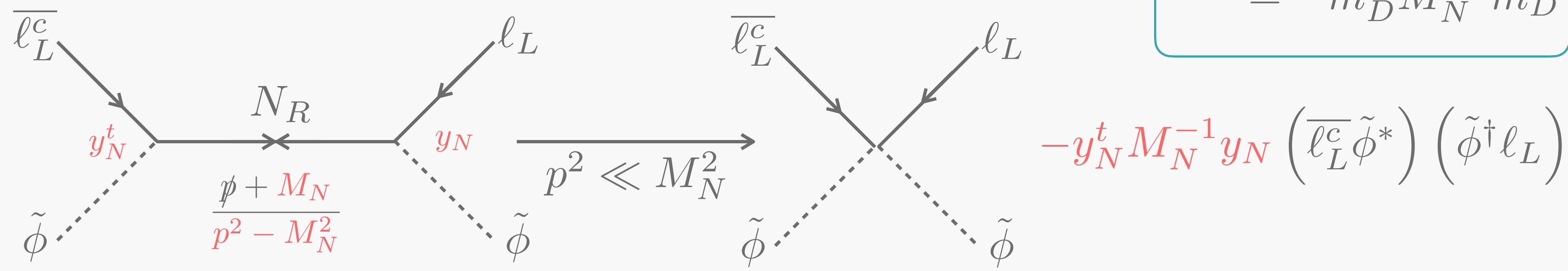
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$$-\hat{m} = \frac{v_{\text{EW}}^2}{2} c^{d=5} = -m_D^t M_N^{-1} m_D$$



$$-y_N^t M_N^{-1} y_N \left( \overline{\ell_L^c} \tilde{\phi}^* \right) \left( \tilde{\phi}^\dagger \ell_L \right)$$

# SM + TYPE-I SEESAW

zoom.us video

- $d = 6$  : operator  $\rightarrow$  non-unitary neutrino mixing

There will be a  $d = 6$  operator from the  $\frac{\not{p}}{M_N^2}$  term

$$\delta\mathcal{L}^{d=6} = c_{\alpha\beta}^{d=6} \left( \overline{\ell_{\alpha L}} \tilde{\phi} \right) i\not{\partial} \left( \tilde{\phi}^\dagger \ell_{\beta L} \right) \longrightarrow c^{d=6} = y_N^\dagger M_N^{-2} y_N \sim 1/\Lambda^2$$

It is the **only**  $d = 6$  operator that appears at tree level.

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zoom.us video

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it induces **corrections** to the left-handed neutrino kinetic term  $\Rightarrow$

they become **non-diagonal** in flavor space.

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zoom.us video

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We define the **Hermitian** matrix

$$\eta \equiv \frac{v_{\text{EW}}^2}{4} c^{d=6} = \frac{m_D^t M_N^{-2} m_D}{2}$$

# SM + TYPE-I SEESAW

zoom.us video

- $d = 6$  : operator  $\rightarrow$  non-unitary neutrino mixing

With the following **transformation**

$$\nu_{\alpha L} \rightarrow \nu_{\alpha L} \equiv (\delta_{\alpha\beta} + 2\eta_{\alpha\beta})^{-1/2} \nu_{L\beta}'$$

the neutrino kinetic terms are brought to a **diagonal** and **canonical** form.

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zoom.us video

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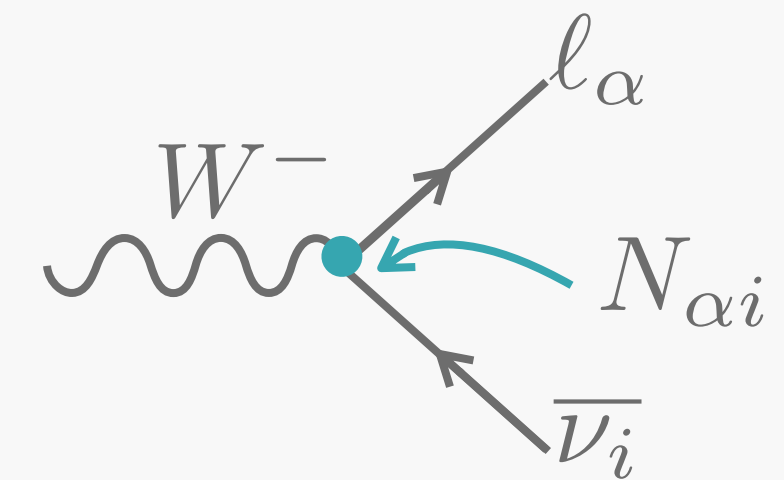
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As a result, the leptonic CC and NC Lagrangians take the form

$$\begin{aligned} \mathcal{L}_{\text{CC}} &\simeq \frac{g}{\sqrt{2}} \bar{\ell}_{\alpha} \gamma^{\mu} P_L (\delta_{\alpha\beta} - \eta_{\alpha\beta}) (U_{\text{PMNS}})_{\beta i} \nu_i W_{\mu}^{-} + \text{h.c.} \\ &\equiv \frac{g}{\sqrt{2}} \bar{\ell}_{\alpha} \gamma^{\mu} P_L N_{\alpha i} \nu_i W_{\mu}^{-} + \text{h.c.} \end{aligned}$$



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zoom.us video

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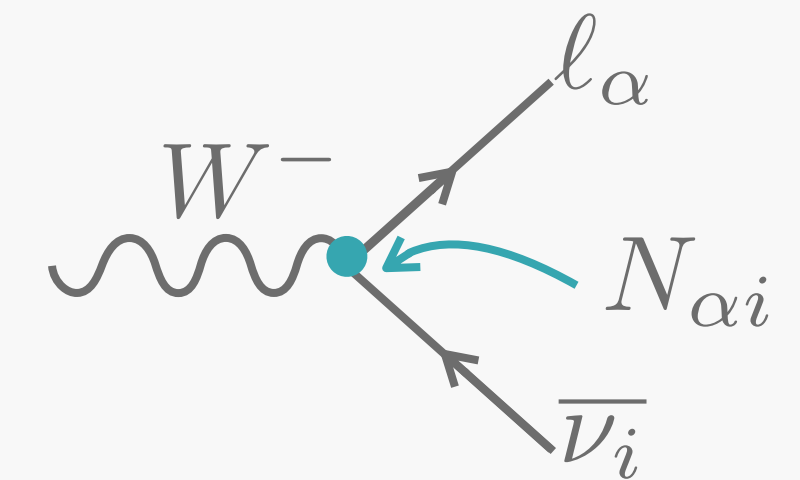
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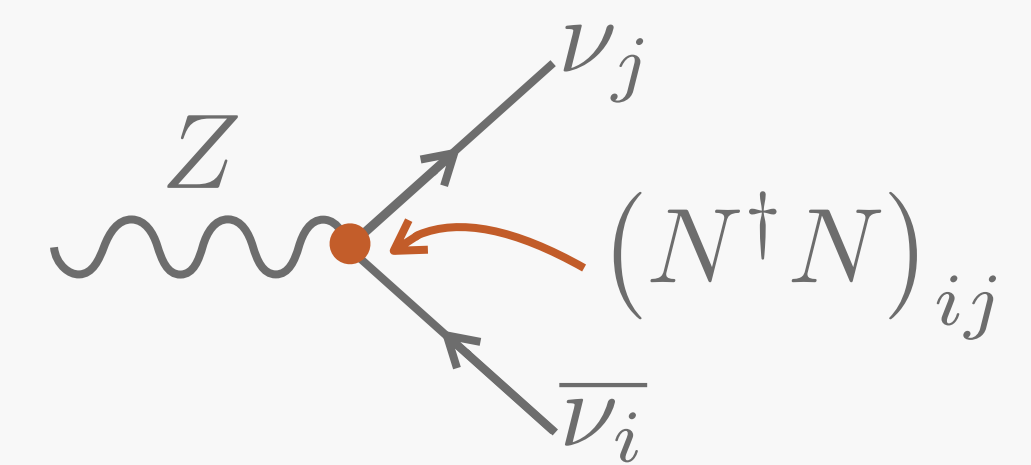
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$$\begin{aligned} \mathcal{L}_{\text{NC}} &\simeq \frac{g}{2c_W} \left\{ \bar{\nu}_i (U_{\text{PMNS}}^{\dagger})_{i\alpha} (\delta_{\alpha\beta} - \eta_{\alpha\beta}) \gamma^{\mu} P_L (\delta_{\beta\gamma} - \eta_{\beta\gamma}) (U_{\text{PMNS}})_{\gamma j} \nu_j \right. \\ &\quad \left. - \bar{\ell}_{\alpha} \gamma^{\mu} P_L (1 - 2s_W^2) \ell_{\alpha} \right\} Z_{\mu} \\ &\equiv \frac{g}{2c_W} \left\{ \bar{\nu}_i \gamma^{\mu} P_L (N^{\dagger} N)_{ij} \nu_j - \bar{\ell}_{\alpha} \gamma^{\mu} P_L (1 - 2s_W^2) \ell_{\alpha} \right\} Z_{\mu} \end{aligned}$$



# SM + TYPE-I SEESAW

zoom.us video

- $d = 6$  : operator  $\rightarrow$  non-unitary neutrino mixing

We find **deviations** from unitarity in the leptonic mixing matrix

$$N = (I - \eta) U_{\text{PMNS}}$$

(Hermitian matrix) (Unitary matrix)  $\Rightarrow$  **general** parametrization of the non-unitarity



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NC and CC **modified**  $\Rightarrow$  **corrections** in precision observables

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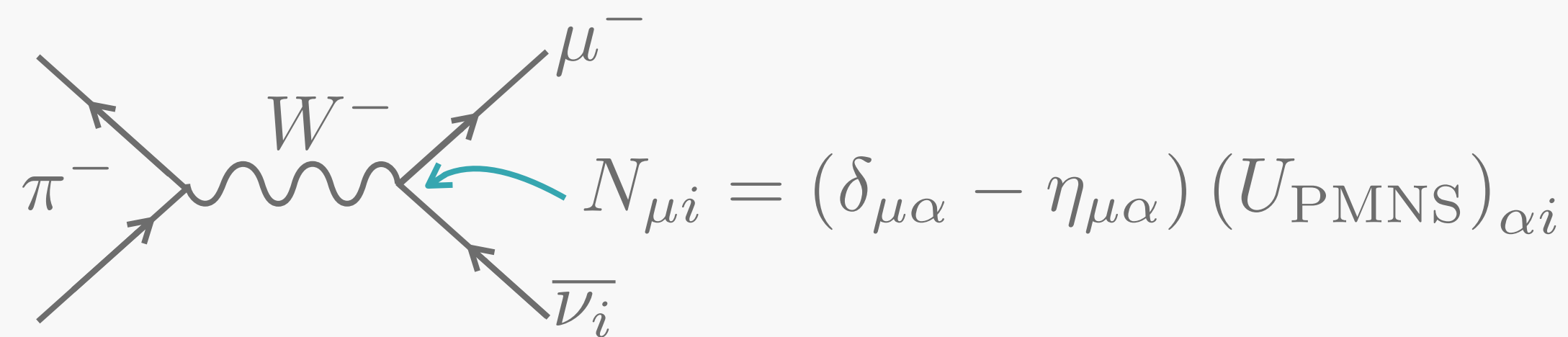
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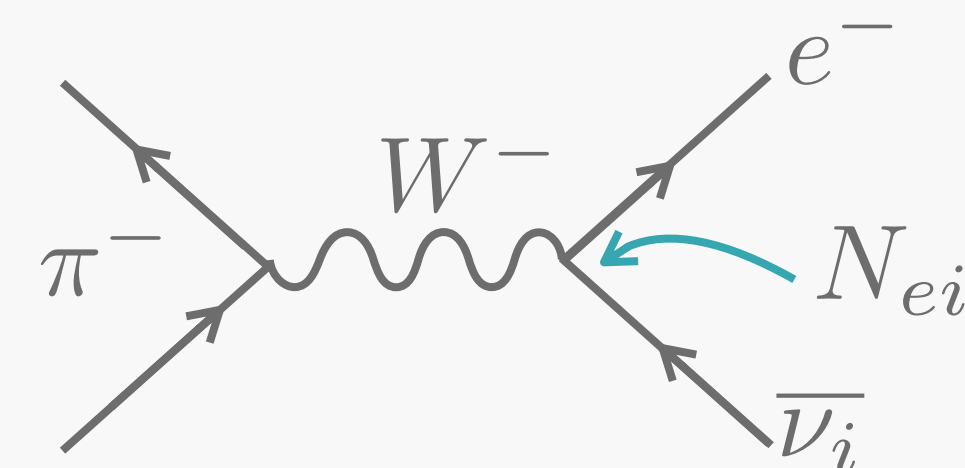
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Eg:  $\pi^- \rightarrow \mu^- \bar{\nu}$  vs  $\pi^- \rightarrow e^- \bar{\nu}$



$$\Gamma_{\pi\mu} \propto \sum_{\alpha,i} |N_{\mu i}|^2 = 1 - 2\eta_{\mu\mu} \quad \text{while}$$

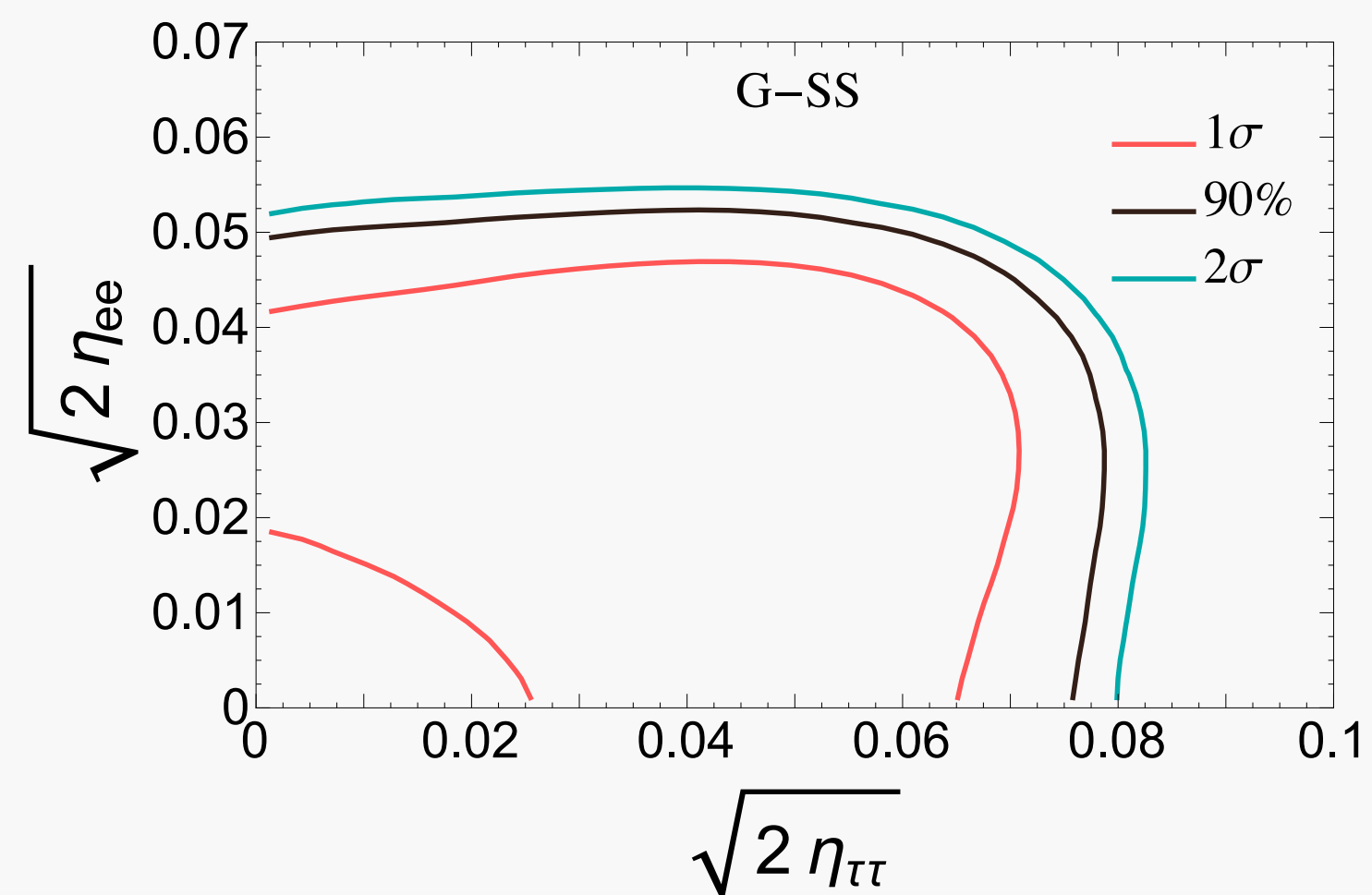
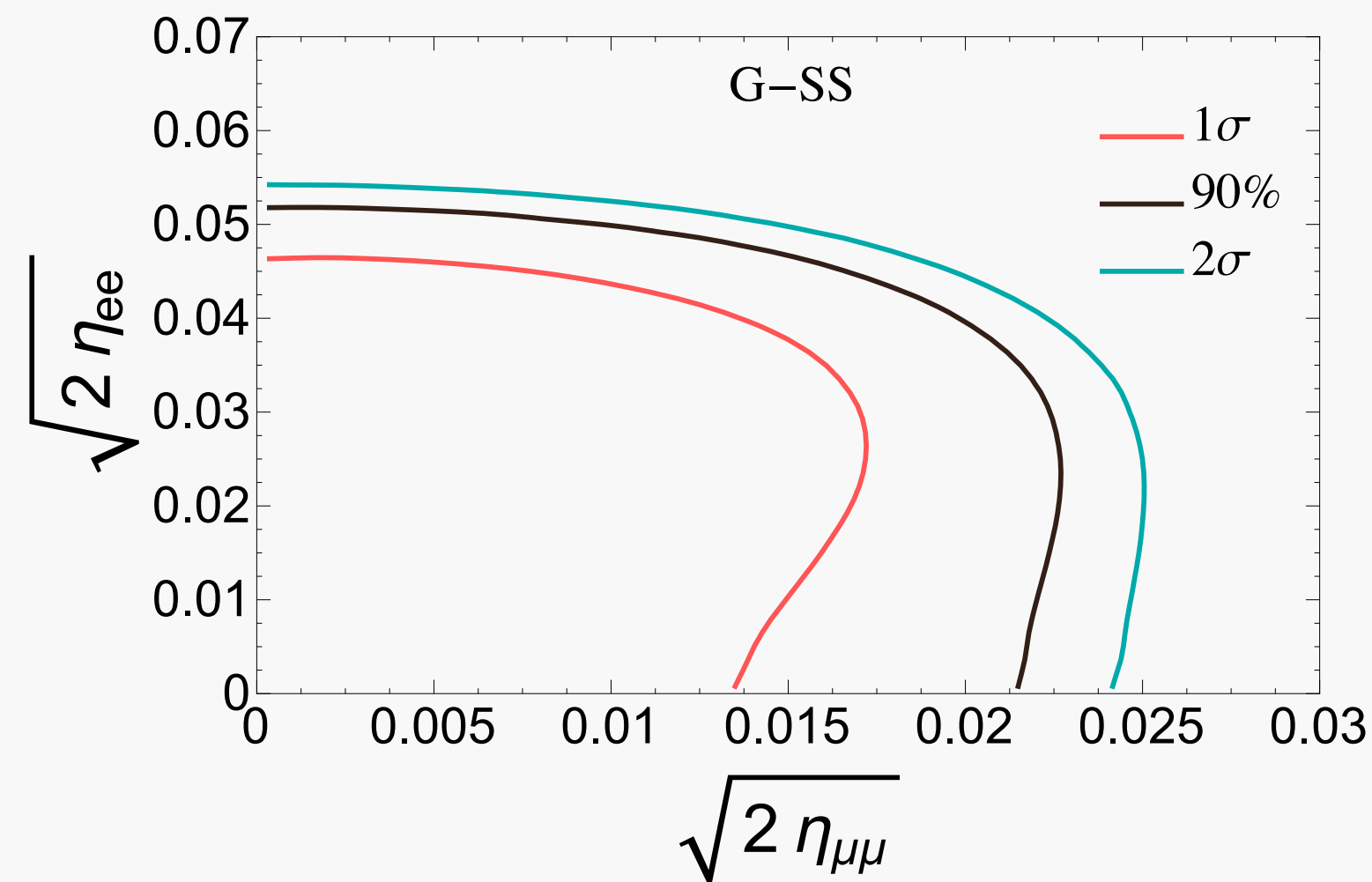


$$\Gamma_{\pi e} \propto 1 - 2\eta_{ee} \Rightarrow \text{CC non-universal!}$$

# SM + TYPE-I SEESAW

zoom.us video

MCMC analysis with 28 observables scanning over the free parameters



Diagonal

$$\sqrt{2\eta_{ee}} = 0.031^{+0.010}_{-0.020}$$

$$\sqrt{2\eta_{\mu\mu}} < 0.011$$

$$\sqrt{2\eta_{\tau\tau}} = 0.044^{+0.019}_{-0.027}$$

Off-diagonal

$$\sqrt{2|\eta_{e\mu}|} < 0.018$$

$$\sqrt{2|\eta_{e\tau}|} < 0.045$$

$$\sqrt{2|\eta_{\mu\tau}|} < 0.024$$

Schwarz inequality

$$\sqrt{2|\eta_{e\mu}|} < 4.1 \cdot 10^{-3}$$

$$\sqrt{2|\eta_{e\tau}|} < 0.107$$

$$\sqrt{2|\eta_{\mu\tau}|} < 0.115$$

LFC

LFV

# MATTER-ANTIMATTER ASYMMETRY

zoom.us video

Matter-antimatter asymmetry in the Universe [well measured](#) using cosmic microwave background (CMB) radiation. From Planck data

$$Y_B^{\text{CMB}} \simeq (8.67 \pm 0.09) \cdot 10^{-10}$$

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Baryon asymmetry (**baryogenesis**) can be **dynamically generated** if the **Sakharov conditions** are **satisfied**

1)  $\mathcal{B}$  interactions

$B + L$  is anomalous and transitions that violate  $B$  and  $L$  can happen via sphalerons

2)  $\mathcal{C}$  and  $\mathcal{CP}$

$$J_q \simeq 2.9 \cdot 10^{-5}$$

3) Departure from thermal equilibrium

In equilibrium, the production and destruction of a baryon asymmetry

# MATTER-ANTIMATTER ASYMMETRY

zoom.us video

When baryogenesis occurs at energies **higher** than the EW scale, **besides** the necessary Sakharov conditions,  $B - L$  symmetry must be violated too, so that sphalerons do not **wash out** the baryon asymmetry.

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zoom.us video

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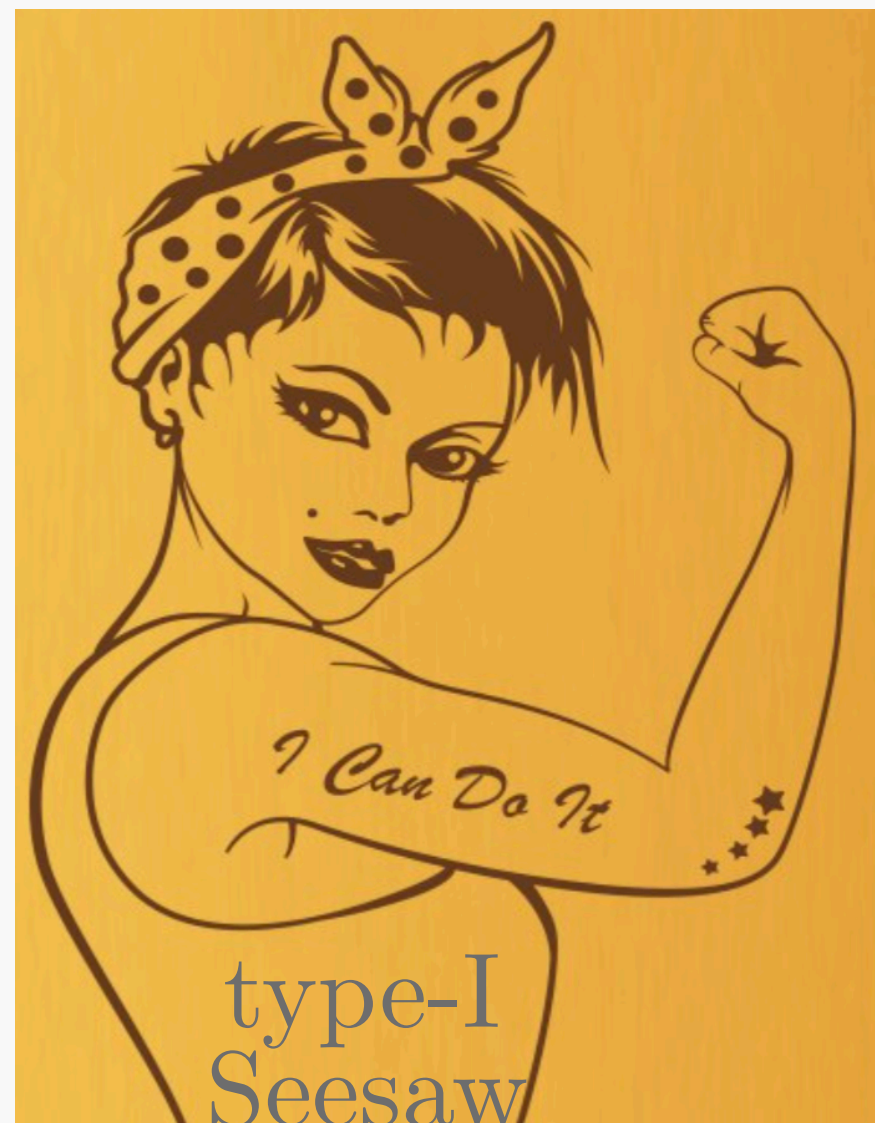
**Idea:** create  $L$  in the early Universe that will be **converted** into  $B$  via sphaleron transitions. It is known as baryogenesis through leptogenesis.

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The type-I Seesaw could do that!

- 1)  $\mathcal{L}$  by the Majorana mass
- 2)  $J_\nu \simeq 0.03 \sin \delta + \text{additional phases if } y_N \in \mathbb{C}$
- 3)  $N$  decays out of eq. in the expanding Universe once  $T < M_N$



# MATTER-ANTIMATTER ASYMMETRY

zoom.us video

At very **high** temperature ( $T$ )

$$N_i \leftrightarrow \phi^0 \nu_L \quad \text{and} \quad N_i \leftrightarrow \phi^+ \ell^-$$

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Imagine that  $\mathcal{CP}$  and  $\Gamma_{N \rightarrow l\phi} > \Gamma_{N \rightarrow \bar{l}\phi} \Rightarrow -L_0$  is created

$L$	$-L_0$	3	6	...	$-L_0/2$
$B$	0	3	6	...	$+L_0/2$

$$\Delta L = \Delta B = 3\Delta n_{\text{CS}}$$

# MATTER-ANTIMATTER ASYMMETRY

zoom.us video

However, this process is not instantaneous and **washout** effects will partly erase the asymmetry. The remaining  $L$  asymmetry can then be converted by sphaleron processes into a  $B$  asymmetry

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$$\epsilon_1 = \frac{\Gamma_{N_1 \rightarrow l\phi} - \Gamma_{N_1 \rightarrow \bar{l}\phi}}{\Gamma_{N_1 \rightarrow l\phi} + \Gamma_{N_1 \rightarrow \bar{l}\phi}} \simeq \frac{3}{16\pi v_{\text{EW}}^2} \sum_{j \neq 1} \frac{\text{Im} \left[ \left( m_D m_D^\dagger \right)_{1j}^2 \right]}{\left( m_D m_D^\dagger \right)_{11}} \frac{M_{N_1}}{M_{N_j}}$$

for  $T > 10^{12}$  GeV and assuming  $M_{N_1} \ll M_{N_2} \ll M_{N_3}$ .

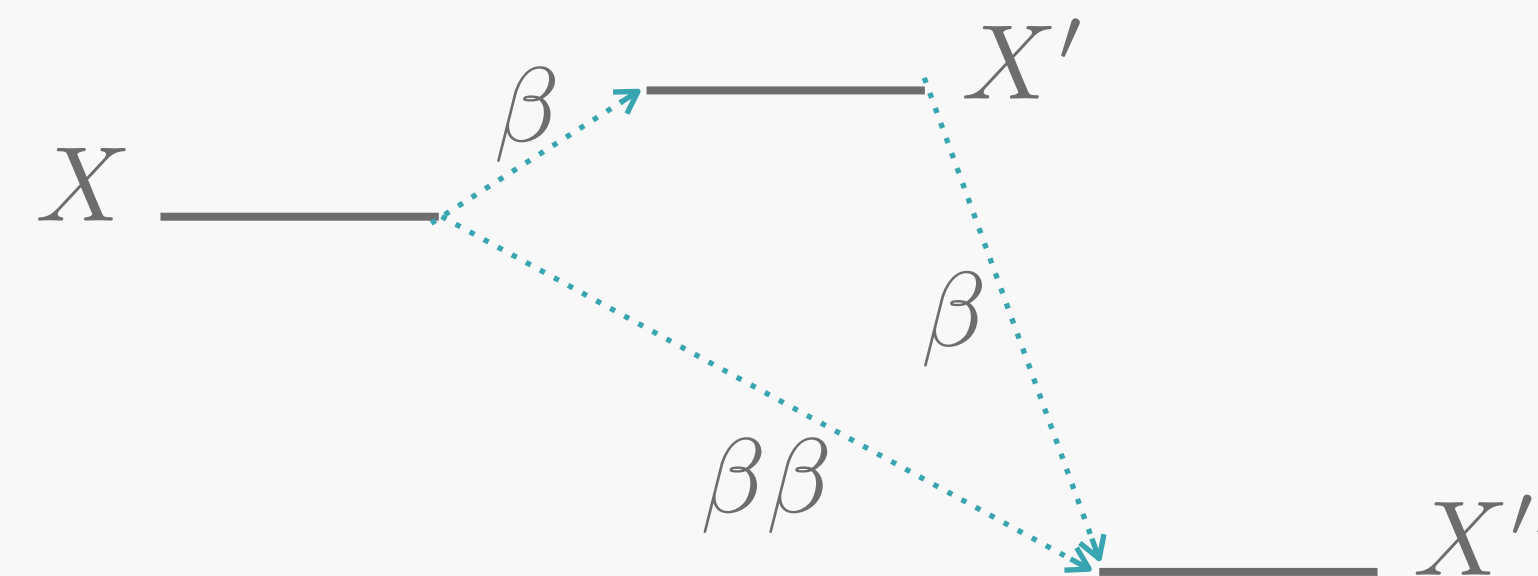
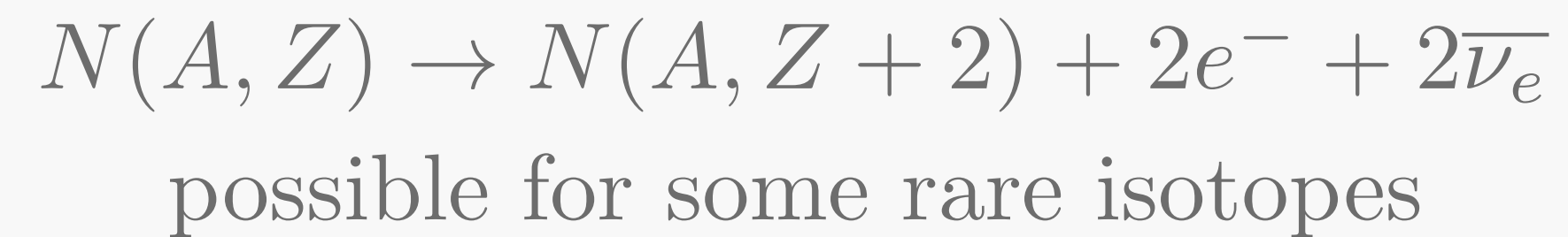
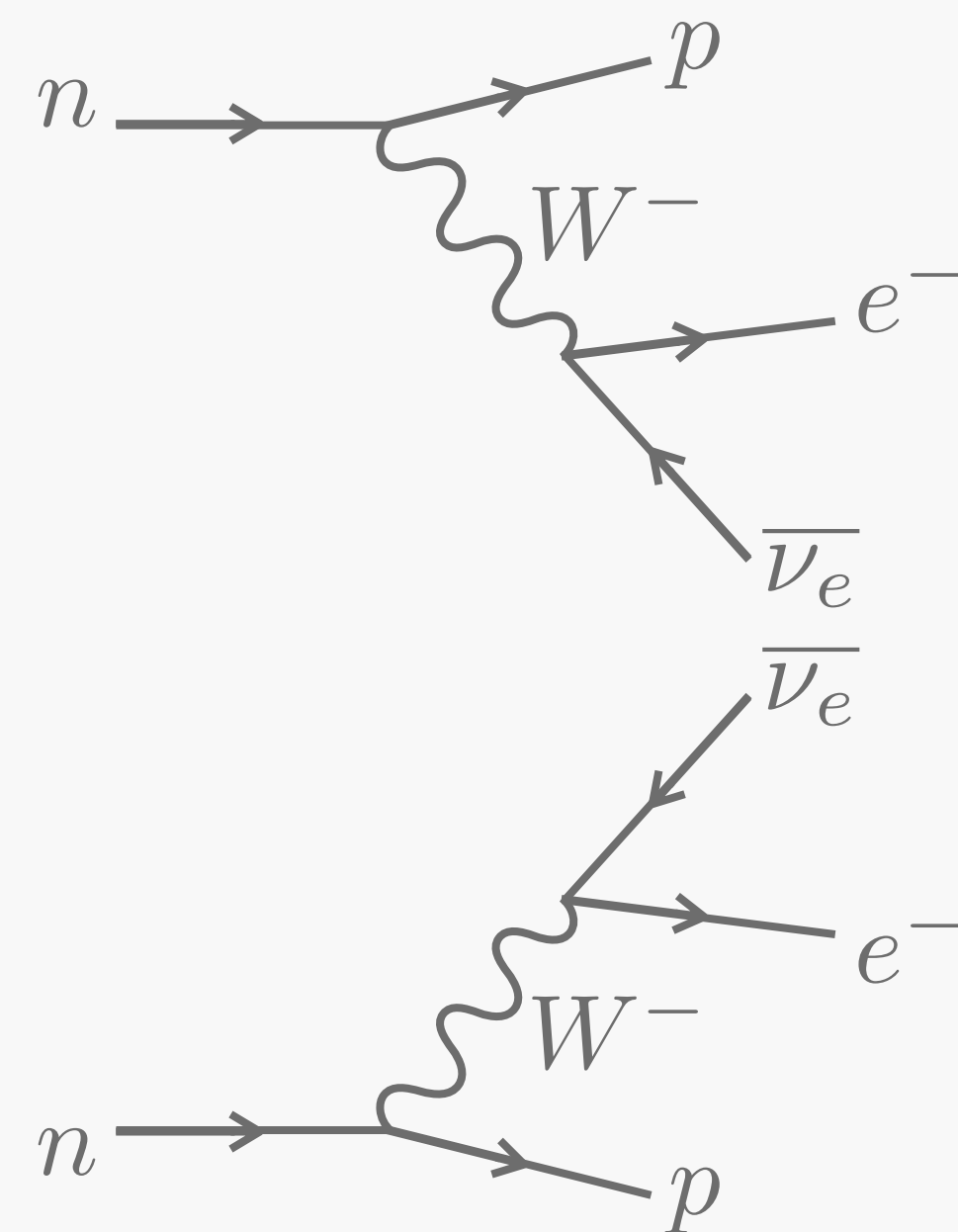
# TESTING THE MAJORANA NATURE OF $\nu$

zoom.us video

In order to test the **Majorana character** of  $\nu$ , we have to look for  $\cancel{L}$  processes.

The **most promising** window is the neutrinoless double  $\beta$ -decay ( $0\nu\beta\beta$ ).

- Double  $\beta$ -decay ( $2\nu\beta\beta$ )



But if  $\nu$  are Majorana particles ...



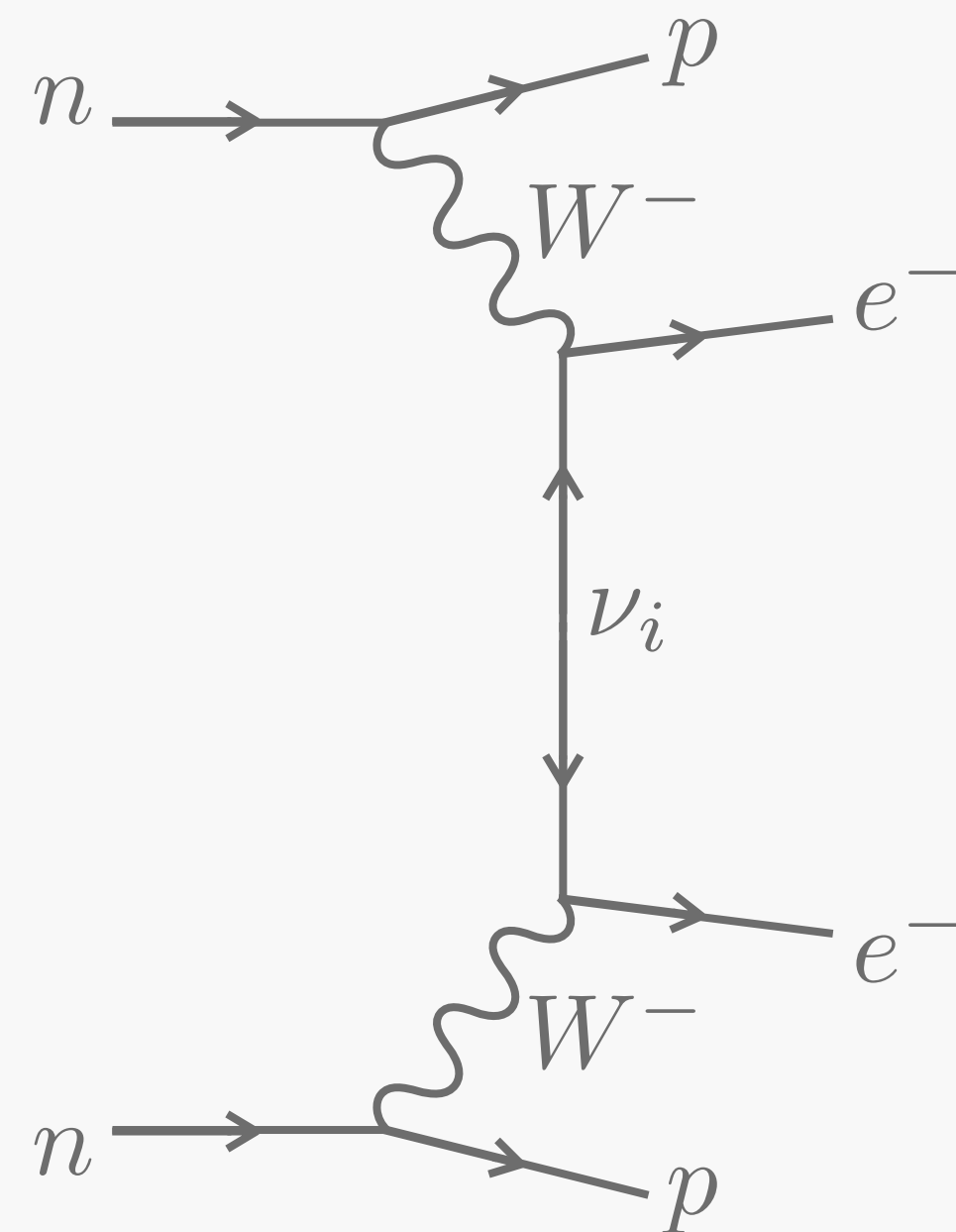
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$$N(A, Z) \rightarrow N(A, Z + 2) + 2e^-$$

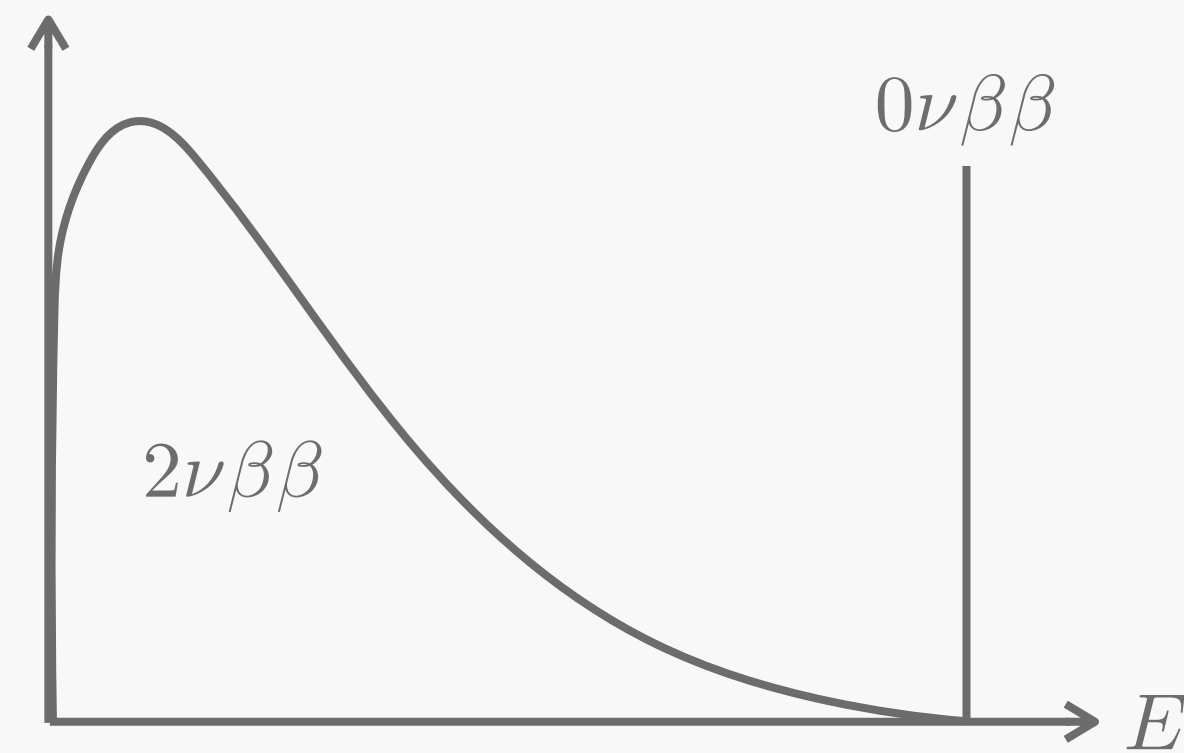
$$\propto \sum_i (U_{\text{PMNS}})_{ei}^2 m_i \equiv m_{\beta\beta}$$

effective Majorana mass

# TESTING THE MAJORANA NATURE OF $\nu$

zoom.us video

The two processes produce **different** spectra



By measuring the **half-life** the effective Majorana mass can be constrained

$$T_{0\nu\beta\beta} \simeq \left( \frac{G_{0\nu}}{m_e} |m_{\beta\beta}|^2 \mathcal{M}_{\text{nuc}} \right)^{-1}$$

# TESTING THE MAJORANA NATURE OF $\nu$

zoom.us video

We see that

$$\begin{aligned} |m_{\beta\beta}| &= \left| (U_{\text{PMNS}})_{e1}^2 m_1 + (U_{\text{PMNS}})_{e2}^2 m_2 + (U_{\text{PMNS}})_{e3}^2 m_3 \right| \\ &= \left| c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 \boxed{e^{i\alpha_2}} m_2 + s_{13}^2 \boxed{e^{i(\alpha_3 - 2\delta)}} m_3 \right| \end{aligned}$$

Majorana phases

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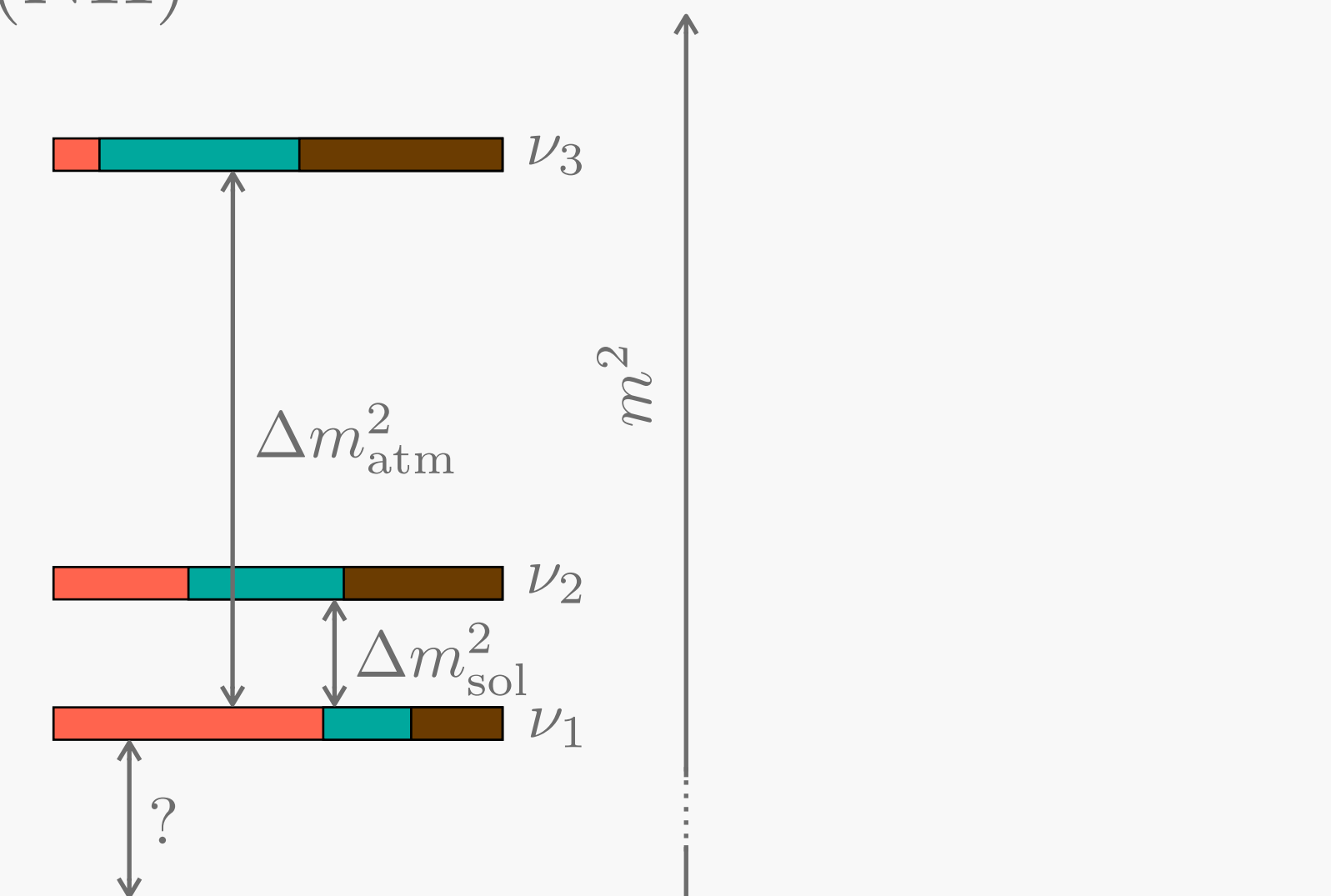
zoom.us video

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- If Normal Hierarchy (NH)

3 small terms  
 $\Rightarrow |m_{\beta\beta}| \ll$



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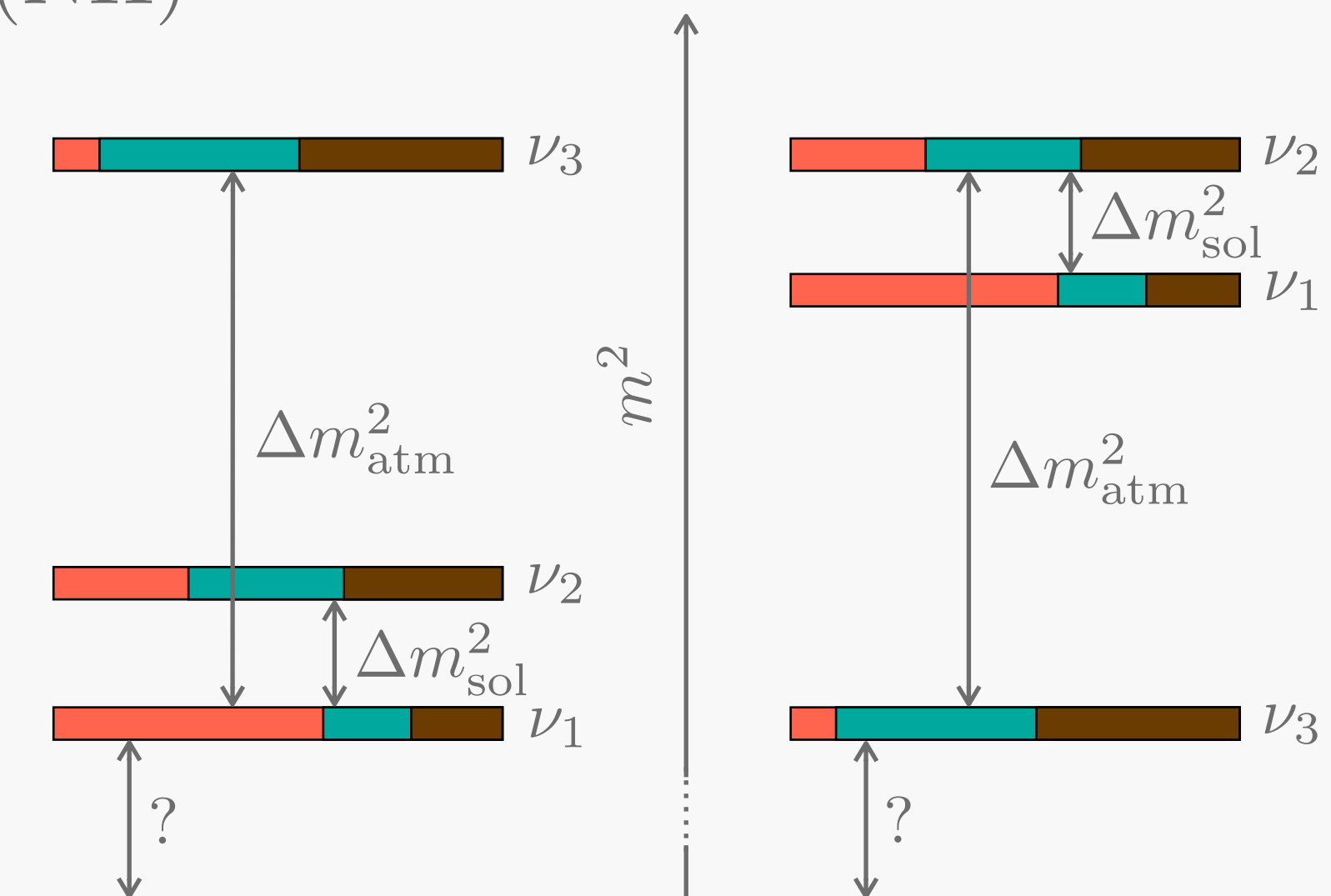
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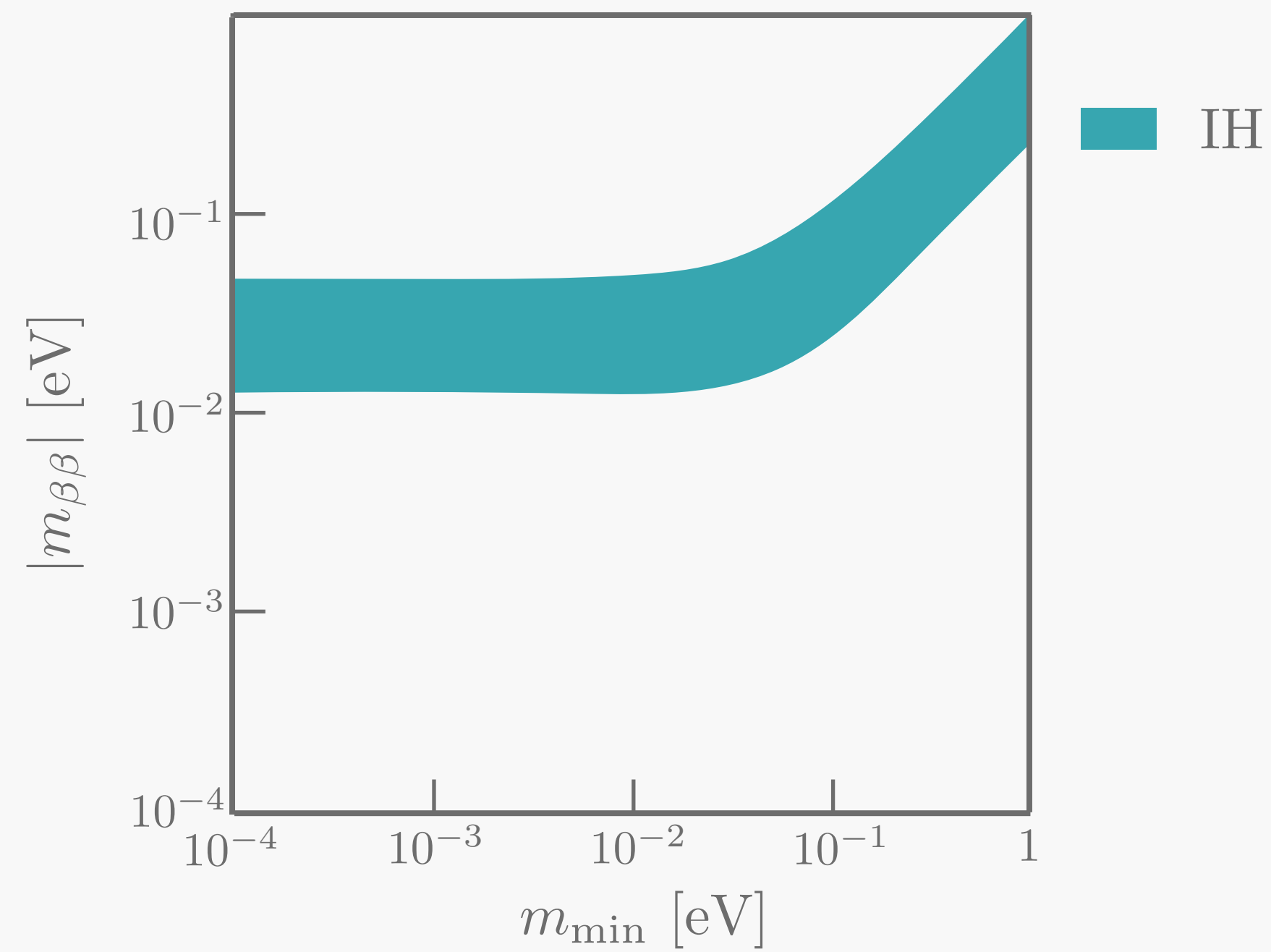
- If Inverted Hierarchy (IH)

2 big terms

# TESTING THE MAJORANA NATURE OF $\nu$

zoom.us video

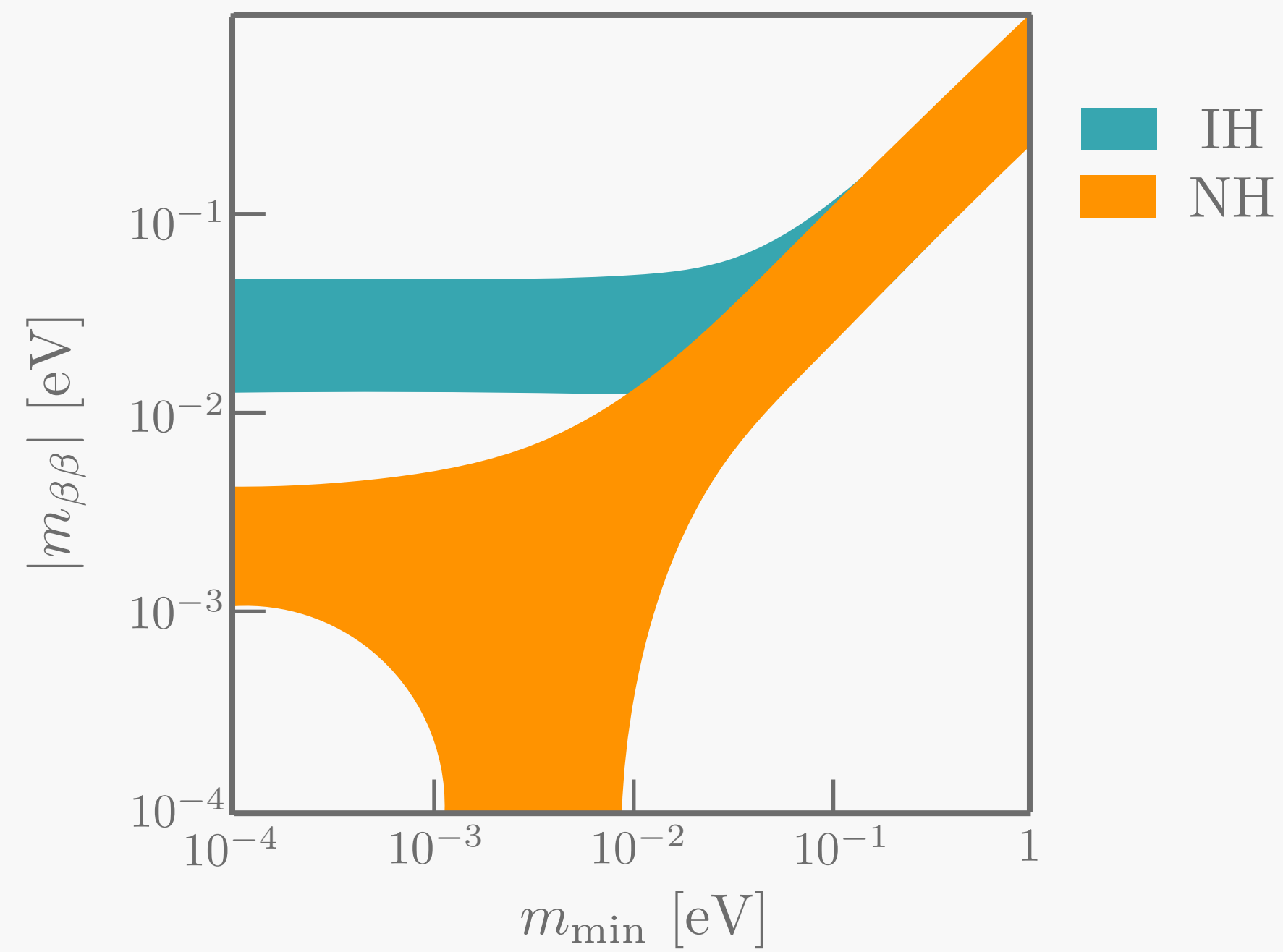
By scanning over the free parameters the following allowed regions for NH and IH are obtained



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zoom.us video

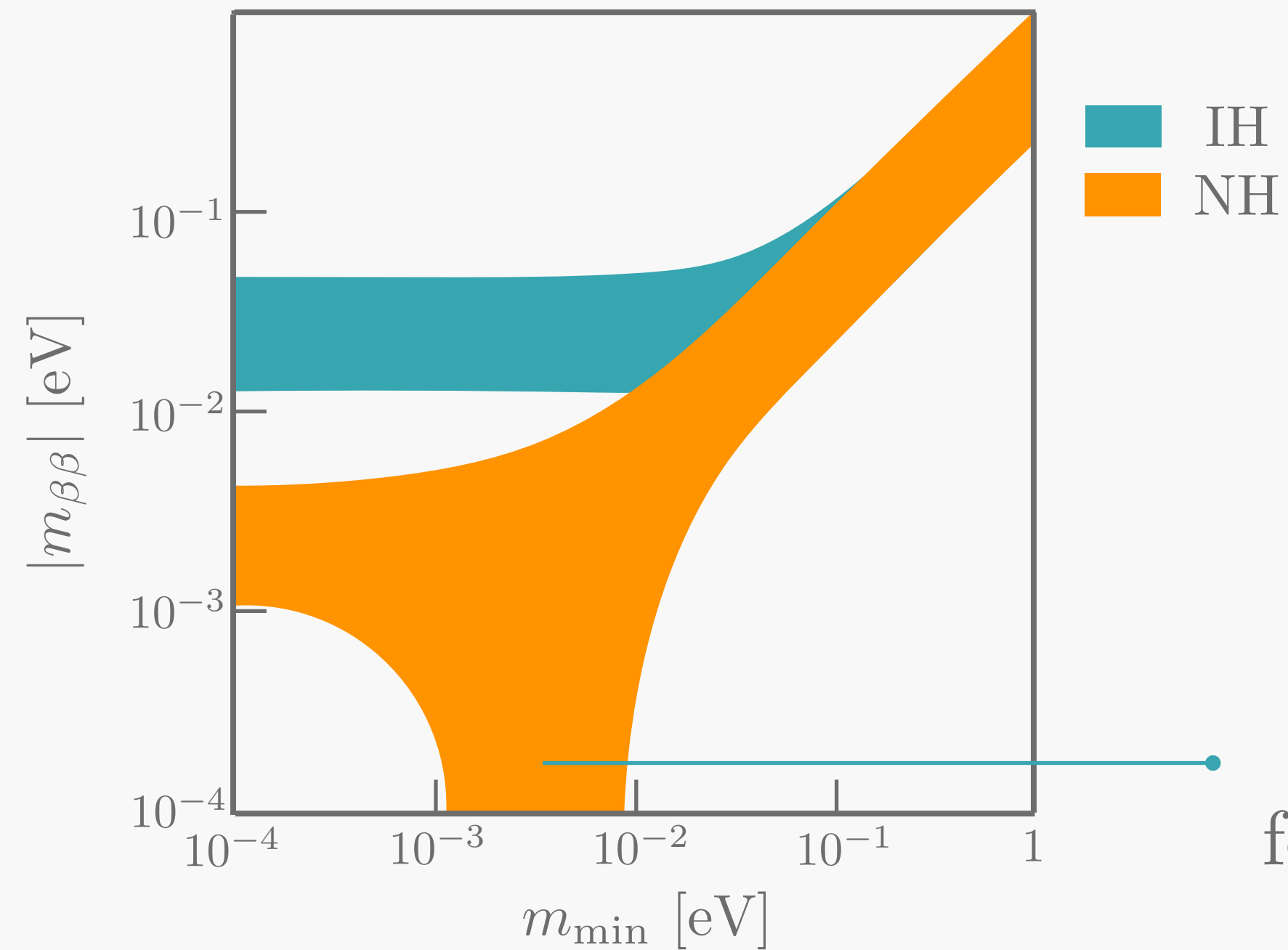
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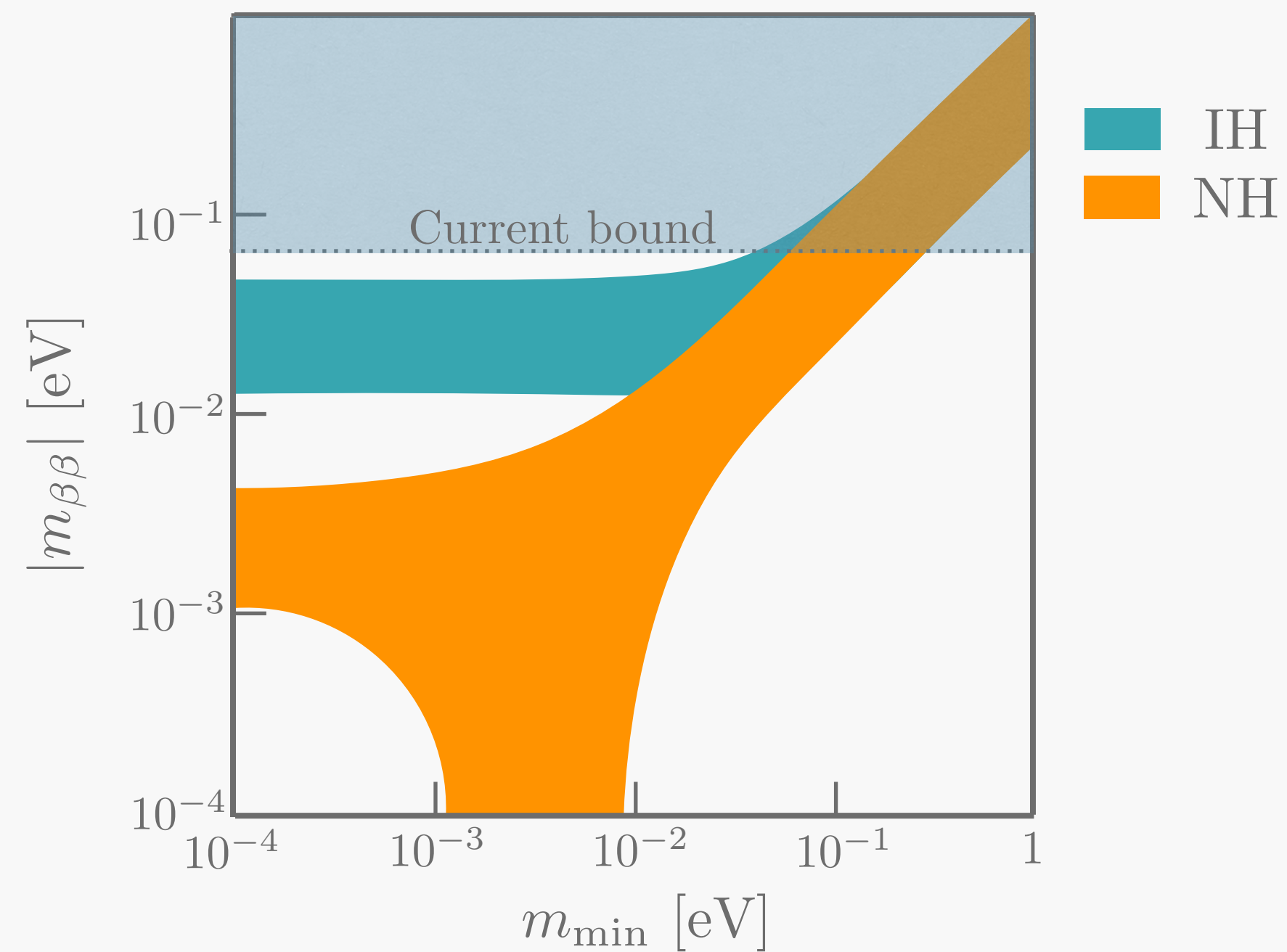
Bad news for NH:  
 $|m_{\beta\beta}|$  could be 0 even  
for Majorana neutrinos



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Present constrains

- GERDA ( $^{76}\text{Ge}$ )

$$T_{0\nu\beta\beta} > 0.8 \cdot 10^{26} \text{ y (90\% CL)}$$

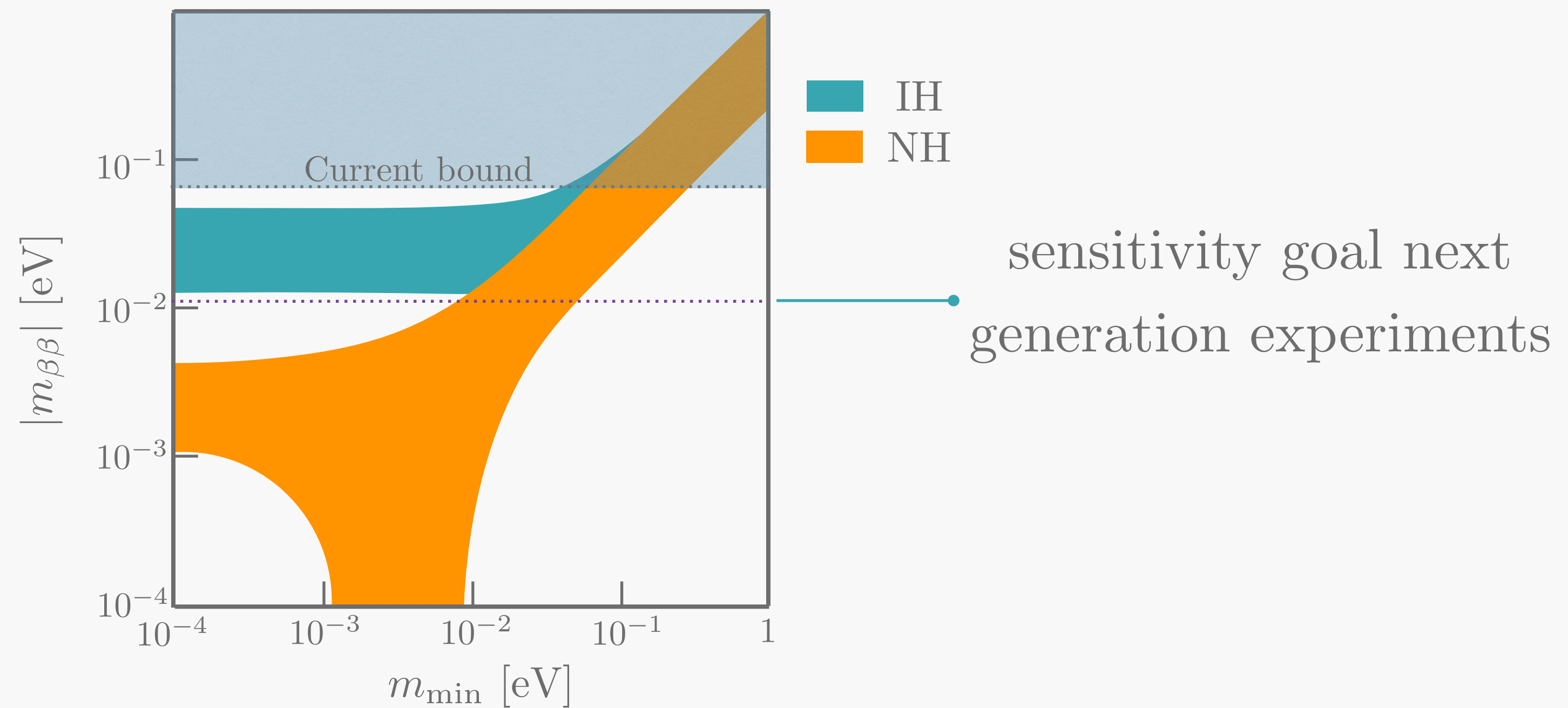
- KamLAND-Zen ( $^{136}\text{Xe}$ ) (present best constrain)

$$T_{0\nu\beta\beta} > 1.07 \cdot 10^{26} \text{ y} \Rightarrow |m_{\beta\beta}| < (61, 165) \text{ meV (90\% CL)}$$

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zoom.us video

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Future perspective

KamLAND2-Zen

SNO+

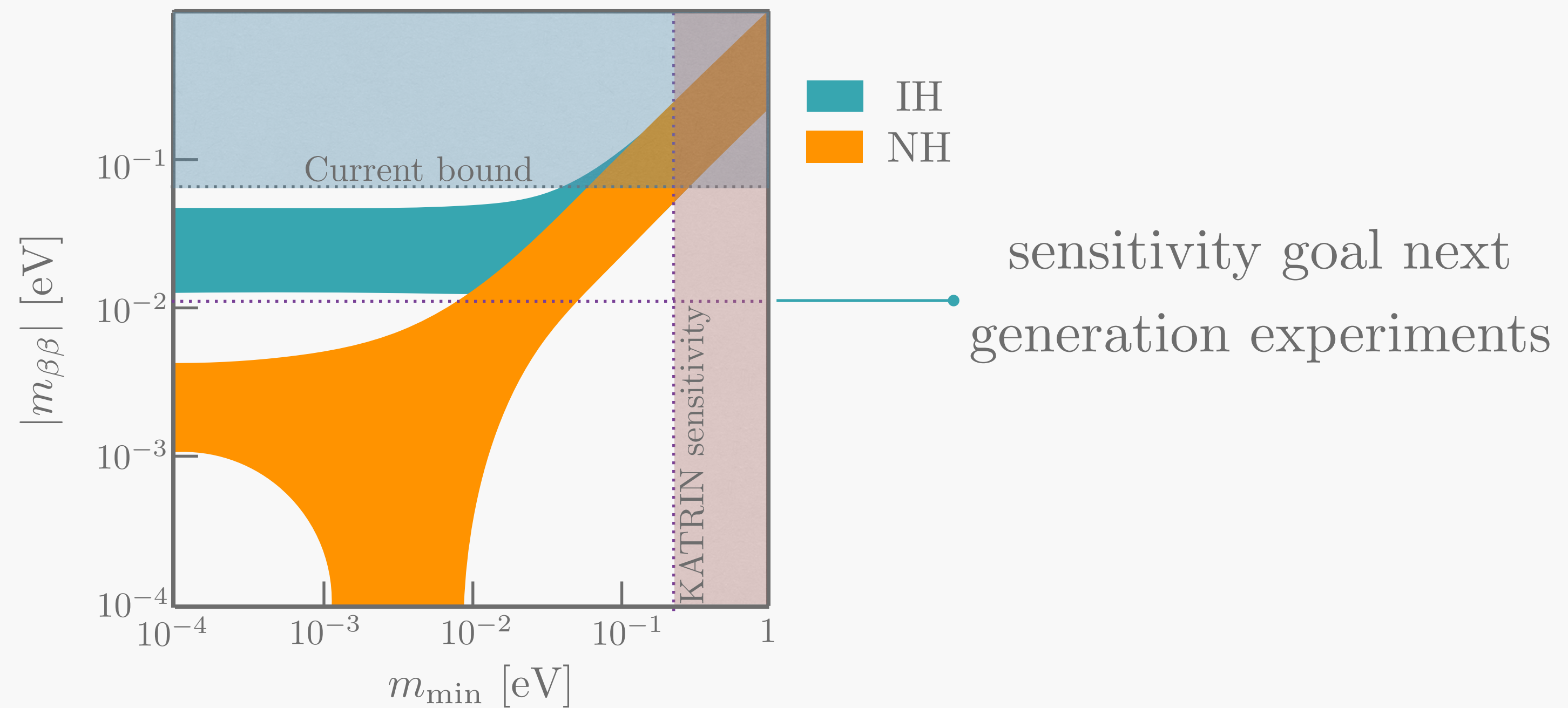
intention to cover the IH region

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THANKS