

# SMEFT, SM Effective Field Theory

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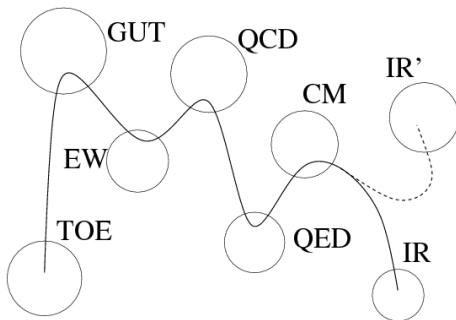
ELKH-ELTE Theoretical Physics Research Group

2021. február 1. ELFT 'Winter' School, Budapest

# Outline

- 1 Introduction, Concept of EFT
- 2 Heavy-light scalars EFT
- 3 The SM and SMEFT

# (Functional) RG flow of the Theory Of Everything (TOE)

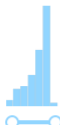


Polónyi János, Central Eur.J.Phys. 1 (2003)

Dream: TOE  $\rightarrow$  Gravity + SM as an emergent effective theory,  
Predicting everything (spectrum,  $g_i, m_i, \dots$ ) - Top-down appr.

Interesting physics everywhere - Theories of something, EFT

## Date of paper



2015 2021

## Number of authors

 Single author

10

 10 authors or less

82

83 results | cite all

**SMEFT and searches for new physics**[Ken Mimasu \(Louvain U., CP3\)](#) (Jan 8, 2021)Published in: *PoS LHCP2020* (2021) 169 · Contribution to: [LHCP2020](#), 169

links

cite

**smelli -- the SMEFT Likelihood**[Peter Stangl](#) (Dec 22, 2020)Contribution to: [TOOLS 2020](#) · e-Print: [2012.12211](#) [hep-ph]

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cite

- Adam Falkowski: Saclay Lectures on Effective Field Theories (2017)
- Ilaria Brivio, Michael Trott, The Standard Model as an Effective Field Theory, Phys.Rept. 793 (2019) 1-98 • e-Print: 1706.08945 [hep-ph]

## EFT reviews

- I.Z. Rothstein, TASI Lectures on Effective Field Theories (2003), hep-ph/0308266
- A.V. Manohar, Lect.Notes Phys. 479 (1997) 311-362.

# Effective Theories (Philosophy)

Everything depends in everything - lose predictivity

- **Theory:** describes phenomena in a given regime
- **Effective:** simple, calculable predictions
- inevitably not complete, not fundamental d.o.f. may be emergent

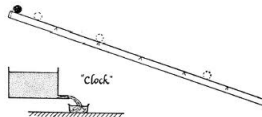
⇒ Useful predictions, finite # of parameters

- good EFT (Effective Theory) can be improved systematically  
e.g. small parameter  $\pi\pi$ , graviton scattering
- Helps: Separation of scales  
relevant + irrelevant operators (see later)  
(Observation: dynamics at other Energies does not matter)

- 1 Introduction, Concept of EFT
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## Kísérletek útján (1638)

$$\Delta v = g \Delta t$$



Library of Congress

## Természettörvény:

$$\text{GET} \quad \ddot{z} = -g \implies T = \sqrt{2h/g} \quad \text{pre-Newton}$$

**Galilei:** közegellenállás csak komplikáció,  $\rho \gg \rho_{lev.}$  egyformán esnek  
 kísérleti ellenőrzés, GET - "nem tévedünk nagyot"

→korrekciók, őrzik a tér-idő szimmetriáit, eltolás, forgatás, idő-eltolás

$$\ddot{z} = -g + c \cdot z, \quad c \rightarrow \frac{a}{R} = \frac{g}{R_F} \sim \text{targy meretetol nem fugg}$$

EFT extra tagok természetesekek, őrzik a szimmetriákat.



Óvatosan,  $\eta$ 

$$\text{MGET} \quad \ddot{z} = -g \left( 1 - \eta \frac{z}{R} + \dots \right) \rightarrow z(t) = \dots$$

$$T = \sqrt{\frac{2h}{g}} \left( 1 + \frac{\eta}{2} \frac{h}{R_F} + \mathcal{O}\left(\frac{h^2}{R_F^2}\right) \right)$$

$$h = 200\text{m} \quad T = 6.5 + \eta \cdot 0,1\text{s}$$

Közelítő törvény, Mérések  $\rightarrow \eta = 2 \pm m.h.$  korrekciók

Newton elmélet felváltja MGET-t,  $\eta = 2$  számolható!

Korrekciókat tekinthetünk a fundamentális Newton elmélet nélkül is  
MGET pontosabb, ugyan több paraméter - Newton irányába

$1/r^2$  tv módosítása Merkúr perihélium elfordulása Einstein előtt/ James D.  
Wells, 2013

# Effective Theories (EFT), Top-down

Dynamical phenomena, scales are separated

Full theory: Integrating out Heavy d.o.f.

“coarse graining”

Heavy H

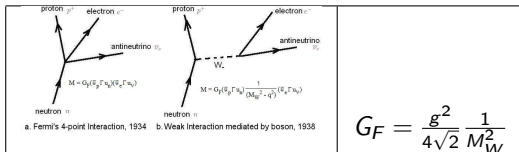
Light L

$$\mathcal{L}(H, L) \rightarrow \mathcal{L}(L)$$

$g_L^i(H)$  and new couplings, local in field theory (FT)

H, L  $\sim$  mass, momenta, velocity, ...

Fermi 4-fermion int'n, Landau-Ginzburg SC, ( $L_{chiral}^{QCD}$ )



Effective description of the fundamental theory, given accuracy

Unknown fundamental theory,

Guides the improvement, modification of existing theory

- experimentally testable  $\rightarrow$  (may) point to fundamental theory

## Principles

- **Symmetries**
- **Naturalness** (observed/existing scales,  $\mathcal{O}(1)$  parameters)

Weinberg(1979)

selfconsistent theories with all the terms allowed by symmetries,

Symmetry breaking terms allowed.

Enough measurement  $\rightarrow$  predictive

- **Laws  $\rightarrow$  symmetries are more important!**

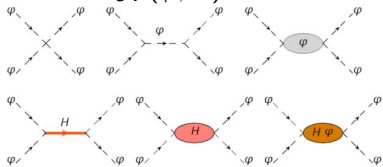
- Lagrangian
  - Most general allowed by symmetries
  - Expansion (generally) by energy
- Calculate and renormalize
  - start with the smallest order
  - renormalize the parameters
- Phenomenology, processes
  - measure the (finite  $\#$ ) param's
  - correlations, new processes are already predictions

Differences:

- Not only R(enormalizable) terms
- Expansion in energy ( $p, m$ )

# Effective Lagrangian, light-Heavy

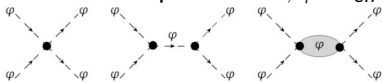
QFT with light  $\phi$  and “heavy” fields  $H$   $\mathcal{L}_{UV}(\phi, H)$ ,



Scattering  $2 \rightarrow 2$  of light fields  $\phi$

At low energies  $H$ 's not excited, too heavy

Effective theory of  $\phi$  reproduces the full ampl. with  $H, \phi$   $\mathcal{L}_{eff}(\phi)$



Effective  $2 \rightarrow 2$  scattering  $\phi$

Effects of “inner”  $H$ ,  $\mathcal{L}_{eff}(\phi) \neq \mathcal{L}_{UV}(\phi, 0)$

Examples:

- light by light scattering below  $m_e$ , no contact terms (E-H)
- muon decay,  $m_\mu \ll M_W$

# Integrating out in Path Integral

Simpler description without heavy  $H$ , eliminate

Amplitudes of light, heavy fields from generating functional, diff, w.r.t  $J$ ;

$$Z_{UV}[J_\phi, J_H] = \int [D\phi][DH] \exp[i \int d^4x (\mathcal{L}_{UV}(\phi, H) + J_\phi \phi + J_H H)].$$

Only light scat's, no source for heavy, encode the dynamics in  $\mathcal{L}_{eff}(\phi)$

$$Z_{EFT}[J_\phi] = \int [D\phi] \exp[i \int d^4x (\mathcal{L}_{eff}(\phi) + J_\phi \phi)].$$

Give the same correlation functions,  $\Gamma[\Phi, H]$  to generate 1PI amp $\sim$ s

Generally

$$\mathcal{L}_{eff}(\phi) \supset \phi^2 (\square + M^2)^{-1} \phi^2 \text{non-local},$$

$\sim$ propagation of heavy  $H$ , if  $M \gg E$ ,  $m_\phi$  - can make it local,

$$(\square + M^2)^{-1} \simeq \frac{1}{M^2} - \frac{1}{M^4} \square + \dots$$

Only deal with local $\sim$  in what follows.

# Motivations to prefer $\mathcal{L}_{eff}(\phi)$ vs. $\mathcal{L}_{UV}(\phi, H)$

- **Simplicity**

Calculations within EFT may be more efficient, multi-loops  
Cancellation in UV th understood by power counting in EFT

- **Calculability**

In UV disparate scales  $\rightarrow$  large log's, problem  
EFT techniques - resum large logs in RG flow of EFT param's

- **Agnosticity, Ignorance**

Unknown UV theory, as in case of the SM  
Difficult to calculate, e.g. low-E QCD  
EFT ignorance in free parameters (Wilson coeff)

$$\mathcal{L}_{EFT} \simeq \sum_i c_i^{UV}(\mu) O_i^{IR}(\mu)$$

# Scaling and Power Counting

$\mathcal{L}_{\text{eff}}(\phi)$  local, but generally infinite number of int'n terms

Needs to organize calculations, relevance- power counting

Relativistic theories  $M_H$ , heavy ptcle,  $1/M_H$  natural expansion par.

Observables expanded in  $E/M_H$

$$S_{\text{EFT}}(\phi) = \int d^4x \left[ (\partial_\mu \phi)^2 - m^2 \phi^2 - \kappa \mu \phi^3 - \lambda \phi^4 - \sum_{n+d>4} \frac{c_{n,d}}{\Lambda^{n+d-4}} \phi^{n-1} \partial^d \phi \right],$$

$n, d, > (\geq) 0$  and  $[\phi] = \text{mass}^1$ ,  $\Lambda, \mu$  to have dimensionless action,  $\Lambda \sim M_H$

Rescale to see relative importance

$$x_\mu \rightarrow \xi x'_\mu, \quad \begin{array}{ll} \xi \rightarrow 0 & \text{small distance} \\ \xi \rightarrow \infty & \text{large distance} \end{array}$$

$$S_{\text{EFT}}(\phi) = \int d^4x' \left[ \xi^2 (\partial_\mu \phi)^2 - m^2 \xi^4 \phi^2 - \kappa \mu \xi^4 \phi^3 - \lambda \xi^4 \phi^4 - \sum_{n+d>4} \frac{c_{n,d} \xi^{4-d}}{\Lambda^{n+d-4}} \phi^{n-1} \partial^d \phi \right]$$



# Scaling and Power Counting

Rescale  $\phi \rightarrow \xi \phi'$  kanonical kinetic terms dominant in the PI/path

$$S_{\text{EFT}}(\phi) = \int d^4 x' \left[ (\partial_\mu \phi')^2 - m^2 \xi^2 (\phi')^2 - \kappa(\xi \mu) (\phi')^3 - \lambda (\phi')^4 - \sum_{n+d>4} \frac{c_{n,d}}{(\xi \Lambda)^{n+d-4}} (\phi')^{n-1} \partial^d \phi' \right].$$

$\xi \rightarrow \infty$  all terms suppressed in the sum **IRRELEVANT**

$$D = n + d - 4, \text{ canonical dimension}$$

Keep terms up to  $D_{\text{max}}$ , observables in  $1/\Lambda$  orders

**RELEVANT** TERMS,  $\xi^2 \phi^2$ -mass and cubic  $(\xi \mu) \phi^3$  (expansion depends on  $\mu \sim \Lambda$ , or  $\ll \Lambda$ )

**MARGINAL** interaction  $\lambda \phi^4$ ,

loop corrections modify to REL-IRREL (not in CFT's)

No large scale  $\rightarrow$  number of derivatives

General selection rule, keep  $\hbar$

Action  $[S] = \hbar$  in the Path Integral  $\exp(iS/\hbar)$

kinetic term, fields  $\hbar^{1/2}$

Coeff. off interaction term n fields  $\hbar^{1-n/2}$  (any # derivatives)

$$S_{\text{EFT}}(\phi) = \int d^4x \left[ (\partial_\mu \phi)^2 - m^2 \phi^2 - \kappa \mu \phi^3 - \lambda \phi^4 - \sum_{n+d>4} \frac{c_{n,d}}{\Lambda^{n+d-4}} \phi^{n-1} \partial^d \phi \right],$$

$\hbar$  dimensions

$$[m^2] = \hbar^0 \quad [\kappa] = \hbar^{-1/2} \quad [\lambda] = \hbar^{-1} \quad [c_{n,d}] = \hbar^{1-n/2}$$

Additional estimate of importance/magnitude for  $\Lambda \sim M_H$

Consider 1 gauge coupling  $g_*$ , similarly  $[g_*] = \hbar^{-1/2}$

Estimates, 1 parameter case, tree :  $c_{n,d} \sim g_*^{n-2} \quad g_*^4|_{n=6}$

Loops, extra  $\hbar(\sim g_*^2)$ , generated by 1-loop  $c_{n,d} \sim \frac{g_*^n}{(4\pi)^2}$

Symmetries give additional selection rules, e.g. for hierarchical masses

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# Toy model of light ( $\phi$ ) and H real scalars

Tree-, loop level matching, on-shell- off-shell matching  
get rid off redundant operators

UV theory,  $Z_2$  symmetry  $\phi \rightarrow -\phi$ , no odd powers

$$\begin{aligned}\mathcal{L}_{\text{UV}} &= \frac{1}{2} [(\partial_\mu \phi)^2 - m_L^2 \phi^2 + (\partial_\mu H)^2 - M^2 H^2] \\ &\quad - \frac{\lambda_0}{4!} \phi^4 - \frac{\lambda_1}{2} M \phi^2 H - \frac{\lambda_2}{4} \phi^2 H^2.\end{aligned}$$

$H^3, H^4$  left out, no change, in  $\phi^2 H$ ,  $M$  factored out, diff. scaling

$$\mathcal{L}_{\text{EFT}} = \frac{1}{2} [(\partial_\mu \phi)^2 - m^2 \phi^2] - C_4 \frac{\phi^4}{4!} - \frac{C_6}{M^2} \frac{\phi^6}{6!} + \mathcal{O}(M^{-4}).$$

$1/M$  powers  $\sum_d \frac{C_d}{M^{d-4}} O_d$ ,  $O_d$  canonical dim.  $d$ , truncate at 6

Non-redundant operators  $O_4$  and  $O_6$ , no odd O's  $Z_2$ , call it *unbox basis*

# Redundant operators $\mathcal{O}(M^{-2})$

Possible dim-6 operators are redundant - no change in physics

$$\hat{O}_6 \equiv (\square\phi)^2, \quad \tilde{O}_6 \equiv \phi\square\phi^3, \quad \tilde{O}'_6 \equiv \phi^2\square\phi^2, \quad \tilde{O}''_6 \equiv \phi^2\partial_\mu\phi\partial_\mu\phi, \quad \dots$$

**Integration by parts**  $\tilde{O}''_6$  and  $\tilde{O}'_6$  traded to  $\tilde{O}_6 \phi^2\square\phi^2 = \frac{4}{3}\phi^3\square\phi, \dots$

Using class. equation of motion (EOM),  $\tilde{O}_6, \hat{O}_6 \rightarrow O_4, O_6$  of  $\mathcal{L}_{EFT}$

**THEOREM:** Shifting higher dim operators by terms  $\sim$ EOM does not change the S matrix elements [13]

Field redefinition does not change the physical content, consequence of the **equivalence theorem** see

[13] C. Arzt, *Reduced effective Lagrangians*, *Phys. Lett.* **B342** (1995) 189–195, [hep-ph/9304230].

[14] J. S. R. Chisholm, *Change of variables in quantum field theories*, *Nucl. Phys.* **26** (1961), no. 3 469–479.

[15] S. R. Coleman, J. Wess, and B. Zumino, *Structure of phenomenological Lagrangians. 1.*, *Phys. Rev.* **177** (1969) 2239–2247.

Highly non-trivial

Equation of motion

$$\square\phi + m^2\phi + \frac{C_4}{6}\phi^3 = \mathcal{O}(M^{-2})$$

Replace by 'old' terms in  $\mathcal{L}_{EFT}$  for on-shell amplitudes

$$\frac{1}{M^2}\phi^3\square\phi = -\frac{m^2}{M^2}\phi^4 - \frac{C_4}{6M^2}\phi^6 + \mathcal{O}(M^{-4}).$$

~  
Param's in  $\mathcal{L}_{EFT}$  are still free,  $\tilde{O}_6$  can be left out w/o lost  
Using the inverse replace  $O_6 \rightarrow \tilde{O}_6$  get in jargon *box basis* from unboxed

$$\mathcal{L}_{EFT} = \frac{1}{2}[(\partial_\mu\phi)^2 - m^2\phi^2] - \frac{\tilde{C}_4}{4!}\phi^4 - \frac{\tilde{C}_6}{4!M^2}\phi^3\square\phi + \mathcal{O}(M^{-4}).$$

Same prediction at any order of Perturbation Theory  
up to  $\mathcal{O}(M^{-4})$  for on-shell scattering amplitudes

# Mapping the 2 basis

Same predictions with the map

$$\tilde{C}_4 = C_4 - \frac{m^2}{5M^2} \frac{C_6}{C_4},$$

$$\tilde{C}_6 = -\frac{C_6}{5C_4}.$$

Equivalent with a non-linear  $\phi \rightarrow \phi \left(1 - \frac{C_6}{120C_4M^2} \phi^2\right)$

**Exercise1: Express the op  $\hat{O}_6$  by op's in original  $\mathcal{L}_{EFT}$  ! Give the map between double-box and unbox basis!**

$\hbar$  dimensions, action  $\hbar^1$ , fields  $\hbar^{1/2}$ , coeff of int'n term  $\hbar^{1-n/2}$

UV theory dimensions

$$[\lambda_0] = \hbar^{-1} [\lambda_1] = \hbar^{-1/2} [\lambda_2] = \hbar^{-1}$$

EFT theory

$$[\hat{C}_6] = \hbar^0 [C_4] = \hbar^{-1} [\tilde{C}_6] = \hbar^{-1} [C_6] = \hbar^{-2}$$

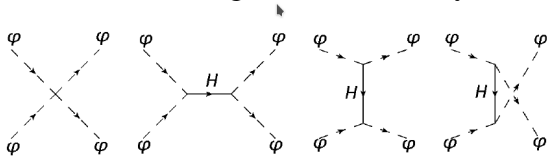
# Matching, Tree-level

Matching the UV theory and EFT: fix the EFT Wilson coeff's such that on-shell scattering ampl's are the same in the two framework.

Up to fixed order in  $1/M$  and loop expansions

Tree-level matching  $\phi$  propagator is trivial  $m^2 = m_L^2$ .

2-to-2 on-shell scattering. In the UV theory



$$\begin{aligned}\mathcal{M}_4^{\text{UV}} &= -\lambda_0 - \lambda_1^2 M^2 \left[ \frac{1}{s - M^2} + \frac{1}{t - M^2} + \frac{1}{u - M^2} \right] \\ &\approx -\lambda_0 + 3\lambda_1^2 + \frac{\lambda_1^2}{M^2} (s + t + u) + \mathcal{O}(M^{-4}) \\ &\approx -\lambda_0 + 3\lambda_1^2 + \frac{4m_L^2 \lambda_1^2}{M^2} + \mathcal{O}(M^{-4})\end{aligned}$$

where  $s, t, u$  are the usual Mandelstam variables, &  $s + t + u = 4m_L^2$ .



# Matching, Tree-level

2-to-2 in EFT, only the first contact term

$$M_4^{EFT} = -C_4$$

Matching  $M_4^{EFT} = M_4^{UV} + \mathcal{O}(M^{-2})$

$$C_4 = \lambda_0 - 3\lambda_1^2 - 4\lambda_1^2 \frac{m_L^2}{M^2} \quad \text{unbox}$$

Matching in the box basis, !derivative 4-vertex!

$$M_4^{EFT} = -\tilde{C}_4 + \frac{m^2}{M^2} \tilde{C}_6$$

$$\tilde{C}_4 - \frac{m^2}{M^2} \tilde{C}_6 = \lambda_0 - 3\lambda_1^2 - 4\lambda_1^2 \frac{m_L^2}{M^2} \quad \text{box}$$

consistent with unbox using the map!

# Matching $C_6$ , Tree-level, 6 point\*

To match  $C_6$ , calculate the 6-point function, complicated.  
Result gives the mapping, up to  $\mathcal{O}(M^{-2})$ , unbox basis  $C_4$ !

$$m^2 = m_L^2,$$

$$C_4 = \lambda_0 - 3\lambda_1^2 - 4\lambda_1^2 \frac{m_L^2}{M^2},$$

$$C_6 = 45\lambda_1^2\lambda_2 - 20\lambda_0\lambda_1^2 + 60\lambda_1^4.$$

Box basis matching condition

$$m^2 = m_L^2,$$

$$\tilde{C}_4 = \lambda_0 - 3\lambda_1^2 - \frac{9m_L^2}{M^2} \frac{\lambda_1^2\lambda_2}{\lambda_0 - 3\lambda_1^2},$$

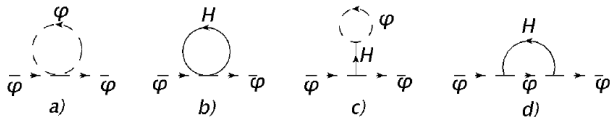
$$\tilde{C}_6 = 4\lambda_1^2 - 9 \frac{\lambda_1^2\lambda_2}{\lambda_0 - 3\lambda_1^2}.$$

So far tree level. (in Path integral simpler)

# One-loop Matching, 2-point function

With 1PI 2-point function, Tree level

$\Pi_0^{EFT} = p^2 - m^2$  and  $\Pi_0^{UV} = p^2 - m_L^2$ , add 1-loop corrections



EFT, only a), unbox basis, in dimensional regularization (dimreg)

$$\begin{aligned}\delta\Pi^{\text{EFT}} &= (-i)\frac{-iC_4}{2} \int \frac{d^d k}{(2\pi)^d} \frac{i}{k^2 - m^2} \\ &= C_4 \frac{m^2}{32\pi^2} \left[ \frac{1}{\bar{\epsilon}} + \log\left(\frac{\mu^2}{m^2}\right) + 1 \right].\end{aligned}$$

where  $1/\bar{\epsilon} = 1/\epsilon + \gamma_E + \log(4\pi)$ ,  $\mu$  dim. par. in dimreg

Physical mass, pole of  $\Pi(p^2)$ ,  $\bar{\text{MS}}$  scheme, dropping  $1/\bar{\epsilon}$  terms

$$m_{\text{phys}}^2 = m^2 - C_4 \frac{m^2}{32\pi^2} \left[ \log\left(\frac{\mu^2}{m^2}\right) + 1 \right].$$

LHS physical observable, independent of the arbitrary scale  $\mu$

# 1-loop, 2-point function

Running parameter  $m^2$  in the  $\mathcal{L}_{EFT}$  at 1-loop,  $\mu$  indep. RHS

$$\frac{dm^2}{d \log \mu} = C_4 \frac{m^2}{32\pi^2} \left[ \log \left( \frac{\mu^2}{m^2} \right) + 1 \right]$$

Box basis

$$\begin{aligned} \delta \tilde{\Pi}^{\text{EFT}} &= -\frac{i\tilde{C}_4}{2!} \int \frac{d^d k}{(2\pi)^d} \frac{1}{k^2 - m^2} + (-i) \frac{i\tilde{C}_6}{2!4M^2} \int \frac{d^d k}{(2\pi)^d} \frac{2i(k^2 + m^2)}{k^2 - m^2} \\ &= \tilde{C}_4 \frac{m^2}{32\pi^2} \left[ \frac{1}{\bar{\epsilon}} + \log \left( \frac{\mu^2}{m^2} \right) + 1 \right] - \tilde{C}_6 \frac{p^2 + m^2}{64\pi^2} \frac{m^2}{M^2} \left[ \frac{1}{\bar{\epsilon}} + \log \left( \frac{\mu^2}{m^2} \right) + 1 \right]. \end{aligned}$$

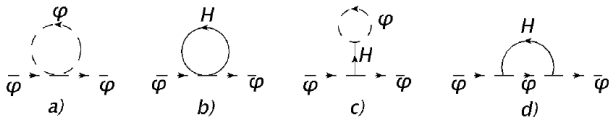
Only on-shell coincide, differ off-shell, different  $p^2$  dependence, different wave-function renormalization  $\delta_\phi = 0$

$$\tilde{\delta}_\phi \equiv \left. \frac{d\delta \tilde{\Pi}^{\text{EFT}}}{dp^2} \right|_{p^2=m_{\text{phys}}^2} = -\frac{\tilde{C}_6}{64\pi^2} \frac{m^2}{M^2} \left[ \frac{1}{\bar{\epsilon}} + \log \left( \frac{\mu^2}{m^2} \right) + 1 \right],$$

physical mass (sol'n  $p^2 - m^2 + \delta \tilde{\Pi}^{\text{EFT}} = 0$ ) same after mapping  $C_{4,6}$

$$m_{\text{phys}}^2 = m^2 - \frac{m^2}{32\pi^2} \left( \tilde{C}_4 - \frac{m^2}{M^2} \tilde{C}_6 \right) \left[ \log \left( \frac{\mu^2}{m^2} \right) + 1 \right],$$

# 1-loop Matching, UV side



a) same diagram different parameters, b) H in loop

$$\mathcal{M}_2^{\text{UV,a)}} = \lambda_0 \frac{m_L^2}{32\pi^2} \left[ \frac{1}{\bar{\epsilon}} + \log\left(\frac{\mu^2}{m_L^2}\right) + 1 \right]. \quad \mathcal{M}_2^{\text{UV,b)}} = \lambda_2 \frac{M^2}{32\pi^2} \left[ \frac{1}{\bar{\epsilon}} + \log\left(\frac{\mu^2}{M^2}\right) + 1 \right].$$

Tad-pole c)

$$\mathcal{M}_2^{\text{UV,c)}} = (-i)(-i\lambda_1 M)^2 \frac{1}{0^2 - M^2} \int \frac{d^d k}{(2\pi)^d} \frac{i}{k^2 - m^2} = -\lambda_1^2 \frac{m_L^2}{32\pi^2} \left[ \frac{1}{\bar{\epsilon}} + \log\left(\frac{\mu^2}{m_L^2}\right) + 1 \right]$$

Mixed loop, evaluated at  $p^2 = m_L^2$

$$\begin{aligned} \mathcal{M}_2^{\text{UV,d)}} &= (-i)(-i\lambda_1 M)^2 \int \frac{d^d k}{(2\pi)^d} \frac{i^2}{(k^2 - M^2)((k+p)^2 - m_L^2)} \\ &\rightarrow \lambda_1^2 \frac{M^2}{16\pi^2} \left[ \frac{1}{\bar{\epsilon}} + \log\left(\frac{\mu^2}{M^2}\right) + 1 \right] + \lambda_1^2 \frac{m_L^2}{32\pi^2} \left[ -2 \log\left(\frac{M^2}{m_L^2}\right) + 1 \right] \\ &+ \lambda_1^2 \frac{m_L^4}{48\pi^2 M^2} \left[ -6 \log\left(\frac{M^2}{m_L^2}\right) + 5 \right]. \end{aligned}$$

# Matching the masses

EFT,  $\bar{M}\bar{S}$   $m_{\text{phys}}^2 = m^2 - C_4 \frac{m^2}{32\pi^2} \left[ \log\left(\frac{\mu^2}{m^2}\right) + 1 \right]$ . Compare with

UV,  $\bar{M}\bar{S}$   $m_{\text{phys}}^2 = m_L^2 - \left( \lambda_0 - 3\lambda_1^2 - 4\lambda_1^2 \frac{m_L^2}{M^2} \right) \frac{m_L^2}{32\pi^2} \left[ \log\left(\frac{\mu^2}{m_L^2}\right) + 1 \right] + \dots$

Physical mass is the same in UV & EFT  $\rightarrow$  matching equation

$$m^2(\mu) = m_L^2(\mu) - \frac{1}{32\pi^2} \log\left(\frac{\mu^2}{M^2}\right) \left[ M^2 (\lambda_2 + 2\lambda_1^2) + 2\lambda_1^2 m_L^2 + 4\lambda_1^2 \frac{m_L^4}{M^2} \right] \\ - \frac{1}{32\pi^2} \left[ M^2 (\lambda_2 + 2\lambda_1^2) + 3\lambda_1^2 m_L^2 + \frac{22}{3} \lambda_1^2 \frac{m_L^4}{M^2} \right].$$

$\log(\mu^2/m_L^2)$  cancels out. Simpler choosing the single scale  $\mu = M$

$$m^2(M) = m_L^2(M) - \frac{1}{32\pi^2} \left[ M^2 (\lambda_2 + 2\lambda_1^2) + 3\lambda_1^2 m_L^2 + \frac{22}{3} \lambda_1^2 \frac{m_L^4}{M^2} \right].$$

Choosing  $\mu \sim M$ , high matching scale no large log's  
Perturbation theory works  $\lambda_1^2 [\lambda_2] \log(\mu^2/M^2)$  not large

# UV sensitivity of scalar masses (hidden H.P.)

## Modern way of UV sensitivity

$$m^2(M) = m_L^2(M) - \frac{1}{32\pi^2} \left[ M^2 (\lambda_2 + 2\lambda_1^2) + 3\lambda_1^2 m_L^2 + \frac{22}{3} \lambda_1^2 \frac{m_L^4}{M^2} \right].$$

Are  $\delta m^2$  regularization dependent in low-E theory?

$$\delta m^2 \sim m^2 \text{dimreg} \quad ? \quad \delta m^2 \sim \Lambda^2 / 16\pi^2 \text{cutoff}$$

Fine tuning depends on regularization?

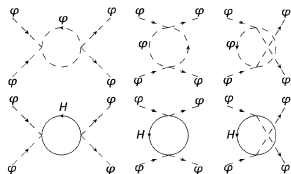
Natural  $m^2 \sim M^2 / (16\pi^2)$ , simple scaling

To arrive at  $m \ll M/4\pi$ ,  $m_L$  has to be tuned to  $M/4\pi$  for cancellation.

UV sensitivity (of scalars) is independent of the regularization.

# 1-loop matching, 4-point functions

$\lambda_1 = 0$ , simplify, many diagrams in the UV model, EFT first row



TREE-level  $C_4 = \lambda_0$ ,  $C_6 = 0$ .

$$\begin{aligned} \mathcal{M}_4^{\text{EFT}} &= -C_4 + \frac{C_4^2}{32\pi^2} [f(s, m) + f(t, m) + f(u, m)] \\ &+ \frac{3C_4^2}{32\pi^2} \left( \frac{1}{\epsilon} + \log\left(\frac{\mu^2}{m^2}\right) + 2 \right) + \frac{C_6 m^2}{32\pi^2 M^2} \left( \frac{1}{\epsilon} + \log\left(\frac{\mu^2}{m^2}\right) + 1 \right) \end{aligned}$$

unbox basis

here  $f(s, m) \equiv \sqrt{1 - \frac{4m^2}{s}} \log\left(\frac{2m^2 - s + \sqrt{s(s - m^2)}}{2m^2}\right)$ . With  $\delta\phi=0$  wavefunction ren.

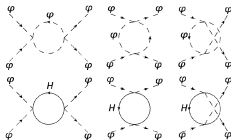
$$S_4^{\text{EFT}} = \frac{\mathcal{M}_4^{\text{EFT}}(\overline{\text{MS}})}{(1 + \delta\phi^2)} \Big|_{p_i^2 = m_{\text{phys}}^2}$$

Different p-dep. off-shell  $\tilde{\mathcal{M}}_4^{\text{EFT}}$  and  $\tilde{\delta}_\phi$ , but same  $S_4^{\text{EFT}}$  in box basis.  $S_4^{\text{EFT}}$  independent of  $\mu$ , get RG equations for  $C_4$  in  $\overline{\text{MS}}$

$$\frac{dC_4}{d \log \mu} = \frac{3}{16\pi^2} C_4^2 + \frac{m^2}{16\pi^2 M^2} C_6$$



# 1-loop 4-point, UV side



$\lambda_1 = 0$ , UV, H-loops

$$\begin{aligned} \mathcal{M}_4^{\text{UV}} &= -\lambda_0 + \frac{3\lambda_0^2}{32\pi^2} \left( \frac{1}{\bar{\epsilon}} + \log\left(\frac{\mu^2}{m^2}\right) + 2 \right) + \frac{3\lambda_2^2}{32\pi^2} \left( \frac{1}{\bar{\epsilon}} + \log\left(\frac{\mu^2}{M^2}\right) + 2 \right) \\ &+ \frac{\lambda_0^2}{32\pi^2} [f(s, m) + f(t, m) + f(u, m)] + \frac{\lambda_2^2}{32\pi^2} [f(s, M) + f(t, M) + f(u, M)] \end{aligned}$$

$1/M$  expanded,

$$\mathcal{M}_4^{\text{UV}} \approx -\lambda_0 + \frac{3\lambda_0^2}{32\pi^2} \left( \frac{1}{\bar{\epsilon}} + \log\left(\frac{\mu^2}{m^2}\right) + 2 \right) + \frac{3\lambda_2^2}{32\pi^2} \left( \frac{1}{\bar{\epsilon}} + \log\left(\frac{\mu^2}{M^2}\right) \right) + \frac{m^2\lambda_2^2}{48\pi^2 M^2} + \frac{\lambda_0^2}{32\pi^2} [f(s, m) + f(t, m) + f(u, m)].$$

$\overline{\text{MS}}$  and  $\mu$  ren. scale, 1-loop matching condition for  $C_4$  ( $C_6^{\text{TREE}} = 0!$ )

$$C_4 = \lambda_0 - \frac{3\lambda_2^2}{32\pi^2} \log\left(\frac{\mu^2}{M^2}\right) - \frac{\lambda_2^2 m^2}{48\pi^2 M^2}$$

Only  $\log\left(\frac{\mu^2}{M^2}\right)$ , no  $\log\left(\frac{\mu^2}{m_L^2}\right)$ , choice  $\mu \sim M$  - no large logs in matching

$$C_4(M) = \lambda_0(M) - \frac{\lambda_2^2 m^2}{48\pi^2 M^2} \quad \text{simplified}$$

# RG equations in EFT

$\mu \sim M$  simplifies matching, EFT couplings at  $\mu \ll M$  evolve with RG  
Observables ( $m_{phys}, S_{ab}$ ) independent of  $\mu$  ren. scale  
( $C_4^{TREE} = \lambda_0 - 3\lambda_1^2 - \dots$ )

$$\frac{dm^2}{d\log\mu} = \frac{m^2 C_4}{16\pi^2},$$
$$\frac{dC_4}{d\log\mu} = \frac{1}{16\pi^2} \left[ 3C_4^2 + \frac{m^2}{M^2} C_6 \right].$$

RHS standard  $\phi^4$  results +  $\mathcal{O}(1/M^2)$  corr. from dim-6 operator,  $C_6$   
General, at 1-loop, higher dim Wilson  $C_i$  contribute to lower dim, w/  
explicit mass parameters in the EFT  
Solve first for physical meaning

$$m^2(\mu) = m^2(M) \left( \frac{\mu}{M} \right)^{C_4/16\pi^2}$$

For perturbative corrections ( $a^x \simeq 1 + x \log a$ )

$$m^2(\mu) \simeq m^2(M) \left[ 1 + \frac{C_4}{16\pi^2} \log \left( \frac{\mu}{M} \right) \right]$$

This is the 1-loop physical mass in UV theory, with  $C_4$  mapping  
RG equation resums the large logs for small  $c$ .  $C_4 \ll 16\pi^2$

Calculate  $\phi$  scatt. ampl's at  $E \ll M$ ,  $M$  heavy scale

- Express  $C_i$  of  $\mathcal{L}_{EFT}$  at scale  $M$  with  $\mathcal{L}^{UV}$ 's matching equations, different basis-different  $C_i$ 's
  - RG equations evolve  $C_i$  Wilson coeff's to  $\mu \sim E$
  - Calculate the Amplitude in EFT with parameters at  $\mu \sim E$
  - Beyond  $\mathcal{O}(1/M^2)$  go for higher operator, dim-8,-..., generalize matching
- 1-loop  $\rightarrow$  generalize matching, RG running to higher loops

In full theory with 2-scalars, more complicated diagrams, multiple large logs, perturbation theory breaks down

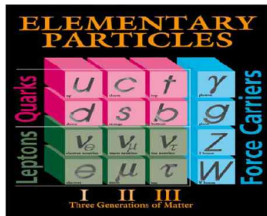
Bottom-up, if EFT violates pert. unitarity at  $\Lambda \sim 4\pi M/\sqrt{C_6}$  one can try to match the EFT to a hypothetical L-H system.

# Outline

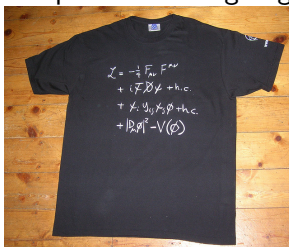
- 1 Introduction, Concept of EFT
- 2 Heavy-light scalars EFT
- 3 The SM and SMEFT

# The Standard Model

- Standard Model local gauge QFT,  $SU(3)_C \times SU_L(2) \times U_Y(1)$
- 3 fermionic matter family (spin-1/2)  
Interactions mediated by spin-1 gauge bosons  
All particles discovered
- SSB by a  $SU_L(2)$  doublet Higgs
- Symmetries + **Renormalizability**  
Interactions unique
- B, L accidental symmetries

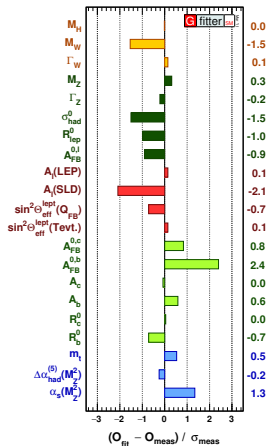


SM particles & Lagrangian



# The Standard Model

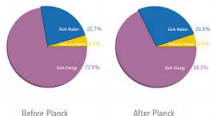
- Excellent agreement w/ experiments  
Tevatron, LEP, SLC, LHC
- 4+3 Fit parameters  
 $M_Z, M_H, \Delta\alpha_h^{(5)}(M_Z), \alpha_s(M_Z), m_{c,b,t}$   
(Later use 3 electroweak input par's)
- $P = \frac{O_{fit} - O_{meas}}{\sigma_{meas}}$  pull faktor
- All below  $3\sigma$ , ( $\sim 2.4 A_{FB}^{0,b}, R_b^0$ )  
 $g_\mu - 2, \mu$  anomalous magnetic moment
- $M_H = 125.10 \pm 0.14$  GeV, LHC



GFITTER pull faktor  
(2018)

- Not AF,  $U_Y(1)$ ,  $\lambda\Phi^4$  not fund.  
Landau pole!  $g = \frac{g_0}{g_0 - \beta \ln(\frac{\Lambda}{m})}$
- Unstable/metastable vacuum
- Gravity not included,  
 $M_{Planck} = \sqrt{\frac{\hbar c}{G}} \simeq 1.2 \times 10^{19}$  GeV  
-quantum gravity.
- Naturalness, H.P.- 'red herring'  
 $M_{Higgs}$  sensitive to higher scales  
New physics close to EW
- Many accidental parameters: 19

- Dark matter, energy ( $\Lambda$ )
- Neutrino mass (oscillation)
- Baryon asymmetry of the Universe  
 $\eta = \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq 6 \cdot 10^{-9}$
- Inflation



$g, g', g_s$	$M_Z, M_H$	$3m_l + 6m_q$	CKM $\theta_i, \delta$	$\theta_{QCD}$	$m_\nu + \text{CKM}$
3	2	9	3+1	1	7-9(Maj.)

LEP: new physics, what scale? H.P.  $\rightarrow 1 - 3\text{TeV!}$

No direct/indirect evidence  $\Rightarrow$  SM effective theory

Fundamental d.o.f. are the SM ones

Drop RENORMALIZABILITY, allow for  $D > 4$  operators

Systematic expansion in dim of  $O_d$ , composed of SM fields

$$\begin{aligned}\mathcal{L} = \mathcal{L}_{SM} &+ \frac{1}{\Lambda_L} \sum_i c_i^{(5)} \mathcal{O}_i^{D=5} + \frac{1}{\Lambda^2} \sum_i c_i^{(6)} \mathcal{O}_i^{D=6} + \\ &+ \frac{1}{\Lambda^3} \sum_i c_i^{(7)} \mathcal{O}_i^{D=7} + \frac{1}{\Lambda^4} \sum_i c_i^{(8)} \mathcal{O}_i^{D=8} + \dots\end{aligned}$$

Double expansion in  $1/\Lambda_L$  and  $1/\Lambda$ , useful for  $v \ll \Lambda_L, \Lambda$ .

Expect to parametrize large class of new physics with heavy particles

New Phys. constraints after matching the constrained Wilson coefficients

$$\mathcal{L}^5 = \frac{1}{\Lambda_L} c_{ff'}^{(5)} \Phi \Phi L_f L_{f'} \quad v \text{ tömeg, see-saw.}$$



# Odd-even dim operators

Dim-5 operator (H-Higgs, L-Lepton doublet, I,J flavour)

$$\mathcal{O}_{IJ}^{D=5} = \left( \varepsilon_{ij} H^i L_l^j \right) \left( \varepsilon_{kl} H^k L_J^l \right)$$

L and (B-L) violating, generates Majoranna mass terms  $\frac{v^2}{\Lambda_L} c_{IJ}^{(5)} \nu_I \nu_J$

From  $m_\nu \lesssim \text{eV}$ , get  $\Lambda_L / c^{(5)} \geq 10^{15} \text{GeV}$ , for the eigenvalues

Assume  $\Lambda$  and  $\Lambda_L$  are far away, only deal with even operators

$$v \ll \Lambda, \quad \Lambda^2 \ll v \Lambda_L$$

B,L conserving odd operators are still subdominant

- Leading contribution to collider physics D=6
- Naively symmetry breaking operators are more suppressed

broken symmetry	operators	$\Lambda$ scale
B,L	$(QQQL) / \Lambda^2$	$10^{12-13} \text{ TeV}$
flavor 1-2. gen., CP	$(\bar{d}s\bar{d}s) / \Lambda^2$	1000 TeV
flavor 2-3.gen	$m_b (\bar{s}\sigma_{\mu\nu} F^{\mu\nu} b) / \Lambda^2$	50 TeV

- Different higher dim operators can lead to same S-matrix - REDUNDANCY
- Get rid off, by
  - using equation of motion (EOM)
  - integrating by parts
  - field redefinitions
  - Fierz transformation
- Not obvious relations  $O_{bosonic} \leftrightarrow \sum (a_{2i} \bar{\Psi}\Psi + a_{4i} (\bar{\Psi}\Psi) (\bar{\Psi}\Psi))$
- Buchmüller, Wyler ('86)  $\rightarrow$  minimal set Gradzkowski et al. '10
- 59 operators in non-redundant basis
- can define new basis via transformations
- 2499 parameters - B,L and flavour symmetries reduce it
- 28 op's including the Higgs (doublet)
- special alternatives, SILH basis, Strongly Interacting Light Higgs, fits for strong BSM sector ('07-'13 completed)

- Purely Bosonic operators

Bosonic CP-even		Bosonic CP-odd	
$O_H$	$(H^\dagger H)^3$		
$O_{H\Box}$	$(H^\dagger H)\Box(H^\dagger H)$		
$O_{HD}$	$ H^\dagger D_\mu H ^2$		
$O_{HG}$	$H^\dagger H G_{\mu\nu}^a G_{\mu\nu}^a$	$O_{H\tilde{G}}$	$H^\dagger H \tilde{G}_{\mu\nu}^a G_{\mu\nu}^a$
$O_{HW}$	$H^\dagger H W_{\mu\nu}^i W_{\mu\nu}^i$	$O_{H\tilde{W}}$	$H^\dagger H \tilde{W}_{\mu\nu}^i W_{\mu\nu}^i$
$O_{HB}$	$H^\dagger H B_{\mu\nu} B_{\mu\nu}$	$O_{H\tilde{B}}$	$H^\dagger H \tilde{B}_{\mu\nu} B_{\mu\nu}$
$O_{HWB}$	$H^\dagger \sigma^i H W_{\mu\nu}^i B_{\mu\nu}$	$O_{H\tilde{W}B}$	$H^\dagger \sigma^i H \tilde{W}_{\mu\nu}^i B_{\mu\nu}$
$O_W$	$\epsilon^{ijk} W_{\mu\nu}^i W_{\nu\rho}^j W_{\rho\mu}^k$	$O_{\tilde{W}}$	$\epsilon^{ijk} \tilde{W}_{\mu\nu}^i W_{\nu\rho}^j W_{\rho\mu}^k$
$O_G$	$f^{abc} G_{\mu\nu}^a G_{\nu\rho}^b G_{\rho\mu}^c$	$O_{\tilde{G}}$	$f^{abc} \tilde{G}_{\mu\nu}^a G_{\nu\rho}^b G_{\rho\mu}^c$

Table 2: Bosonic  $D=6$  operators in the Warsaw basis.

Double Lorentz-indices implicitly contracted with  $\eta_{\mu\nu} = \langle 1, -1, -1, -1 \rangle$   
 Deal with  $O_{HD}$

# Warsaw basis, Two-fermion-boson operators

Yukawa	
$[O_{eH}^\dagger]_{IJ}$	$H^\dagger H e_I^c H^\dagger \ell_J$
$[O_{uH}^\dagger]_{IJ}$	$H^\dagger H u_I^c \tilde{H}^\dagger q_J$
$[O_{dH}^\dagger]_{IJ}$	$H^\dagger H d_I^c H^\dagger q_J$

Vertex	Dipole
$[O_{H\ell}]_{IJ}$	$[O_{eW}^\dagger]_{IJ}$
$[O_{H\ell}^{(3)}]_{IJ}$	$[O_{eB}^\dagger]_{IJ}$
$[O_{He}]_{IJ}$	$[O_{uG}^\dagger]_{IJ}$
$[O_{Hq}]_{IJ}$	$[O_{uW}^\dagger]_{IJ}$
$[O_{Hq}^{(3)}]_{IJ}$	$[O_{uB}^\dagger]_{IJ}$
$[O_{Hu}]_{IJ}$	$[O_{dG}^\dagger]_{IJ}$
$[O_{Hd}]_{IJ}$	$[O_{dW}^\dagger]_{IJ}$
$[O_{Hud}]_{IJ}$	$[O_{dB}^\dagger]_{IJ}$

Table 3: Two-fermion  $D=6$  operators in the Warsaw basis. The flavor indices are denoted by  $I, J$ . For complex operators ( $O_{Hud}$  and all Yukawa and dipole operators) the corresponding complex conjugate operator is implicitly included.

# Warsaw basis, Four-fermion- operators

$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
$O_{ee}$	$\eta(e^c\sigma_\mu\bar{e}^c)(e^c\sigma_\mu\bar{e}^c)$	$O_{\ell e}$	$(\bar{\ell}\bar{\sigma}_\mu\ell)(e^c\sigma_\mu\bar{e}^c)$
$O_{uu}$	$\eta(u^c\sigma_\mu\bar{u}^c)(u^c\sigma_\mu\bar{u}^c)$	$O_{\ell u}$	$(\bar{\ell}\bar{\sigma}_\mu\ell)(u^c\sigma_\mu\bar{u}^c)$
$O_{dd}$	$\eta(d^c\sigma_\mu\bar{d}^c)(d^c\sigma_\mu\bar{d}^c)$	$O_{\ell d}$	$(\bar{\ell}\bar{\sigma}_\mu\ell)(d^c\sigma_\mu\bar{d}^c)$
$O_{eu}$	$(e^c\sigma_\mu\bar{e}^c)(u^c\sigma_\mu\bar{u}^c)$	$O_{eq}$	$(e^c\sigma_\mu\bar{e}^c)(\bar{q}\bar{\sigma}_\mu q)$
$O_{ed}$	$(e^c\sigma_\mu\bar{e}^c)(d^c\sigma_\mu\bar{d}^c)$	$O_{qu}$	$(\bar{q}\bar{\sigma}_\mu q)(u^c\sigma_\mu\bar{u}^c)$
$O_{ud}$	$(u^c\sigma_\mu\bar{u}^c)(d^c\sigma_\mu\bar{d}^c)$	$O_{qu}^{(8)}$	$(\bar{q}\bar{\sigma}_\mu T^a q)(u^c\sigma_\mu T^a \bar{u}^c)$
$O_{ud}^{(8)}$	$(u^c\sigma_\mu T^a \bar{u}^c)(d^c\sigma_\mu T^a \bar{d}^c)$	$O_{qd}$	$(\bar{q}\bar{\sigma}_\mu q)(d^c\sigma_\mu\bar{d}^c)$
		$O_{qd}^{(8)}$	$(\bar{q}\bar{\sigma}_\mu T^a q)(d^c\sigma_\mu T^a \bar{d}^c)$
$(\bar{L}L)(\bar{L}L)$		$(\bar{L}R)(\bar{L}R)$	
$O_{\ell\ell}$	$\eta(\bar{\ell}\bar{\sigma}_\mu\ell)(\bar{\ell}\bar{\sigma}_\mu\ell)$	$O_{quqd}$	$(u^c q^j)\epsilon_{jk}(d^c q^k)$
$O_{qq}$	$\eta(\bar{q}\bar{\sigma}_\mu q)(\bar{q}\bar{\sigma}_\mu q)$	$O_{quqd}^{(8)}$	$(u^c T^a q^j)\epsilon_{jk}(d^c T^a q^k)$
$O'_{qq}$	$\eta(\bar{q}\bar{\sigma}_\mu\sigma^i q)(\bar{q}\bar{\sigma}_\mu\sigma^i q)$	$O_{\ell e q u}$	$(\bar{\ell}^j \bar{e}^c)\epsilon_{jk}(q^k \bar{u}^c)$
$O_{\ell q}$	$(\bar{\ell}\bar{\sigma}_\mu\ell)(\bar{q}\bar{\sigma}_\mu q)$	$O_{\ell e q u}^{(3)}$	$(\bar{\ell}^j \bar{\sigma}_{\mu\nu} \bar{e}^c)\epsilon_{jk}(q^k \bar{\sigma}^{\mu\nu} u^c)$
$O'_{\ell q}$	$(\bar{\ell}\bar{\sigma}_\mu\sigma^i\ell)(\bar{q}\bar{\sigma}_\mu\sigma^i q)$	$O_{\ell edq}$	$(\bar{\ell}\bar{e}^c)(d^c q)$

Table 4: Four-fermion  $D=6$  operators in the Warsaw basis. Flavor indices are suppressed here to reduce the clutter. The factor  $\eta$  is equal to 1/2 when all flavor indices are equal (e.g. in  $[O_{ee}]_{1111}$ ), and  $\eta = 1$  otherwise. For each complex operator the com-

# Warsaw basis, view of M. Trott

- Warsaw basis: 1008.4884 Grzadkowski, Iskrzynski, Misiak, Rosiek

1: $X^3$		2: $H^6$		3: $H^4 D^2$		5: $\psi^2 H^3 + \text{h.c.}$	
$Q_G$	$f^{ABC} G_{\mu}^{A\nu} G_{\nu}^{B\rho} G_{\rho}^{C\mu}$	$Q_H$	$(H^\dagger H)^3$	$Q_{H\Box}$	$(H^\dagger H)\Box(H^\dagger H)$	$Q_{eH}$	$(H^\dagger H)(\bar{l}_p e_r H)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_{\mu}^{A\nu} G_{\nu}^{B\rho} G_{\rho}^{C\mu}$			$Q_{HD}$	$(H^\dagger D_\mu H)^\dagger (H^\dagger D_\mu H)$	$Q_{uH}$	$(H^\dagger H)(\bar{q}_p u_r \tilde{H})$
$Q_W$	$\epsilon^{IJK} W_{\mu}^{I\nu} W_{\nu}^{J\rho} W_{\rho}^{K\mu}$					$Q_{dH}$	$(H^\dagger H)(\bar{q}_p d_r H)$
$Q_{\tilde{W}}$	$\epsilon^{IJK} \tilde{W}_{\mu}^{I\nu} W_{\nu}^{J\rho} W_{\rho}^{K\mu}$						
4: $X^2 H^2$		6: $\psi^2 XH + \text{h.c.}$		7: $\psi^2 H^2 D$			
$Q_{HG}$	$H^\dagger H G_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eW}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I H W_{\mu\nu}^I$	$Q_{Hl}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{l}_p \gamma^\mu l_r)$		
$Q_{H\tilde{G}}$	$H^\dagger H \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eB}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) H B_{\mu\nu}$	$Q_{Hl}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{l}_p \tau^I \gamma^\mu l_r)$		
$Q_{HW}$	$H^\dagger H W_{\mu\nu}^I W^{I\mu\nu}$	$Q_{uG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{H} G_{\mu\nu}^A$	$Q_{He}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$		
$Q_{H\tilde{W}}$	$H^\dagger H \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	$Q_{uW}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{H} W_{\mu\nu}^I$	$Q_{Hq}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r)$		
$Q_{HB}$	$H^\dagger H B_{\mu\nu} B^{\mu\nu}$	$Q_{uB}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{H} B_{\mu\nu}$	$Q_{Hq}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$		
$Q_{H\tilde{B}}$	$H^\dagger H \tilde{B}_{\mu\nu} B^{\mu\nu}$	$Q_{dG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) H G_{\mu\nu}^A$	$Q_{Hu}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$		
$Q_{HWB}$	$H^\dagger \tau^I H W_{\mu\nu}^I B^{\mu\nu}$	$Q_{dW}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I H W_{\mu\nu}^I$	$Q_{Hd}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{d}_p \gamma^\mu d_r)$		
$Q_{H\tilde{W}B}$	$H^\dagger \tau^I H \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	$Q_{dB}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) H B_{\mu\nu}$	$Q_{Hud} + \text{h.c.}$	$i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$		

6 gauge dual ops

28 non dual operators

25 four fermi ops

59 + h.c. operators

- Four fermion operators: 1008.4884 Grzadkowski, Iskrzynski, Misiak, Rosiek

8 : $(\bar{L}L)(\bar{L}L)$		8 : $(\bar{R}R)(\bar{R}R)$		8 : $(\bar{L}L)(\bar{R}R)$	
$Q_{ll}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	$Q_{ee}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	$Q_{le}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{uu}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{lu}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{dd}$	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{ld}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{eu}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{qe}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{ed}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
				$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$

8 : $(\bar{L}R)(\bar{R}L) + \text{h.c.}$		8 : $(\bar{L}R)(\bar{L}R) + \text{h.c.}$	
$Q_{ledq}$	$(\bar{l}_p^j e_r)(\bar{d}_s q_{tj})$	$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \epsilon_{jk} (\bar{q}_s^k d_t)$
		$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \epsilon_{jk} (\bar{q}_s^k T^A d_t)$
		$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \epsilon_{jk} (\bar{q}_s^k u_t)$
		$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \epsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$

# Warsaw basis, parameter counting

In Warsaw basis arXiv:1008.4884 (SMEFT standard basis)

Class	$N_{\text{op}}$	$CP$ -even		$CP$ -odd			
		$n_g$	1	3	$n_g$	1	3
1 $g^3 X^3$	4	2	2	2	2	2	2
2 $H^6$	1	1	1	1	0	0	0
3 $H^4 D^2$	2	2	2	2	0	0	0
4 $g^2 X^2 H^2$	8	4	4	4	4	4	4
5 $y\psi^2 H^3$	3	$3n_g^2$	3	27	$3n_g^2$	3	27
6 $gy\psi^2 XH$	8	$8n_g^2$	8	72	$8n_g^2$	8	72
7 $\psi^2 H^2 D$	8	$\frac{1}{2}n_g(9n_g + 7)$	8	51	$\frac{1}{2}n_g(9n_g - 7)$	1	30
8 : $(\overline{LR})(LL)$	5	$\frac{1}{2}n_g^2(7n_g^2 + 13)$	5	171	$\frac{7}{4}n_g^2(n_g - 1)(n_g + 1)$	0	126
8 : $(\overline{RR})(\overline{RR})$	7	$\frac{1}{8}n_g(21n_g^3 + 2n_g^2 + 31n_g + 2)$	7	255	$\frac{1}{8}n_g(21n_g^3 + 2)(n_g - 1)(n_g + 1)$	0	195
$\psi^4$ 8 : $(\overline{LR})(\overline{RR})$	8	$4n_g^2(n_g^2 + 1)$	8	360	$4n_g^2(n_g - 1)(n_g + 1)$	0	288
8 : $(\overline{LR})(\overline{RL})$	1	$n_g^4$	1	81	$n_g^4$	1	81
8 : $(\overline{LR})(\overline{LR})$	4	$4n_g^4$	4	324	$4n_g^4$	4	324
8 : All	25	$\frac{1}{8}n_g(107n_g^3 + 2n_g^2 + 89n_g + 2)$	25	1191	$\frac{1}{8}n_g(107n_g^3 + 2n_g^2 - 67n_g - 2)$	5	1014
Total	59	$\frac{1}{8}(107n_g^4 + 2n_g^3 + 213n_g^2 + 30n_g + 72)$	53	1350	$\frac{1}{8}(107n_g^4 + 2n_g^3 + 57n_g^2 - 30n_g + 48)$	23	1149

**Table 2.** Number of  $CP$ -even and  $CP$ -odd coefficients in  $\mathcal{L}^{(6)}$  for  $n_g$  flavors. The total number of coefficients is  $(107n_g^4 + 2n_g^3 + 135n_g^2 + 60)/4$ , which is 76 for  $n_g = 1$  and 2499 for  $n_g = 3$ .

**2499**

arXiv:1312.2014 Alonso, Jenkins, Manohar, Trott

- Linearly realised symmetries (exact or softly broken) of the SMEFT relate parameters



- Missing terms?
- Redundant op's removed
- $O'_{HD} = (H^\dagger H) D_\mu H^\dagger D_\mu H$
- integrating by parts

$$O'_{HD} = (H^\dagger H) [\square (H^\dagger H) - H^\dagger D_\mu D_\mu H - D_\mu D_\mu H^\dagger H]$$

Use H EOM in the last 2 terms

$$\square H = \mu_H^2 H - 2\lambda(H^\dagger H) - j_H,$$

$$j_H \equiv -\bar{u}^c y_u^\dagger \tilde{q} + d^c y_d q + e^c y_e \ell, \quad \tilde{q}_i \equiv \epsilon_{ij} \bar{q}_j.$$

$$O'_{HD} = -\mu_H^2 (H^\dagger H)^2 + \frac{1}{2} (H^\dagger H) \square (H^\dagger H) + 2\lambda (H^\dagger H)^3 + \frac{1}{2} H^\dagger H [-\bar{u}^c y_u^\dagger \tilde{q} + d^c y_d q + e^c y_e \ell + \text{h.c.}]$$

Now all terms in the Warsaw basis, bosonic  $\leftrightarrow$  fermionic op's

To reproduce  $O'_{HD}$  need lots of different operators

Systematic Hilbert-series techniques, H.Murayama et.al.'15-16

**Exercise 2. Express in Warsaw basis  $B_{\mu\nu} D_\mu H^\dagger D_\nu H$  !**

Bosonic CP-even

$O_H$	$(H^\dagger H)^3$
$O_{H\square}$	$(H^\dagger H)\square(H^\dagger H)$
$O_{HD}$	$ H^\dagger D_\mu H ^2$
$O_{HG}$	$H^\dagger H G_{\mu\nu}^a G_{\mu\nu}^a$
$O_{HW}$	$H^\dagger H W_{\mu\nu}^i W_{\mu\nu}^i$
$O_{HB}$	$H^\dagger H B_{\mu\nu} B_{\mu\nu}$
$O_{HWB}$	$H^\dagger \sigma^i H W_{\mu\nu}^i B_{\mu\nu}$
$O_W$	$\epsilon^{ijk} W_{\mu\nu}^i W_{\nu\rho}^j W_{\rho\mu}^k$
$O_G$	$f^{abc} G_{\mu\nu}^a G_{\nu\rho}^b G_{\rho\mu}^c$

Importance of Wilson  $c$ 's estimated from UV physics w/o expt.

- $\hbar$  counting - see dependence only on  $\Lambda, g_*^{NP}$ , tree level

$$\begin{aligned}O_H &= |H|^6 : & c_H &\sim g_*^4, \\O_{eH} &= |H|^2 \bar{\ell} H e_c : & c_{eH} &\sim g_*^3, \\O_{H\Box} &= |H|^2 \Box |H|^2 : & c_H &\sim g_*^2, \\O_W &= \epsilon_{ijk} W_{\mu\nu}^i W_{\nu\rho}^j W_{\rho\mu}^k : & c_W &\sim g_*.\end{aligned}$$

$$H^6 \sim \hbar^3 = \hbar_{cg}^2 \hbar_{action}. [g_*] = \hbar^{-1/2}.$$

Naive estimates subject to physics constraints.

$g_* \sim 10 \gg 1$ , means  $c_H \sim \mathcal{O}(10^4)$ , but it produces Higgs quartic ( $\sim .12$ )  $\lambda H^4$ , suggest  $\lambda \sim g_*^2$  without fine tuning, some (shift-) symmetry protects, leads to  $c_H \sim \lambda g_*^2 \leq 10$

Chiral symmetry protects  $c_{eH} \sim y_e g_*^2$ , must be prop. to Yukawa.

Fundamental  $W$ 's produced prop. to  $g_L$  and via loops to reduce  $\hbar$ ,

$$c_W \sim g_L^3 / 16\pi^2 \leq 10^{-3} |_{\Lambda=1\text{TeV}}.$$

# Use of EFT - New Physics(NP) or pure SMEFT

- Study self-consistent theory, SMEFT. It is not NP  
SMEFT is different th than any NP model even with matching

$$\mathcal{L}_{SMEFT} \neq \mathcal{L}_{SM} + \mathcal{L}_{NP} \text{ counterterms}, Z_{SMEFT} \neq Z_{SM} + Z_{NP}$$

Understand SMEFT (it's geometry), interface with data,  
Michael Trott,...

- Emerging pattern of  $c_i$ 's in SMEFT points towards NP  
Experiment may show certain linear combination of  $O_i$  is there.  
Which NP is behind?

- $\sim$ Fermi theory, heavy neutral  $V_\mu$  coupled to  $\Psi$ -current

$$\mathcal{L}_{UV} \supset V_\mu (g_{Vf,L} \bar{f} \bar{\sigma}_\mu f + g_{Vf,R} \bar{f}^c \bar{\sigma}_\mu f^c)$$

Exchange of  $V$ 's, below  $M_V$  generates 4-fermion term in Table 4.

$$\mathcal{L}_{EFT} \supset -\frac{1}{2M_V^2} (g_{Vf,L} \bar{f} \bar{\sigma}_\mu f + g_{Vf,R} \bar{f}^c \bar{\sigma}_\mu f^c)^2$$

Match it to Warsaw basis

$$\frac{c_{f_1 f_2}}{\Lambda^2} = -\frac{g_{V,f_1} g_{V,f_2}}{M_V^2}$$

Low energy probes only  $c_{ij}/\Lambda^2$  - only the ratio is determined  
Only perturbative upper bound  $c_i \leq 4\pi$ .

- Composite Higgs heavy complex  $X_\mu$  coupled to  $H$ -covariant

$$\mathcal{L}_{UV} \supset g_X X_\mu H^\dagger D_\mu H + h.c.$$

Higgs composite of new strongly charged q-like partons  
 $X_\mu$  is a  $\rho$ -meson like resonance in the strong sector  
In EFT derivative 4-H contact terms

$$\mathcal{L}_{EFT} \supset -\frac{g_X^2}{2M_V^2} |H^\dagger D_\mu H|^2$$

So far tree-level - some only generated at loop-level

# From BSM to operators 3 - loop level

- $O_{HG} = H^\dagger H G_{\mu\nu}^a G_{\mu\nu}^a$ ,  $c_{HG}$  H-gluon only generated at 1-loop  
Coloured scalar  $\tilde{t}_c$  mass  $M_T$ ,  $\sim$ quantum  $\neq$  righthanded top partner scalar restore naturalness.

$$\mathcal{L}_{UV} \supset -y_T H^\dagger H \tilde{t}_c^\dagger \tilde{t}_c$$

Emerges in SUSY models, where top-partner scalars

No tree-level effect on scatt. ampl's

- **Modifies the h-production in  $gg \rightarrow h$  via the triangle+bubble**  
In EFT the corresponding tree-level term

$$\frac{c_{HG}}{\Lambda^2} = \frac{y_T^2 g_s^2}{256\pi^2 M_T^2}$$

2-loop factor.

# From operators to observables

Phenomenology with mass eigenstates after EWSB

2 way to deviate from the SM

- 1 Modified couplings, corrections to SM-like interactions
- 2 New vertices, new interaction terms (never seen before :)

Coupling modified by  $O_{He} = ie_c \sigma_\mu \bar{e}_c (H^\dagger D_\mu H - D_\mu H^\dagger H)$  from Table 3.  
Z-boson couplings to the  $e_c$  right-handed electron

$$\frac{c_{He}}{\Lambda^2} O_{He} \rightarrow -\frac{c_{He} \sqrt{g_L^2 + g_Y^2} v^2}{2\Lambda^2} Z_\mu e_c \sigma_\mu \bar{e}_c$$

Effect1: shifts the int'n strength originally defined by  $T^3, Q, q, \#$

$$g_{Ze}^{SM} = \sqrt{g_L^2 + g_Y^2} s_\theta^2 \quad \Delta g_{Ze} = -\frac{c_{He} \sqrt{g_L^2 + g_Y^2} v^2}{2\Lambda^2}$$

Effect2: new vertex, with 2 rh electron ( $v^2 \rightarrow vh$ )

$$\frac{c_{He}}{\Lambda^2} O_{He} \rightarrow -\frac{c_{He} \sqrt{g_L^2 + g_Y^2} v}{2\Lambda^2} h Z_\mu e_c \sigma_\mu \bar{e}_c$$

New h-3fields! Contribute to  $h$  decay to 4leptons, studied at LHC

# Coupling shift vs. new vertex

No invariant way to separate coupling shifts from a new vertex, field redefinitions are allowed - equivalence theorem: same physics

$$\mathcal{L} \supset \frac{1}{2}(\partial_\mu h)^2 - \frac{m_h^2}{2}h^2 - \frac{m_h^2}{2v} \left(1 + \delta_1 \frac{v^2}{\Lambda^2}\right) h^3 - \delta_2 \frac{v}{\Lambda^2} h \partial_\mu h \partial_\mu h + \dots$$

$\delta_1$  modification of triple Higgs coupling,  $\delta_2$  new interaction - generated by both of  $O_H, O_{H\Box}$  dim-6.

Contribute to  $hh \rightarrow hh$ , or  $pp \rightarrow hh$  hh-production at LHC

Field redefinition can eliminate  $\delta_2$  term

$$h \rightarrow h + \delta_2 \frac{v}{2\Lambda^2} h^2$$

$$\mathcal{L} = \frac{1}{2}(\partial_\mu h)^2 - \frac{m_h^2}{2}h^2 - \frac{m_h^2}{2v} \left(1 + (\delta_1 + \delta_2) \frac{v^2}{\Lambda^2}\right) h^3 + \dots$$

Different  $\mathcal{L}$  give same physics- equivalence theorem, explicit calculation

The effect of  $\delta_2$  interaction is hidden in the modified h-W,Z, $\Psi$  interactions



Connect operators to Precision observables -shift the SM input par's

EW parameters  $g_L, g_Y, v \leftarrow G_F, \alpha(0), M_Z^2(M_Z)$

At tree-level 
$$\sqrt{2}G_F = \frac{1}{v^2}, \quad \alpha = \frac{g_L^2 g_Y^2}{4\pi(g_L^2 + g_Y^2)}, \quad m_Z^2 = \frac{(g_L^2 + g_Y^2)v^2}{4}.$$

BSM, tree-level contribution to observables,  $O_{HD}$  to the Z-mass

$$\frac{c_{HD}}{\Lambda^2} |H^\dagger D_\mu H|^2 \rightarrow \frac{c_{HD} v^2 (g_L^2 + g_Y^2) v^2}{2\Lambda^2 8} Z_\mu Z_\mu.$$

But  $c_{HD}$  not constrained with the precision of  $M_Z$  0,01%!

Disentangle it ( $c_{HD}$ ) from the SM inputs

$M_Z$  measurement affects  $g_L, g_Y, v$ .

# Precision observables\*, definitions

Define deviations carefully, similarly to EW  $\Delta r$ , or  $S, T, U$  par's

$$\eta_{\mu\nu} \left( \Pi_{WW}(p^2) W_\mu^+ W_\nu^- + \frac{1}{2} \Pi_{ZZ}(p^2) Z_\mu Z_\nu + \frac{1}{2} \Pi_{\gamma\gamma}(p^2) A_\mu A_\nu + \Pi_{Z\gamma}(p^2) Z_\mu A_\nu \right) +$$

$\Pi_{VV} = m_V^2 - p^2$ , kanonical kinetic term, all SM loop, BSM, tree- loop-  
Couplings shifted in interactions e.g.

$$[g_L^{We}]_{IJ} = g_{L,0} (\delta_{IJ} + [\delta g_L^{We}]_{IJ}),$$

$$[g^{Zf}]_{IJ} = \sqrt{g_{L,0}^2 + g_{Y,0}^2} \left( T_3^f - Q_f \frac{g_{Y,0}^2}{g_{L,0}^2 + g_{Y,0}^2} + [\delta g^{Zf}]_{IJ} \right).$$

$g_{Y,0}, g_{L,0}$  are  $SU_L(2) \times U_Y(1)$  gauge coupling -not related to input obs's

$$\begin{aligned} \mathcal{L} \supset & \frac{g_{L,0} g_{Y,0}}{\sqrt{g_{L,0}^2 + g_{Y,0}^2}} A_\mu \sum_f Q_f (\bar{e}_I \bar{\sigma}_\mu e_I + e_I^c \sigma_\mu \bar{e}_I^c) \\ & + \left[ \frac{[g_L^{We}]_{IJ}}{\sqrt{2}} W_\mu^+ \bar{\nu}_I \bar{\sigma}_\mu e_J + W_\mu^+ \frac{[g_L^{Wq}]_{IJ}}{\sqrt{2}} \bar{u}_I \bar{\sigma}_\mu d_J + \frac{[g_R^{Wq}]_{IJ}}{\sqrt{2}} W_\mu^+ u_I^c \bar{\sigma}_\mu \bar{d}_J^c + \text{h.c.} \right] \\ & + Z_\mu \sum_{f=u,d,e,\nu} [g_L^{Zf}]_{IJ} \bar{f}_I \bar{\sigma}_\mu f_J + Z_\mu \sum_{f=u,d,e} [g_R^{Zf}]_{IJ} f_I^c \bar{\sigma}_\mu \bar{f}_J^c. \end{aligned}$$

SM limit: all  $\delta g$  vanish

Input relation at tree level

# Precision observables

Tree level relation is changed, assuming small deviations, linear dev.

$$2\sqrt{2}G_F \approx \frac{2}{v_0^2} \left( 1 - \frac{\delta\Pi_{WW}(0)}{m_W^2} + \delta g_L^{W_e} + \delta g_L^{W_\mu} - \frac{1}{2}[c_{\ell\ell}]_{1221} - [c_{\ell\ell}^{(3)}]_{1122} \right),$$

$$\alpha(0) = \frac{g_{L,0}^2 g_{Y,0}^2}{4\pi(g_{L,0}^2 + g_{Y,0}^2)} (1 + \delta\Pi'_{\gamma\gamma}(0)),$$

$$m_Z^2(m_Z) = \frac{(g_{L,0}^2 + g_{Y,0}^2)v_0^2}{4} + \delta\Pi_{ZZ}(m_Z^2).$$

with  $[c_{\ell\ell}^{(3)}] (\bar{l}_I \bar{\sigma}_\mu \sigma^i l_I) (\bar{l}_J \bar{\sigma}_\mu \sigma^i l_J)$  4-fermion op. in the Lagrangian

Redefine  $v_0 = v(1 + \delta v)$ ,  $g_{L,0} = g_L(1 + \delta g_L)$ ,  $g_{Y,0} = g_Y(1 + \delta g_Y)$ ,

To satisfy the input relation redefine

$$\delta v = \frac{1}{2} \left( -\frac{\delta\Pi_{WW}(0)}{m_W^2} + \delta g_L^{W_e} + \delta g_L^{W_\mu} - \frac{1}{2}[c_{\ell\ell}]_{1221} - [c_{\ell\ell}^{(3)}]_{1122} \right),$$

$$\delta g_L = \frac{g_L^2}{4(g_L^2 - g_Y^2)v^2} \left[ -\frac{2\delta\Pi_{ZZ}(m_Z^2)}{m_Z^2} + \frac{2\delta\Pi_{WW}(0)}{m_W^2} + \frac{2g_Y^2\delta\Pi'_{\gamma\gamma}(0)}{g_L^2} + [c_{\ell\ell}]_{1221} + 2[c_{\ell\ell}^{(3)}]_{1122} - 2\delta g_L^{W_e} - 2\delta g_L^{W_\mu} \right],$$

$$\delta g_Y = \frac{g_Y^2}{4(g_L^2 - g_Y^2)v^2} \left[ \frac{2\delta\Pi_{ZZ}(m_Z^2)}{m_Z^2} - \frac{2\delta\Pi_{WW}(0)}{m_W^2} - \frac{2g_L^2\delta\Pi'_{\gamma\gamma}(0)}{g_Y^2} - [c_{\ell\ell}]_{1221} - 2[c_{\ell\ell}^{(3)}]_{1122} + 2\delta g_L^{W_e} + 2\delta g_L^{W_\mu} \right].$$

SM limit: all  $\delta g$  vanish

# Precision observables, prediction

Idea is to separate/uncorrelate the precise input on  $g_{Y0}, g_{L0}, v_0$  from BSM contribution. Remove  $G_F, \alpha, m_Z$  from the new physics fit

$$m_W^2(m_W) = \frac{g_{L,0}^2 v_0^2}{4} + \delta\Pi_{WW}(m_W^2)$$

Redefinitions relate with numerical input valued par's the corrections to measurement ( $m_W$ )

$$m_W^2 = \frac{g_L^2 v^2}{4} + \frac{1}{g_L^2 - g_Y^2} \left( g_Y^2 \delta\Pi_{WW}(0) - \frac{g_L^4}{g_L^2 + g_Y^2} \delta\Pi_{ZZ}(m_Z^2) + g_Y^2 m_W^2 \delta\Pi'_{\gamma\gamma}(0) \right) + \delta\Pi_{WW}(m_W^2)$$

Valid for any BSM scenario, See  $O_{HD}$ , Shifts  $\delta\Pi_{ZZ} = \frac{c_{HD} v^2}{2\Lambda^2} m_Z^2$ , redefine  $m_Z \rightarrow$  contributes to  $m_W$  with  $\frac{\delta m_W}{m_W} = (2.6 \pm 1.9) \cdot 10^{-4}$  (exp, th errors)

$$\frac{\delta m_W}{m_W} = \frac{\delta m_W^2}{2m_W^2} = -\frac{c_{HD} g_L^2 v^2}{4(g_L^2 - g_Y^2)\Lambda^2} \implies \frac{c_{HD}}{\Lambda^2} = \frac{-1.2 \pm 0.9}{(10 \text{ TeV})^2}$$

Only NP  $c_{HD} \sim g_*^2 \sim 1$ , weakly coupled theory,  $M_W$  probes up to 10 TeV  
strongly coupled,  $g_* \sim 4\pi$  up to 100 TeV, far better than direct reach!

SM and SMEFT assumes the existence of weak doublet  $H$ .

Consequence of requiring

- Three GB  $\pi^i$  eaten up by the longitudinal components of  $W, Z$
- One singlet scalar  $h$  of  $H$ , ensures exact unitarization of all energy amplitudes with external  $\pi^i$  fields

Relax exact unitarization for low energy processes, observables. It

needs unitarization only up to the cutoff

$H$  replaced by singlet  $h$   $J^P = 0^+$  scalar and (non-linearly realized) pion in the CCWZ formalism of Callan-Coleman-Wess-Zumino, minimal IR assumptions. Different theories, distinguishable expansions.

$$SM(H, \Lambda \rightarrow \infty) \supset SM(H, \Lambda \neq \infty) \supset SM(h, \Lambda \neq \infty)$$

In certain HEFT has cases better and broader convergence, depends on the observable.



- Ellis, Sanz et al. 1803.252.
- Precision EW data, LEP&LHC  $W^+W^- \rightarrow 4l$ 's, and H production LHC Run1,2
- Warsaw basis 11 operators diboson, 9 Higgs production  $c_{ii}^{(6)}/v^2$  is used
- Precision observables  $\Delta S, T \neq 0$

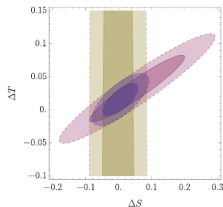


Figure 1: Fits to the  $\Delta S$  and  $\Delta T$  parameters [121][126] using Z-pole, W mass, and LEP 2 WW scattering measurements (red), using LHC Run 1 and Run 2 Higgs results (dark yellow), and all the data (blue). The darker and lighter shaded regions are allowed at 1 and  $2\sigma$ , respectively. We see that the Higgs measurements at the LHC have similar impacts to the electroweak precision measurements, and are largely complementary, emphasizing the need for a combined global fit.

$$\frac{v^2}{\Lambda^2} C_{HWB} = \frac{g_1 g_2}{16\pi} \Delta S, \quad \frac{v^2}{\Lambda^2} C_{HD} = -\frac{g_1 g_2}{2\pi (g_1 + g_2)} \Delta T,$$

# Global fit to SMEFT 2

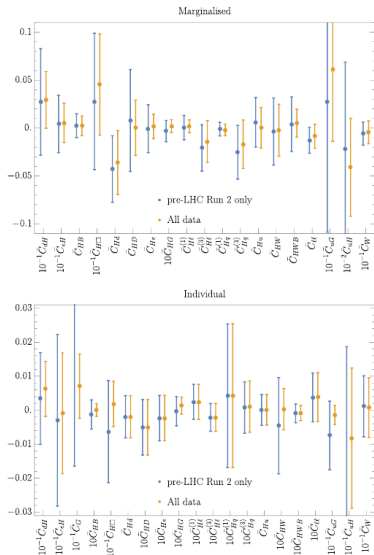


Figure 2: Results from global fits in the Warsaw basis (orange) including all operators simultaneously (upper panel) and switching each operator on individually (lower panel). Also shown are fits omitting the LHC Run 2 data (blue). We display the best-fit values and 95% CL ranges.

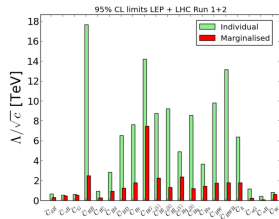


Figure 8: Summary of the 95% CL bounds on the sensitivity (in TeV) for an  $\mathcal{O}(1)$  Wilson coefficient, obtained from marginalised (red) and individual (green) fits to the 20 dimension-6 operators entering in electroweak precision tests, diboson and Higgs measurements at LEP, SLC, and LHC Run 1 and 2.



Ellis, Sanz et al. 1803,252.  $\chi^2/\text{dof}$  is the same for SM and SMEFT

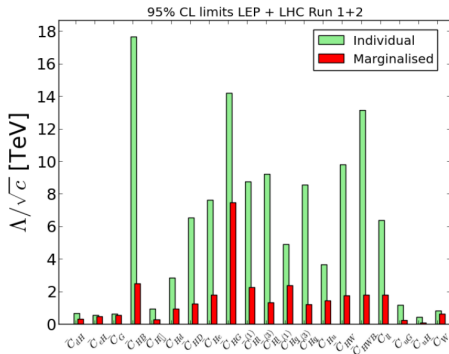


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- EFT is at work, different/simpler organized calculation
- Need a good expansion parameter
- Non-redundant basis, not unique -unchanged physics
- Match it via physical observables to the UV theory if it is known
- SMEFT needs global approach, few percent effects expected
- dim-8  $W^\pm, Z$  operators analyzed at LHC - see talk, Pásztor G.
- Look for New Physics or Test the New Model (SMEFT) - interesting